

## PART I

**ALL PORTFOLIOS ARE REQUESTED TO COMPLETE PART I.**

**If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE.**

### Section 1: Contact details

1.1 Portfolio

1.2 Reporting and financial arrangements are governed by:  
(Please indicate with a 'X' whether one or more of the following Acts apply)

*Financial Management and Accountability Act 1997*

YES	NO
X	

*Commonwealth Authorities and Companies Act 1997*

YES	NO
	X

Other

1.3 Contact Officer

Position

Phone

Fax

Email

Address

**This section asks about your portfolio’s total revenues, charges and expenses (but not including the revenues, charges and expenses of agencies that will be completing separate responses to this questionnaire - see Attachment A). All portfolios should complete this section, whether or not you consider you undertake cost recovery.**

**Section 2: Portfolio revenues, charges and expenses**

(Please indicate with a 'X' which response applies)

2.1 Has your portfolio charged any cost recovery fees, levies or other charges in the last five financial years?

YES	NO
X	

*Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your portfolio, and which are collected by your portfolio, or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.*

2.2 Were any of the appropriations allocated to your portfolio in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your portfolio or by another agency on your behalf).

YES	NO
X	

2.3 Has your portfolio considered introducing any cost recovery arrangements in the past that were not implemented?  
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

2.4 Is your portfolio considering introducing any cost recovery arrangements in the future?  
(Please attach any relevant reviews, analysis or other information.)

YES	NO
X	

**If you answered NO to questions 2.1 and 2.2, you need not answer any further questions. Thank you for your cooperation. Please return the questionnaire to the Commission (see front sheet for instructions).**

**If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and Part II on the following worksheet.**

**Section 3: Portfolio revenues and expenses**

**Please do not include the revenues and expenses in this section of agencies that will be completing separate responses to this questionnaire (see Attachment A).**

3.1 Does your portfolio classify revenues and expenses as agency and administered?

*Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).*

YES	NO
X	

**If your portfolio classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your portfolio does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.**

<b>AGENCY portfolio revenues and expenses (Please use \$'000)</b>					
	1995-96	1996-97	1997-98	1998-99	1999-2000
<b>Agency revenue from cost recovery (a)</b>					
3.2 Cost recovery revenue retained by your portfolio					
3.3 Cost recovery revenue paid to CRF and appropriated to your portfolio (or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)	3805	3744	8933	5047	5365
3.4 Cost recovery revenue paid to CRF and not specifically appropriated to your portfolio (or another agency)					
<b>3.5 Total agency revenue from cost recovery</b>	<b>3805</b>	<b>3744</b>	<b>8933</b>	<b>5047</b>	<b>5365</b>
<b>Agency revenue from other sources</b>					
3.6 Other appropriations	169040	162761	145746	145576	219681
3.7 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)	75	126	80	1438	3768
<b>3.8 Total agency revenue from other sources</b>	<b>169115</b>	<b>162887</b>	<b>145826</b>	<b>147014</b>	<b>223449</b>
<b>3.9 Total portfolio agency revenue</b>	<b>172920</b>	<b>166631</b>	<b>154759</b>	<b>152061</b>	<b>228814</b>
<b>3.10 Total agency expenses</b>	<b>173498</b>	<b>178042</b>	<b>154698</b>	<b>186525</b>	<b>231785</b>

**CRF Consolidated Revenue Fund**  
(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your portfolio, and which is paid to your portfolio, to another agency or to the Consolidated Revenue Fund.

**ADMINISTERED portfolio revenues and expenses (Please use \$'000)**

	1995-96	1996-97	1997-98	1998-99	1999-2000
<b>Administered revenue from cost recovery (a)</b>					
3.11 Cost recovery revenue retained by your portfolio					
3.12 Cost recovery revenue paid to CRF and appropriated to your portfolio (or another agency for a specific purpose (ie. annotated,	1695	2762	3915	6352	6333
3.13 Cost recovery revenue paid to CRF and not specifically appropriated to your portfolio (or another agency)					
<b>3.14 Total administered revenue from cost recovery</b>	<b>1695</b>	<b>2762</b>	<b>3915</b>	<b>6352</b>	<b>6333</b>
<b>Administered revenue from other sources</b>					
3.15 Other appropriations	131507	121943	1401557	91672	146184
3.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)	2976	1772	2264	103452	2426
<b>3.17 Total administered revenue from other sources</b>	<b>134483</b>	<b>123715</b>	<b>1403821</b>	<b>195124</b>	<b>148610</b>
<b>3.18 Total portfolio administered revenue</b>	<b>136178</b>	<b>126477</b>	<b>1407736</b>	<b>201476</b>	<b>154943</b>
<b>3.19 Total administered expenses</b>	<b>131276</b>	<b>105752</b>	<b>1548207</b>	<b>408765</b>	<b>329951</b>

**CRF Consolidated Revenue Fund**

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your portfolio, and which is paid to your portfolio, to another agency or to the Consolidated Revenue Fund.

