

PART I

ALL AGENCIES ARE REQUESTED TO COMPLETE PART I.

If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE.

Section 1: Contact details

1.1 Agency

1.2 Reporting and financial arrangements are governed by:
(Please indicate with a 'X' whether one or more of the following Acts apply)

	YES	NO
<i>Financial Management and Accountability Act 1997</i>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Commonwealth Authorities and Companies Act 1997</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other <input type="text" value="Fisheries Administration Act 1991"/>		

1.3 Contact Officer

Position

Phone

Fax

Email

Address

This section asks about your agency's total revenues, charges and expenses. All agencies should complete this section, whether or not you consider you undertake cost recovery.

Section 2: Agency revenues, charges and expenses

(Please indicate with a 'X' which response applies)

2.1 Has your agency charged any cost recovery fees, levies or other charges in the last five financial years?

YES	NO
X	

Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your agency, and which are collected by your agency or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.

2.2 Were any of the appropriations allocated to your agency in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your agency or by another agency on your behalf).

YES	NO
X	

2.3 Has your agency considered introducing any cost recovery arrangements in the past that were not implemented?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

2.4 Is your agency considering introducing any cost recovery arrangements in the future?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

If you answered NO to questions 2.1 and 2.2, you need not answer any further questions. Thank you for your cooperation. Please return the questionnaire to the Commission (see front sheet for instructions).

If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and Part II on the following worksheet.

Section 3: Agency revenues and expenses

3.1 Does your agency classify revenues and expenses as agency and administered?

Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).

YES	NO
	X

If your agency classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your agency does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.

AGENCY revenues and expenses (Please use \$'000)					
	1995-96	1996-97	1997-98	1998-99	1999-2000
Agency revenue from cost recovery (a)					
3.2 Cost recovery revenue retained by your agency	198	213	169	783	983
3.3 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)	8113	8680	8280	7480	7468
3.4 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)	0	0	0	0	0
3.5 Total agency revenue from cost recovery	8311	8893	8449	8263	8451
Agency revenue from other sources					
3.6 Other appropriations	10258	5691	12324	12399	14147
3.7 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)	1582	1290	1012	1089	1030
3.8 Total agency revenue from other sources	11840	6981	13336	13488	15177
3.9 Total agency revenue	20151	15874	21785	21751	23628
3.10 Total agency expenses	18369	17791	20394	21877	24204

CRF Consolidated Revenue Fund
(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

ADMINISTERED revenues and expenses (Please use \$'000)

	1995-96	1996-97	1997-98	1998-99	1999-2000
Administered revenue from cost recovery (a)					
3.11 Cost recovery revenue retained by your agency					
3.12 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated,					
3.13 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)					
3.14 Total administered revenue from cost recovery	0	0	0	0	0
Administered revenue from other sources					
3.15 Other appropriations					
3.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)					
3.17 Total administered revenue from other sources	0	0	0	0	0
3.18 Total administered revenue	0	0	0	0	0
3.19 Total administered expenses					

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

End of Part I. Please complete Part II, which is on a separate worksheet.

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) <input type="text" value="Fisheries management costs are mainly recovered through fishing levies charged to holders of fishing concessions. Some revenue is also collected through license application fees and observer fees."/>
4.2	Basic description of arrangements: (Please attach any relevant documents.) <input type="text" value="Fishing levies are collected from concession holders each financial year. License application fees, eg, boat transfer application, are paid at time of application. Observer fees are charged directly to the relevant operator by invoice on a fee for service basis."/>
4.3	Who pays the cost recovery charges? <input type="text" value="Levies are payable by all current fishing permit and Statutory Fishing Right (SFR) holders."/>
4.4	Who benefits from the program or activity, output or outcome? <input type="text" value="The fishing industry and indirectly the Commonwealth and the Community"/>
4.5	Do you attempt to measure these benefits? If YES, how? <input type="text" value="No"/>
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) <input type="text" value="No"/>
4.7	When was this cost recovery arrangement introduced? <input type="text" value="late 1980s"/>

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Australian Fisheries Management Authority

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same agency	\$	7468
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	0
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	0
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	7468
4.12	Cost recovery not paid into CRF	\$	983
4.13	Total cost recovery revenue	\$	8451
4.14	Appropriations not related to cost recovery	\$	14147
4.15	Other sources (please specify)	\$	1030
4.16	Total program or activity, output or outcome revenues	\$	23628
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	19161
4.18	Indirect expenses (including corporate overheads)	\$	5043
4.19	Third party expenses (a)	\$	0
4.20	Total program or activity, output or outcome expenses	\$	24204
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	in 4.18

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity a their costs are being recovered as part of the cost recovery arrangements.

