F Data on self‑represented litigants

This appendix outlines the available data on self‑represented litigants (SRLs) in Australia.

Information about SRLs is collected inconsistently across (and sometimes within) different courts and tribunals. This makes it difficult to assess the nature and extent of self‑representation. Section F.1 outlines data from the federal jurisdiction. For comparability, this section also includes information on the Family Court of Western Australia. Section F.2 covers data from the states and territories.

## F.1 Federal jurisdiction

The number and share of cases commenced by SRLs in the Federal Court of Australia has declined significantly in recent years. The share fell from 44 per cent of all cases in 2008‑09 to only 6 per cent in 2011‑12 (figure F.1), primarily due to a fall in self‑represented applicants in migration matters. This fall coincided with an increase in government‑funded legal advice for asylum seekers (Parliamentary Library 2013).

|  |
| --- |
| Figure F.1 Declining proportion of SRLs in the Federal Court Actions commenced by SRLs as a share of total cases commenced, 2007‑08 to 2011‑12 |
|

|  |
| --- |
| This figure shows a line graph of the actions commenced by self-represented litigants as a share of total cases commenced in the Federal Court, by year. In 2007 08, 35 per cent of total cases were commenced by self-represented litigants. In 2008 09, the share was 44 per cent. In the following years, the share declines year-on-year — 16 per cent in 2009 10, 7 per cent in 2010 11 and 6 per cent in 2011 12. |

 |
| *Data source*: Federal Court of Australia annual reports, various. |
|  |
|  |

Migration disputes continue to comprise a large share of self‑represented cases in the Federal Court of Australia. Over 60 per cent of proceedings commenced by SRLs in the last few years were appeals and related actions. Of the appeals commenced by SRLs, around 70 per cent related to migration matters (figure F.2).

|  |
| --- |
| Figure F.2 SRLs in the Federal Court mostly appeal migration decisionsProceedingsa and appealsb commenced by SRLs, by cause of action and year |
|

|  |  |
| --- | --- |
| This figure contains two bar graphs on self-represented litigants in the Federal Court of Australia. This is the first bar graph which shows the types of matters in which SRLs commenced proceedings over the period 2009 10 to 2011 12. Ten to 15 per cent of proceedings commenced by SRLs were regarding administrative law cases, 60 per cent were concerned with appeals and related actions, and around 5 per cent were bankruptcy cases, another 5 per cent were corporations cases, and around 5 per cent were human rights cases. | This figure contains two bar graphs on self-represented litigants in the Federal Court of Australia. This is the second bar graph which shows the appeals commenced by SRLs over this same time period, by type of appeal. Around 70 per cent of SRL appeals are regarding migration matters, 10 to 15 per cent are bankruptcy matters, and around 5 per cent are administrative law matters. |

 |
| a ‘Other’ includes admiralty, assisted dispute resolution, bills of costs, competition law, consumer protection, cross claim, fair work, industrial, intellectual property, migration, miscellaneous, native title, and taxation. b ‘Other’ includes admiralty, competition law, consumer protection, corporations, fair work, human rights, industrial, intellectual property, miscellaneous, and taxation.  |
| *Data source*: Federal Court of Australia annual reports, various. |
|  |
|  |

Changes in data reporting make comparisons over a longer period of time difficult. Earlier Federal Court annual reports included the number and proportion of actions commenced in which either the applicant *or respondent* were self‑represented. According to this measure, there has been some variation over time in the share of total cases involving at least one SRL. At least one party was an SRL in 28 per cent of filings in 1998‑99, increasing to 40 per cent in 2001‑02, and falling to 34 per cent in 2003‑04 (figure F.3).