**End of Part I. Please complete Part II, which is on a separate worksheet.**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome Cooperative Research Centre - Antarctica and Southern Oceans

#### **Section 4: Cost recovery arrangements in 1999-2000**

	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Provision of research capacity, including ship time, transportation and infrastructure
4.2	Basic description of arrangements: (Please attach any relevant documents.)	Agreement between CoA, AAD, AGSO, Met Bureau, U Tas signed October, 1997
4.3	Who pays the cost recovery charges?	University of Tasmania
4.4	Who benefits from the program or activity, output or outcome?	The Australian public through research.
4.5	Do you attempt to measure these benefits? If YES, how?	Yes, based on the contribution made to the Australian Antarctic Program
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	No
4.7	When was this cost recovery arrangement introduced?	The CRC was established in 1997 and the cost recovery agreements commenced at that time.

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Cooperative Research Centre - Antarctica and Southern Oceans

#### **Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)** (Please use \$'000)

##### **Program or activity, output or outcome revenues**

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	1100	
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$		
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$		
4.11 Cost recovery revenue paid to CRF (subtotal)	\$	1100	
4.12 Cost recovery not paid into CRF	\$		
4.13 Total cost recovery revenue	\$	1100	
4.14 Appropriations not related to cost recovery			\$
4.15 Other sources (please specify)			\$
<b>4.16 Total program or activity, output or outcome revenues</b>			<b>\$ 1100</b>

##### **Program or activity, output or outcome expenses**

4.17 Direct expenses	\$	1100	
4.18 Indirect expenses (including corporate overheads)	\$		
4.19 Third party expenses (a)	\$		
<b>4.20 Total program or activity, output or outcome expenses</b>	<b>\$</b>	<b>1100</b>	

##### **Administration costs**

4.21 What costs are associated with administering the cost recovery arrangements? \$ 100

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity and their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome Cooperative Research Centre - Antarctica and Southern Oceans

### **Section 5: Institutional arrangements**

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|-----|---|--|
| 5.1 | What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) | Legislative protocol which established the CRC allowed for industry, University and Cwth participation and contributions, and AAD provided the logistical and some research capacity through facilities and staff. |
| 5.2 | What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)  |  |
|     | Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)   | Facility available under the Cooperative Research Centres Program legislation  |
|     | Subordinate legislation (eg. regulations, standards)  |  |
|     | Co-regulation or quasi-regulation   |  |
|     | Commonwealth/State/Territory agreement  |  |
|     | Voluntary arrangements (eg. codes of practice)  |  |
|     | Other   |  |
| 5.3 | Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)                             |  |
|     | Commonwealth government (DOFA etc)  | AGS, DOFA  |
|     | Other governments (state, territory, local)   |  |
|     | Industry  |  |
|     | Consumers   |  |
|     | Other   | University of Tasmania   |
| 5.4 | What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)                                   | Guidelines for Costing Government Activities (DOFA 1991), Estimates Memorandum 21/1995   |
| 5.5 | Which agency is responsible for the following activities? (Please name relevant agency)   |  |
|     | Policy setting  | Administrative Board of the CRC  |
|     | Price setting   | for the provision of service by AAD to the CRC, AAD  |
|     | Administration  | AAD  |
|     | Revenue collection  | AAD  |
| 5.6 | Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)   |  |
|     | Commonwealth government (DOFA etc)  |  |
|     | Other governments (state, territory, local)   |  |
|     | Industry  |  |
|     | Consumers   |  |
|     | Other   | Yes, in accord with the agreement establishing the CRC and the parties   |
| 5.7 | Please describe these consultation arrangements.  | Board deliberations are determined through participation representatives   |

5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	The cost recovery protocols were established with the inception of the CRC and are reviewed by the participating organisations through the Board process.
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**PART II(d)**

Name of sub-unit, agency, program or activity, output or outcome	Cooperative Research Centre - Antarctica and Southern Oceans
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**Section 6: Price setting arrangements**

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)	
(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	The cost recovery charges were set by negotiation at the inception of the CRC with reference to the service requirements, the service levels, and the known cost of providing the services.
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	The cost recovery charges were determined by reference to the actual cost of providing service by AAD
6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Only the Direct cost of the service.
(ii) What proportion of these costs do charges aim to recover? (%)	100%
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	The service is provided through a chartered vessel (in the main) although all assets of AAD are revalued periodically and the cost of capital and depreciation, where appropriate, is included in direct costs.
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	Deprival method
(v) Do charges include a user cost of capital?	Yes
(vi) If 'YES' to (iv), how is it calculated?	Based on the % of assets deployed (no adjustment for Liabilities)
(vii) Do charges include return on assets? (eg. profit)	No
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	No
(x) If 'YES' to (ix), on what basis?	
(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes
(xii) If 'YES' to (xi), on what basis?	Subject to request and statutory authority to comply.
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	N/A
6.4 Are there any price controls on these charges?	N/A
6.5 How often is the level of charges changed?	Prices were set at the inception of the CRC in 1997 for the period 1997 - 2000 inclusive.
6.6 What happens if revenue recovered is greater than costs incurred?	There are provisions for gain sharing in the agreement.