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome Australian Fisheries Management Authority

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) 1989 - Commonwealth policy statement "New Directions for Commonwealth Fisheries Management in the 1990's. Legislative objectives in 6(e) of the Fisheries Administration Act 1991 and 3(1)(e) of the Fisheries Management Act 1991

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Section 3 (1) (e) of the Fisheries Management Act 1991. Fishing Levy Act 1991.
Subordinate legislation (eg. regulations, standards)	Fishing Levy Regulations, which are revised annually.
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	DPIE/ABARE/DoFA - With AFMA in a taskforce - produced 1994 Cost
Other governments (state, territory, local)	1989 Fisheries Policy Statement
Industry	Various industry bodies in the 1980s
Consumers	
Other	1992 Industry Commission Inquiry

5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	A review of Cost Recovery for Commonwealth Fisheries was undertaken in 1993/94 by a working group including representatives of AFMA, DPIE, DoFA and ABARE. A report was completed by the working group in March 1994. (previously supplied to Dr Gentle)					
5.5 Which agency is responsible for the following activities? (Please name relevant agency) Policy setting Price setting Administration Revenue collection	<table border="1"> <tr> <td data-bbox="904 608 1339 639">DoFA, AFFA and AFMA</td> </tr> <tr> <td data-bbox="904 639 1339 671">Australian Fisheries Management Authority</td> </tr> <tr> <td data-bbox="904 671 1339 703">Australian Fisheries Management Authority</td> </tr> <tr> <td data-bbox="904 703 1339 735">Australian Fisheries Management Authority</td> </tr> </table>	DoFA, AFFA and AFMA	Australian Fisheries Management Authority	Australian Fisheries Management Authority	Australian Fisheries Management Authority	
DoFA, AFFA and AFMA						
Australian Fisheries Management Authority						
Australian Fisheries Management Authority						
Australian Fisheries Management Authority						
5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.) Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	<table border="1"> <tr> <td data-bbox="904 802 1794 834">Levies are reviewed annually by the Minister's office prior to ExCo approval</td> </tr> <tr> <td data-bbox="904 834 1794 866"></td> </tr> <tr> <td data-bbox="904 866 1794 898">Yes (see 5.7)</td> </tr> <tr> <td data-bbox="904 898 1794 930"></td> </tr> <tr> <td data-bbox="904 930 1794 962"></td> </tr> </table>	Levies are reviewed annually by the Minister's office prior to ExCo approval		Yes (see 5.7)		
Levies are reviewed annually by the Minister's office prior to ExCo approval						
Yes (see 5.7)						
5.7 Please describe these consultation arrangements.	Budgeted costs for each fishery are reviewed annually by the relevant Management Advisory Committee which include industry					
5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Cost recovery arrangements were reviewed by a government working group including representatives of AFMA, DPIE and DoFA in March 1994. (previously supplied to Dr Gentle)					

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Australian Fisheries Management Authority

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

- | | |
|--|---|
| (i) How are charges set? (eg. by formula in legislation or based on 'market prices') | Levies are based on annual industry budgets (ie those costs attributable and recoverable from industry as per policy) for each fishery divided between the concession holders in that fishery. The resulting levies are set by regulation |
| (ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets) | Levies are directly related to costs as set out in the policy. |

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

- | | |
|--|---|
| (i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads) | Industry's share of direct costs and allocated overheads |
| (ii) What proportion of these costs do charges aim to recover? (%) | 100% of industry costs |
| (iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets) | Depreciation of assets is included in overheads which are allocated to direct costs |
| (iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost) | Assets are valued at cost or revalued triennially on the deprival basis |
| (v) Do charges include a user cost of capital? | No |
| (vi) If 'YES' to (iv), how is it calculated? | |
| (vii) Do charges include return on assets? (eg. profit) | No |
| (viii) If 'YES' to (vii), on what basis? | |
| (ix) Do charges discriminate between types of users? | Yes |
| (x) If 'YES' to (ix), on what basis? | Different levies are set for each fishery. |

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes
(xii) If 'YES' to (xi), on what basis?	Arrangements to pay are agree for individuals in some circumstances. In
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Overheads are allocated to fisheries based on each fishery's share of direct costs or share of direct staff numbers. The allocation method depends on the type of overhead.
6.4 Are there any price controls on these charges?	No
6.5 How often is the level of charges changed?	Levies are recalculated annually.
6.6 What happens if revenue recovered is greater than costs incurred?	Over recovered revenue is returned to the relevant fishery by means of a reduction to the following year's levy.

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)