Self‑representation is also common in the Family Court of Australia. Between 30‑40 per cent of matters involve litigants who self‑represent at some point in their proceedings (Family Court of Australia 2003). The proportion of SRLs for both finalised cases and trials has fallen in recent years (figure F.4). By contrast, the proportion of self‑represented appellants has remained steady over the last decade, at around 40 to 50 per cent (figure F.5). Cases involving an SRL in the Family Court (at both first instance and on appeal) are much more likely to:

* involve only children’s matters (rather than property matters)
* be of shorter duration
* finalise earlier in the process (Family Court of Australia 2003; Hunter, Giddings and Chrzanowski 2003).

|  |
| --- |
| Figure F.3 Federal Court — self‑represented applicants and respondentsActions commenced in which at least one party was an SRL, 1998‑99 to 2003‑04 |
|

|  |  |
| --- | --- |
| This figure contains two separate line graphs. This is the first line graph which shows the number of filings in which at least one party was self-represented in the Federal Court of Australia from 1998 99 to 2003 04. The number of filings in which at least one party was an SRL was around 2000 each year. The number of filings in which representation status was unknown fell from 4000 filings in 1998 99 to around 800 in 2001 02 and 2002 03, and slightly increased to around 1200 in 2003 04. | This figure contains two separate line graphs. This is the second line graph which shows these numbers as a percentage of total filings. Around 28 per cent of actions commenced in 1998 99 had at least one self-represented party. This increased to 40 per cent in 2001 02, and fell to around 33 per cent in 2003 04. |

 |
| *Data source*: Federal Court of Australia annual reports, various.  |
|  |
|  |

|  |
| --- |
| Figure F.4 Proportion of SRLs in the Family Court is decreasingProportion of litigants by representation status, 2003‑04 to 2011‑12 |
|

|  |  |
| --- | --- |
| This figure contains two area graphs. This is the first area graph which shows the proportion of litigants in the Family Court of Australia by representation status from 2003 04 to 2011 12, for finalised cases. The proportion of finalised cases involving no SRL increased from 57 per cent in 2003 04 to 73 per cent in 2011 12. | This figure contains two area graphs.  This is the second area graph which shows the representation status of litigants in trials. 46 per cent of trials had no SRL in 2003 04, which increased to 68 per cent in 2011 12. |

 |
| *Data source*: Family Court of Australia annual reports, various. |
|  |
|  |

|  |
| --- |
| Figure F.5 Self‑represented appellants in the Family Court Proportion of appellants who are self‑represented, 1996‑97 to 2011‑12 |
|

|  |
| --- |
| This is a line graph showing the proportion of appellants in the Family Court of Australia who were self-represented, from 1996 97 to 2011 12. Twenty six per cent of appellants were self-represented in 1996 97. The proportion increased each year up to 2001 02 where 46 per cent of appellants were self-represented. The proportion was around 40 per cent since that year, with a spike in 2008 09 of 53 per cent. |

 |
| *Data source*: Family Court of Australia annual reports, various. |
|  |
|  |

In the Family Court of Western Australia between 2007‑08 and 2012‑13, the share of cases involving self‑represented applicants increased in financial cases (7 per cent to 32 per cent) and in final order parenting applications (40 to 50 per cent), while the proportion of self‑represented applicants for divorce matters was steady at around 80 per cent (figure F.6).

|  |
| --- |
| Figure F.6 Self‑representation in the Family Court of Western AustraliaSelf‑represented applicants by case type, 2007‑08 to 2012‑13 |
|

|  |
| --- |
| This is a line graph showing self-represented applicants in the Family Court of Western Australia by case type over the years 2007 08 to 2012 13. The three case types show are: divorce applications; final order parenting applications; and financial cases. Details of the movements over time are in the text above the figure. |

 |
| *Data source*: Family Court of Western Australia annual reviews, various. |
|  |
|  |

A high proportion of divorce applicants in the Family Court of Australia are also self‑represented. Published data from the late 1990s suggest that around 70 per cent of applicants self‑represented — more than twice the level of the early 1980s (figure F.7). The Federal Circuit Court does not publish data on self‑representation in relation to divorce.