**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome: Italian, Dutch Joint Venture agreements and cost recovery for phone and other costs from Universities and the Bureau of Metrology

#### Section 4: Cost recovery arrangements in 1999-2000

Descriptive material		
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Provision of research capacity, including ship time, transportation and infrastructure
4.2	Basic description of arrangements: (Please attach any relevant documents.)	Based on Memorandum of understanding; based on Certified agreements
4.3	Who pays the cost recovery charges?	Italian, Dutch and individual staff
4.4	Who benefits from the program or activity, output or outcome?	The Australian public through research.
4.5	Do you attempt to measure these benefits? If YES, how?	Yes, based on the contribution made to the Australian Antarctic Program
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	No
4.7	When was this cost recovery arrangement introduced?	1998, 1999; 1947 (Staff recoveries)

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome: Italian, Dutch Joint Venture agreements and cost recovery for phone and other costs from Universities and the Bureau of Metrology

#### Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)

(Please use \$'000)

##### Program or activity, output or outcome revenues

4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	1238
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	1238
4.12	Cost recovery not paid into CRF	\$	
4.13	Total cost recovery revenue	\$	1238
4.14	Appropriations not related to cost recovery	\$	
4.15	Other sources (please specify)	\$	
<b>4.16</b>	<b>Total program or activity, output or outcome revenues</b>	\$	<b>1238</b>

##### Program or activity, output or outcome expenses

4.17	Direct expenses	\$	1238
4.18	Indirect expenses (including corporate overheads)	\$	
4.19	Third party expenses (a)	\$	
4.20	<b>Total program or activity, output or outcome expenses</b>	\$	<b>1238</b>

##### Administration costs

4.21	What costs are associated with administering the cost recovery arrangements?	\$	50
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CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome	Italian, Dutch Joint Venture agreements and cost recovery for phone and other costs from Universities and the Bureau of Metrology
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### **Section 5: Institutional arrangements**

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	Antarctic Treaty guarantees collaborative and cooperative research between member nations.
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5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	s.31 of the Financial Management and Accountability Act
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	Memorandum of understanding

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	AGS, DOFA, Department of Foreign Affairs
Other governments (state, territory, local)	
Industry	
Consumers	
Other	Italians

5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Guidelines for Costing Government Activities (DOFA 1991), Estimates Memorandum 21/1995
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5.5 Which agency is responsible for the following activities? (Please name relevant agency)	
Policy setting	AAD, Treaty Nations
Price setting	AAD
Administration	AAD
Revenue collection	AAD

5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)	
Commonwealth government (DOFA etc)	
Other governments (state, territory, local)	
Industry	
Consumers	
Other	Yes, with the participating members

5.7 Please describe these consultation arrangements.	Board deliberations are determined through participation representatives
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5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	The cost recovery protocols were established with the inception of the MOU and are reviewed by the participating organisations through the Board process.
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## PART II(d)

Name of sub-unit, agency, program or activity, output or outcome Italian, Dutch Joint Venture agreements and cost recovery for phone and other costs from Universities and the Bureau of Metrology

### Section 6: Price setting arrangements

6.1	How are these cost recovery charges determined? (Please attach any relevant documents)	
	(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	The cost recovery charges were set by negotiation at the inception of the MOU, with reference to the service requirements, the service levels, and the known cost of providing the services.
	(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	The cost recovery charges were determined by reference to the actual cost of providing service by AAD
6.2	If charges are directly related to the costs of particular activities, outputs or outcomes:	
	(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Only the Direct cost of the service.
	(ii) What proportion of these costs do charges aim to recover? (%)	100%
	(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	The service is provided through a chartered vessel (in the main) although all assets of AAD are revalued periodically and the cost of capital and depreciation where appropriate, is included in direct costs.
	(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	Deprival method
	(v) Do charges include a user cost of capital?	Yes
	(vi) If 'YES' to (iv), how is it calculated?	Based on the % of assets deployed (no adjustment for Liabilities)
	(vii) Do charges include return on assets? (eg. profit)	No
	(viii) If 'YES' to (vii), on what basis?	
	(ix) Do charges discriminate between types of users?	No
	(x) If 'YES' to (ix), on what basis?	
	(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes
	(xii) If 'YES' to (xi), on what basis?	Subject to request and statutory authority to comply.
	(xiii) Other (Please describe other significant features)	
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	N/A
6.4	Are there any price controls on these charges?	N/A
6.5	How often is the level of charges changed?	Prices were set at the inception of the MOU in 1999 for the period 1999 - 2000 inclusive.
6.6	What happens if revenue recovered is greater than costs incurred?	There is no provision for gain sharing in the agreement.