|  |
| --- |
| Figure F.7 Most divorce applicants in the Family Court self‑representPer cent of divorce applicants who are self‑represented |
|

|  |
| --- |
| This figure is a line graph showing the percentage of divorce applications in the Family Court of Australia who are self-represented. In 1981, around 25 per cent of divorce applicants were self-represented. In 1990 91, the proportion was around 46 per cent. The proportion grew and remained at around 70 per cent from 1995 96 to 1998 99. |

 |
| *Data source*: Family Court of Australia (1999).  |
|  |
|  |

According to the Federal Circuit Court (FCC) a significant number of parties self‑represent, particularly in the areas of family law, child support, bankruptcy and migration, although the Court’s database only captures SRLs in finalised applications for final orders in family law (FCC 2013). Over the past four years, over 30 per cent of family law final applications had at least one SRL (figure F.8). Although there are no published data, the FCC said that the divorce jurisdiction also attracts a significant number of SRLs (FCC 2013).

Rates of self‑representation are typically much higher in tribunals — which is expected, given that most tribunals actively encourage and accommodate self‑represented parties (chapter 10). In the Administrative Appeals Tribunal (AAT), almost half of all individuals with cases finalised in 2012‑13 were self‑represented (sub. 65). The rate of self‑representation varied across matter types, with the highest rates recorded for social security, and citizenship and immigration cases (figure F.9). The lowest rates were recorded for veterans’ affairs and workers’ compensation cases — according to the AAT, this is in part due to greater access to legal aid for veterans’ affairs cases, and the fact that costs awards can be made in workers’ compensation cases.

|  |
| --- |
| Figure F.8 Representation in the Federal Circuit CourtFamily law final applications by party representation, finalised in year |
|

|  |
| --- |
| This figure is a bar graph of family law final applications by party representation, from 2009 10 to 2012 13. Representation status did not vary much over these years. Around 65 per cent of parties both had legal representation in each of these years. Just under 10 per cent involved parties where neither had legal representation. Around 20 per cent had applicants only with legal representation, and around 5 per cent had the respondent only with legal representation. |

 |
| *Data source*: Federal Circuit Court of Australia annual reports, various. |
|  |
|  |

|  |
| --- |
| Figure F.9 Representation of individuals in the AATBy jurisdiction, per cent of all cases finalised in 2012‑13a |
|

|  |
| --- |
| This figure is a bar graph showing the representation status of individuals in all cases finalised in the AAT in 2012 13, by jurisdiction. In the citizenship and immigration jurisdiction, around 50 per cent were self-represented, 28 per cent had a private lawyer, CLC or Legal Aid representative, and around 15 per cent were represented by a friend, relative or other person. In the social security jurisdiction, around 75 per cent were self-represented. In taxation, 40 per cent were self-represented, around 27 per cent had a private lawyer and around 30 per cent were represented by an accountant or tax agent. Veterans’ affairs and workers’ compensation were the two jurisdictions with the lowest levels of self-representation (just under 20 per cent), and the highest levels of private lawyer, CLC or Legal Aid involvement (around 65 per cent and nearly 80 per cent, respectively). |

 |
| a The data reflect representation status when the application was finalised. They do not include information about the representation of parties who were not individuals (that is, companies, associations or other organisations).  |
| *Data source*: AAT case management system (sub. 65). |
|  |
|  |

## F.2 States and territories

State and territory‑level data on SRLs are less comprehensive relative to the federal jurisdictions.