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome Australian Bird and Bat Banding Scheme, Environment Australia

#### Section 4: Cost recovery arrangements in 1999-2000

	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Service charge
4.2	Basic description of arrangements: (Please attach any relevant documents.)	Eligible researchers pay an annual fee for access to services provided by the ABBBS
4.3	Who pays the cost recovery charges?	Authorised bird and bat banders
4.4	Who benefits from the program or activity, output or outcome?	Environment Australia, individual researchers, State and Territory wildlife authorities, members of the public (finders of banded birds or bats), other international banding schemes, environmental consultants
4.5	Do you attempt to measure these benefits? If YES, how?	Yes, but in terms of information, not cost. ABBBS activities are reported annually.
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	NO. The ABBBS is unique in Australia.
4.7	When was this cost recovery arrangement introduced?	Sep-96

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Australian Bird and Bat Banding Scheme, Environment Australia

#### Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)

(Please use \$'000)

##### Program or activity, output or outcome revenues

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$		
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$		
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$		
4.11 Cost recovery revenue paid to CRF (subtotal)	\$	0	
4.12 Cost recovery not paid into CRF	\$	32	
4.13 Total cost recovery revenue	\$	32	
4.14 Appropriations not related to cost recovery	\$		
4.15 Other sources (please specify)	\$		
<b>4.16 Total program or activity, output or outcome revenues</b>	<b>\$</b>	<b>32</b>	

##### Program or activity, output or outcome expenses

4.17 Direct expenses	\$	85	
4.18 Indirect expenses (including corporate overheads)	\$	240	
4.19 Third party expenses (a)	\$	0	
<b>4.20 Total program or activity, output or outcome expenses</b>	<b>\$</b>	<b>325</b>	

##### Administration costs

4.21 What costs are associated with administering the cost recovery arrangements?	\$	25	
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CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome	Australian Bird and Bat Banding Scheme, Environment Australia
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### **Section 5: Institutional arrangements**

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	Minute from DOFA requesting Departments to investigate possibility of cost recovery.
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5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	Internal fee

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	
Other governments (state, territory, local)	State and Territory wildlife authorities
Industry	
Consumers	ABBBS Regional Organisers and senior banders
Other	

5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	ANPWS CEO Financial Instructions
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5.5 Which agency is responsible for the following activities? (Please name relevant agency)	
Policy setting	Environment Australia
Price setting	Environment Australia
Administration	Environment Australia
Revenue collection	Environment Australia

5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)	
Commonwealth government (DOFA etc)	
Other governments (state, territory, local)	
Industry	
Consumers	YES
Other	

5.7 Please describe these consultation arrangements.	Letters of complaint on imposition of fees answered by letters from the Minister for the Environment and Heritage
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5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	NO
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## PART II(d)

Name of sub-unit, agency, program or activity, output or outcome Australian Bird and Bat Banding Scheme, Environment Australia

### **Section 6: Price setting arrangements**

6.1	How are these cost recovery charges determined? (Please attach any relevant documents)	
(i)	How are charges set? (eg. by formula in legislation or based on 'market prices')	Originally 10% of estimated annual running cost (1000 banders costing \$500,000 p.a, fee \$50 per bander)
(ii)	Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	NO. Flat Fee for service
6.2	If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i)	What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	
(ii)	What proportion of these costs do charges aim to recover? (%)	
(iii)	Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	
(iv)	If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v)	Do charges include a user cost of capital?	
(vi)	If 'YES' to (iv), how is it calculated?	
(vii)	Do charges include return on assets? (eg. profit)	
(viii)	If 'YES' to (vii), on what basis?	
(ix)	Do charges discriminate between types of users?	
(x)	If 'YES' to (ix), on what basis?	
(xi)	Do charges allow for access and equity considerations (eg. waivers, discounts)?	
(xii)	If 'YES' to (xi), on what basis?	
(xiii)	Other (Please describe other significant features)	
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	
6.4	Are there any price controls on these charges?	no
6.5	How often is the level of charges changed?	After GST introduced
6.6	What happens if revenue recovered is greater than costs incurred?	Unlikely - only 10% of costs recovered

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome: Australia and New Zealand Environment and Conservation Council Secretariat

#### **Section 4: Cost recovery arrangements in 1999-2000**

	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Charge for ANZECC Publications
4.2	Basic description of arrangements: (Please attach any relevant documents.)	
4.3	Who pays the cost recovery charges?	Purchasers of publications (public, governments, industry etc)
4.4	Who benefits from the program or activity, output or outcome?	Purchasers of publications (public, governments, industry etc)
4.5	Do you attempt to measure these benefits? If YES, how?	No
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	No, except joint ARMCANZ-ANZECC publications which are also distributed by the ARMCANZ Secretariat.
4.7	When was this cost recovery arrangement introduced?	1992?