In Victoria, the Supreme Court of Victoria publishes the number of contacts made with its SRL Coordinator, without separating criminal and civil matters. Data supplied to the Commission by the Supreme Court show that the proportion of applications filed in its Court of Appeal by SRLs has increased over time ⎯ from 8 per cent in 2001 to 13 per cent in 2008, and remaining above 20 per cent over the last four years (figure F.10; sub. DR324). While the Court also collects information on the types of matters involving SRLs, this information is not published. Information provided by the County Court of Victoria (pers. comm., 21 August 2014) suggests that approximately 65 to 70 per cent of SRLs in that Court appear in matters before the Commercial List.

|  |
| --- |
| Figure F.10 Court of Appeal, Supreme Court of VictoriaPer cent of matters in civil appeal jurisdiction commenced by SRLs, by year |
|

|  |
| --- |
| This figure is a line graph showing the per cent of all matters in the civil appeal jurisdiction of the Victorian Court of Appeal commenced by SRLs, over the years 2001 to 2013. Further details are in the text above the figure. |

 |
| *Data source*: Unpublished data provided by the Supreme Court of Victoria. |
|  |
|  |

In Queensland, only the Supreme Court publishes numbers of SRLs, and only for its Court of Appeal. Queensland Public Interest Law Clearing House (sub. 58) noted that while all documents filed in Queensland courts contain information about self‑representation, this information is not published. The share of civil cases heard in the Queensland Court of Appeal in which one or both parties are self‑represented has varied over time (figure F.11). On average around 34 per cent of matters have had at least one SRL since 2000‑01.

|  |
| --- |
| Figure F.11 SRLs in the Supreme Court of QueenslandCourt of Appeal, civil cases with at least one party self‑represented, by year |
|

|  |  |
| --- | --- |
| This figure contains two line graphs regarding SRLs in Queensland’s Court of Appeal. This is the first graph which shows the number of cases in which at least one party was self represented between 1995 96 to 2010 11. From under 20 cases in the first few years, the number of cases increased to 100 in 2002 03, fell to around 40 in 2005 06, and increased to 89 in 2010 11. | This figure contains two line graphs regarding SRLs in Queensland’s Court of Appeal. This is the second graph which shows the per cent of civil cases in the Court of Appeal with at least one party self-represented, from 2000 01 to 2010 11. The proportion varied quite a lot over these years, and was in the range of around 25 to 40 per cent. |

 |
| *Data source*: Supreme Court of Queensland annual reports, various. |
|  |
|  |

While there are no firm figures across all Western Australian courts, of the 41 048 matters lodged in the Civil Registry of the Magistrates Court in 2008‑09, one or more parties were self‑represented in 98 per cent of matters at lodgement and in 53 per cent of hearings (excluding residential tenancy matters) (WA DAG 2009). The Supreme Court of Western Australia said that it lacked the capacity to record meaningful data on the numbers of SRLs or their characteristics, but noted that self‑representation is the norm in the Court’s probate jurisdiction.

The ACT Magistrates Court was unable to provide the Commission with data on SRLs. The Commission understands that the main jurisdiction in which the Court experiences SRLs is in protection order matters.

The Tasmanian Supreme Court noted that it does not collect data on the numbers of SRLs in civil matters. However, it said that the majority of SRLs before the Court appear in mortgagee possession matters, which tend to resolve quickly in the Associate Judge’s court.

The Northern Territory Magistrates Court (sub. 331) said that it was in the process of collating data on SRLs and developing appropriate information systems to assist SRLs in the conduct of court cases, and it is hoped that this project will be completed by the end of 2014.

Data on self‑representation in state and territory tribunals are difficult to obtain (chapter 10). Data was not available from the NSW Civil and Administrative Tribunal (which has only just commenced operation), or from the ACT Civil and Administrative Tribunal or the Victorian Civil and Administrative Tribunal (VCAT) (pers. comm., 7 June and 27 June 2014). Anecdotally, *representation* in VCAT appears to be less than 20 per cent (VCAT 2014, p. 3). Only 2.5 per cent of parties in the Queensland Civil and Administrative Tribunal were represented in 2012‑13 (pers. comm., 4 August 2014). Western Australia’s State Administrative Tribunal indicated that 6 per cent of all parties were represented in 2012‑13, although 29 per cent of matters involved a represented party.