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome: Australia and New Zealand Environment and Conservation Council Secretariat

#### **Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)** (Please use \$'000)

##### **Program or activity, output or outcome revenues**

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$			
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$			
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$			
4.11 Cost recovery revenue paid to CRF (subtotal)	\$	0		
4.12 Cost recovery not paid into CRF			\$ 10	
4.13 Total cost recovery revenue			\$ 10	
4.14 Appropriations not related to cost recovery				\$
4.15 Other sources (please specify)				\$
<b>4.16 Total program or activity, output or outcome revenues</b>				<b>\$ 10</b>

##### **Program or activity, output or outcome expenses**

4.17 Direct expenses	\$			
4.18 Indirect expenses (including corporate overheads)	\$	15		
4.19 Third party expenses (a)	\$			
<b>4.20 Total program or activity, output or outcome expenses</b>	\$	<b>15</b>		

##### **Administration costs**



4.21 What costs are associated with administering the cost recovery arrangements? \$

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity and their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome

### **Section 5: Institutional arrangements**

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	<input type="text"/>
Subordinate legislation (eg. regulations, standards)	<input type="text"/>
Co-regulation or quasi-regulation	<input type="text"/>
Commonwealth/State/Territory agreement	<input type="text" value="Decision by ANZECC"/>
Voluntary arrangements (eg. codes of practice)	<input type="text"/>
Other	<input type="text"/>

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	<input type="text"/>
Other governments (state, territory, local)	<input type="text" value="States and Territories, through ANZECC"/>
Industry	<input type="text"/>
Consumers	<input type="text"/>
Other	<input type="text"/>

5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)

5.5 Which agency is responsible for the following activities? (Please name relevant agency)

Policy setting	<input type="text" value="Environment Australia"/>
Price setting	<input type="text" value="Environment Australia"/>
Administration	<input type="text" value="Environment Australia"/>
Revenue collection	<input type="text" value="Environment Australia"/>

5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)

Commonwealth government (DOFA etc)	<input type="text"/>
Other governments (state, territory, local)	<input type="text" value="States and Territories through ANZECC"/>
Industry	<input type="text"/>
Consumers	<input type="text"/>
Other	<input type="text"/>

5.7 Please describe these consultation arrangements.

5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	The ANZECC Trust Fund was reviewed in 1998 (See attached copy of agenda paper for Item 10 of the Standing Committee on Environment Protection 29 April 1998). It was decided to continue with cost recovery for publications
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**PART II(d)**

Name of sub-unit, agency, program or activity, output or outcome	Australia and New Zealand Environment and Conservation Council Secretariat
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**Section 6: Price setting arrangements**

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

- |  |   |
|--|---|
| (i) How are charges set? (eg. by formula in legislation or based on 'market prices')   | To recover direct marginal costs (ie of printing and distribution). Does not cover cost of content ie intellectual property |
| (ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets) | Charges are related to input costs  |

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

- |  |  |
|--|--|
| (i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)                                  | Only direct costs of printing and distribution |
| (ii) What proportion of these costs do charges aim to recover? (%)   | 100%, in round figures                         |
| (iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets) | No   |
| (iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)                     |  |
| (v) Do charges include a user cost of capital?   | No   |
| (vi) If 'YES' to (iv), how is it calculated?   |  |
| (vii) Do charges include return on assets? (eg. profit)  | No   |
| (viii) If 'YES' to (vii), on what basis?   |  |
| (ix) Do charges discriminate between types of users?   | No   |
| (x) If 'YES' to (ix), on what basis?   |  |
| (xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?   | No   |
| (xii) If 'YES' to (xi), on what basis?   |  |
| (xiii) Other (Please describe other significant features)  |  |

6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Not applicable
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6.4 Are there any price controls on these charges?	No
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6.5 How often is the level of charges changed?	When publications are reprinted; when GST introduced; or similar events
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6.6 What happens if revenue recovered is greater than costs incurred?	All revenue is retained in a trust fund for future activities
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**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome	Policy Coordination Division & Strategic Development Division, Dept of the Environment and Heritage, output 1.3.6, provision of corp serv to portfolio agencies.
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#### Section 4: Cost recovery arrangements in 1999-2000

	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Service delivery arrangement with portfolio entity, Australian Greenhouse Office, for provision of a range of corporate services.
4.2	Basic description of arrangements: (Please attach any relevant documents.)	Memorandum of Understanding setting out the relationship between the parties, services to be provided and fees. (1998-2000 MOU is attached.)
4.3	Who pays the cost recovery charges?	Australian Greenhouse Office
4.4	Who benefits from the program or activity, output or outcome?	Australian Greenhouse Office
4.5	Do you attempt to measure these benefits? If YES, how?	Performance standards are stipulated in a schedule to the MOU and any departures from these standards are discussed between the parties.
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	The department's corporate services are currently being market tested to determine the existence or extent of alternative private sector service providers. Within the public sector, potentially, all government departments provide equivalent services.
4.7	When was this cost recovery arrangement introduced?	1998

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome	Policy Coordination Division & Strategic Development Division, Dept of the Environment and Heritage, output 1.3.6, provision of corp serv to portfolio agencies.
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#### Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)

(Please use \$'000)

##### Program or activity, output or outcome revenues

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	[ ]	
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	[ ]	
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	[ ]	
4.11 Cost recovery revenue paid to CRF (subtotal)	\$	0	
4.12 Cost recovery not paid into CRF	\$	462	
4.13 Total cost recovery revenue	\$	462	
4.14 Appropriations not related to cost recovery	\$	[ ]	
4.15 Other sources (please specify)	\$	[ ]	
<b>4.16 Total program or activity, output or outcome revenues</b>	\$	<b>462</b>	

##### Program or activity, output or outcome expenses

4.17 Direct expenses	\$	462	
4.18 Indirect expenses (including corporate overheads)	\$	[ ]	
4.19 Third party expenses (a)	\$	[ ]	
<b>4.20 Total program or activity, output or outcome expenses</b>	\$	<b>462</b>	

##### Administration costs

4.21 What costs are associated with administering the cost recovery arrangements?	\$	12	
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CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome	Policy Coordination Division & Strategic Development Division, Dept of the Environment and Heritage, output 1.3.6, provision of corp serv to portfolio agencies.
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### **Section 5: Institutional arrangements**

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	The Australian Greenhouse Office was established as a discrete agency within the Environment and Heritage Portfolio, with its operations being separate from the Department's. It was agreed between the two agencies that corporate services would be provided by the Department to Australian Greenhouse Office on a service delivery/cost recovery basis.
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5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Section 31 of the Financial Management and Accountability Act 1997
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	Australian Greenhouse Office and Dept of the Environment and Heritage
Other governments (state, territory, local)	
Industry	Pricewaterhouse Coopers were the department's consultants
Consumers	
Other	

5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)

--

5.5 Which agency is responsible for the following activities? (Please name relevant agency)

Policy setting	Dept of the Environment and Heritage
Price setting	Dept of the Environment and Heritage
Administration	Dept of the Environment and Heritage
Revenue collection	Dept of the Environment and Heritage

5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)

Commonwealth government (DOFA etc)	Australian Greenhouse Office
Other governments (state, territory, local)	
Industry	
Consumers	
Other	

5.7 Please describe these consultation arrangements.

Negotiation of agreement, provision of staffing numbers quarterly to calculate fee to be invoiced, and day-to-day issues with service delivery.
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5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)

The agreement is renegotiated biennially. (The draft 2000-2002 MOU is attached.)
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## PART II(d)

Name of sub-unit, agency, program or activity, output or outcome Policy Coordination Division & Strategic Development Division, Dept of the Environment and Heritage, output 1.3.6, provision of corp serv to portfolio agencies.

### Section 6: Price setting arrangements

6.1	How are these cost recovery charges determined? (Please attach any relevant documents)	
(i)	How are charges set? (eg. by formula in legislation or based on 'market prices')	Annual fee is based upon an average ASL cost multiplied by the staff resources allocated to each function multiplied by the total AGO staff as determined quarterly. (1998-2000 Costing attached.)
(ii)	Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	Directly related to an estimate of activity costs.
6.2	If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i)	What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Direct costs.
(ii)	What proportion of these costs do charges aim to recover? (%)	100%
(iii)	Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No.
(iv)	If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprivation or replacement cost)	
(v)	Do charges include a user cost of capital?	No.
(vi)	If 'YES' to (iv), how is it calculated?	
(vii)	Do charges include return on assets? (eg. profit)	No.
(viii)	If 'YES' to (vii), on what basis?	
(ix)	Do charges discriminate between types of users?	No.
(x)	If 'YES' to (ix), on what basis?	
(xi)	Do charges allow for access and equity considerations (eg. waivers, discounts)?	No.
(xii)	If 'YES' to (xi), on what basis?	
(xiii)	Other (Please describe other significant features)	
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	In the 1998-2000 agreement, indirect costs were not recovered. In the forthcoming agreement, the department is proposing that indirect costs be recovered based on activity based costing calculations.
6.4	Are there any price controls on these charges?	No.
6.5	How often is the level of charges changed?	Biennially.
6.6	What happens if revenue recovered is greater than costs incurred?	Revenue has not exceeded costs incurred and is unlikely to.

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome National Industrial Chemical Notification and Assessment Scheme (NICNAS)

#### **Section 4: Cost recovery arrangements in 1999-2000**

	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Full cost recovery for providing environmental risk assessments
4.2	Basic description of arrangements: (Please attach any relevant documents.)	A copy of the service level agreement is attached - please note that this is out of date and is currently being renegotiated.
4.3	Who pays the cost recovery charges?	NICNAS, through charges levied on industry.
4.4	Who benefits from the program or activity, output or outcome?	The community - risks to the non-human environment of new (and priority existing) industrial chemicals are appropriately and independently considered.
4.5	Do you attempt to measure these benefits? If YES, how?	no
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	Not at present
4.7	When was this cost recovery arrangement introduced?	1996/97

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome National Industrial Chemical Notification and Assessment Scheme (NICNAS)

#### **Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)** (Please use \$'000)

##### **Program or activity, output or outcome revenues**

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	499	
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	0	
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	0	
4.11 Cost recovery revenue paid to CRF (subtotal)	\$	499	
4.12 Cost recovery not paid into CRF	\$	0	
4.13 Total cost recovery revenue	\$	499	
4.14 Appropriations not related to cost recovery	\$	0	
4.15 Other sources (please specify)	\$	0	
<b>4.16 Total program or activity, output or outcome revenues</b>	<b>\$</b>	<b>499</b>	

##### **Program or activity, output or outcome expenses**

4.17 Direct expenses	\$	391	
4.18 Indirect expenses (including corporate overheads)	\$	108	
4.19 Third party expenses (a)	\$	0	
<b>4.20 Total program or activity, output or outcome expenses</b>	<b>\$</b>	<b>499</b>	

##### **Administration costs**

4.21 What costs are associated with administering the cost recovery arrangements? \$  CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity and their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome

### **Section 5: Institutional arrangements**

- 5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)
- 5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)
- |   |  |
|---|--|
| Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts) | Relevant legislation covering NICNAS is the Industrial chemical notification and Assessment Act 1989, as amended. EA's costs are covered by a Service Level Agreement between EA and NICNAS. |
| Subordinate legislation (eg. regulations, standards)  |  |
| Co-regulation or quasi-regulation   |  |
| Commonwealth/State/Territory agreement  |  |
| Voluntary arrangements (eg. codes of practice)  |  |
| Other   |  |
- 5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)
- |   |   |
|---|---|
| Commonwealth government (DOFA etc)          | Direct negotiations between EA and NICNAS using DOFA guidelines |
| Other governments (state, territory, local) |   |
| Industry                                    |   |
| Consumers                                   |   |
| Other                                       |   |
- 5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)
- 5.5 Which agency is responsible for the following activities? (Please name relevant agency)
- |                    |  |
|--------------------|--|
| Policy setting     | Environment Australia                        |
| Price setting      | Environment Australia negotiated with NICNAS |
| Administration     | Environment Australia/NICNAS                 |
| Revenue collection | Environment Australia/NICNAS                 |
- 5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)
- |   |                 |
|---|-----------------|
| Commonwealth government (DOFA etc)          | NICNAS (DEWRSB) |
| Other governments (state, territory, local) |                 |
| Industry                                    |                 |
| Consumers                                   |                 |
| Other                                       |                 |
- 5.7 Please describe these consultation arrangements.



5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Only to extent of 5.7
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**PART II(d)**

Name of sub-unit, agency, program or activity, output or outcome	National Industrial Chemical Notification and Assessment Scheme (NICNAS)
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**Section 6: Price setting arrangements**

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)	
(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	Currently by formulae (DOFA guidelines)
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	Charges related to costs (ASL usage)
6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Direct and indirect costs
(ii) What proportion of these costs do charges aim to recover? (%)	100 per cent of costs
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No.
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v) Do charges include a user cost of capital?	No
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	No
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	No
(x) If 'YES' to (ix), on what basis?	
(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	No
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Formulae, following DOFA guidelines
6.4 Are there any price controls on these charges?	Only aim to recover costs, no profit factor.
6.5 How often is the level of charges changed?	Annual review
6.6 What happens if revenue recovered is greater than costs incurred?	Excess is refunded to Agency, or difference is subtracted from 1st quarter payment in the next year.

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this par  
Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you  
are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome National Registration Authority for Agricultural and Veterinary Chemicals (NRA)

#### **Section 4: Cost recovery arrangements in 1999-2000**

	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Full cost recovery for providing environmental risk assessments
4.2	Basic description of arrangements: (Please attach any relevant documents.)	A copy of the MoU is attached - it is currently being re-negotiated
4.3	Who pays the cost recovery charges?	The NRA, through charges levied on industry
4.4	Who benefits from the program or activity, output or outcome?	The community - risks to the non human environment of agricultural and veterinary chemicals are appropriately and independently considered.
4.5	Do you attempt to measure these benefits? If YES, how?	No
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	Not at present, but the NRA is considering the feasibility of using alternate service providers.
4.7	When was this cost recovery arrangement introduced?	1995/96

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome National Registration Authority for Agricultural and Veterinary Chemicals (NRA)

#### **Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued) (Please use \$'000)**

##### **Program or activity, output or outcome revenues**

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	707	
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	0	
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	0	
4.11 Cost recovery revenue paid to CRF (subtotal)	\$	707	
4.12 Cost recovery not paid into CRF	\$	0	
4.13 Total cost recovery revenue	\$	707	
4.14 Appropriations not related to cost recovery	\$	0	
4.15 Other sources (please specify)	\$	0	
<b>4.16 Total program or activity, output or outcome revenues</b>	<b>\$</b>	<b>707</b>	

##### **Program or activity, output or outcome expenses**

4.17 Direct expenses	\$	606	
4.18 Indirect expenses (including corporate overheads)	\$	368	
4.19 Third party expenses (a)	\$	0	
<b>4.20 Total program or activity, output or outcome expenses</b>	<b>\$</b>	<b>974</b>	

##### **Administration costs**

4.21 What costs are associated with administering the cost recovery arrangements? \$  CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity and their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome

### **Section 5: Institutional arrangements**

- |   |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
|---|---|--|---|---|--|---|-----------------------------------|---------------------------|--|---------------------------|--|--|-------|--|
| 5.1   | What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)       | Consistent with government policy on cost recovery for chemical registration functions, the NRA is fully cost recovered. Environment Australia's provision of the service of environmental risk assessment to the NRA is in turn fully cost recovered.   |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| 5.2   | What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)  | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; vertical-align: top;">Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)</td> <td style="vertical-align: top;">Relevant legislation covering NRA is the Agricultural and Veterinary Chemicals Code Act 1994 and associated instruments. EA's costs are covered by an MoU between EA and the NRA.</td> </tr> <tr> <td style="vertical-align: top;">Subordinate legislation (eg. regulations, standards)</td> <td style="vertical-align: top;"> </td> </tr> <tr> <td style="vertical-align: top;">Co-regulation or quasi-regulation</td> <td style="vertical-align: top;"> </td> </tr> <tr> <td style="vertical-align: top;">Commonwealth/State/Territory agreement</td> <td style="vertical-align: top;"> </td> </tr> <tr> <td style="vertical-align: top;">Voluntary arrangements (eg. codes of practice)</td> <td style="vertical-align: top;"> </td> </tr> <tr> <td style="vertical-align: top;">Other</td> <td style="vertical-align: top;"> </td> </tr> </table> | Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts) | Relevant legislation covering NRA is the Agricultural and Veterinary Chemicals Code Act 1994 and associated instruments. EA's costs are covered by an MoU between EA and the NRA. | Subordinate legislation (eg. regulations, standards) |   | Co-regulation or quasi-regulation |                           | Commonwealth/State/Territory agreement |                           | Voluntary arrangements (eg. codes of practice) |  | Other |  |
| Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts) | Relevant legislation covering NRA is the Agricultural and Veterinary Chemicals Code Act 1994 and associated instruments. EA's costs are covered by an MoU between EA and the NRA. |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Subordinate legislation (eg. regulations, standards)  |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Co-regulation or quasi-regulation   |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Commonwealth/State/Territory agreement  |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Voluntary arrangements (eg. codes of practice)  |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Other   |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| 5.3   | Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)                                   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; vertical-align: top;">Commonwealth government (DOFA etc)</td> <td style="vertical-align: top;">Direct negotiation between EA and NRA using DOFA guidelines</td> </tr> <tr> <td style="vertical-align: top;">Other governments (state, territory, local)</td> <td style="vertical-align: top;"> </td> </tr> <tr> <td style="vertical-align: top;">Industry</td> <td style="vertical-align: top;"> </td> </tr> <tr> <td style="vertical-align: top;">Consumers</td> <td style="vertical-align: top;"> </td> </tr> <tr> <td style="vertical-align: top;">Other</td> <td style="vertical-align: top;"> </td> </tr> </table>   | Commonwealth government (DOFA etc)  | Direct negotiation between EA and NRA using DOFA guidelines   | Other governments (state, territory, local)          |   | Industry                          |                           | Consumers                              |                           | Other  |  |       |  |
| Commonwealth government (DOFA etc)  | Direct negotiation between EA and NRA using DOFA guidelines   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Other governments (state, territory, local)   |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Industry  |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Consumers   |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Other   |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| 5.4   | What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)   | DOFA guidelines for Costing of Government Activities 1991  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| 5.5   | Which agency is responsible for the following activities? (Please name relevant agency)   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; vertical-align: top;">Policy setting</td> <td style="vertical-align: top;">Environment Australia</td> </tr> <tr> <td style="vertical-align: top;">Price setting</td> <td style="vertical-align: top;">Environment Australia negotiated with NRA</td> </tr> <tr> <td style="vertical-align: top;">Administration</td> <td style="vertical-align: top;">Environment Australia/NRA</td> </tr> <tr> <td style="vertical-align: top;">Revenue collection</td> <td style="vertical-align: top;">Environment Australia/NRA</td> </tr> </table>   | Policy setting  | Environment Australia   | Price setting  | Environment Australia negotiated with NRA | Administration                    | Environment Australia/NRA | Revenue collection                     | Environment Australia/NRA |  |  |       |  |
| Policy setting  | Environment Australia   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Price setting   | Environment Australia negotiated with NRA   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Administration  | Environment Australia/NRA   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Revenue collection  | Environment Australia/NRA   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| 5.6   | Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; vertical-align: top;">Commonwealth government (DOFA etc)</td> <td style="vertical-align: top;">NRA (AFFA)</td> </tr> <tr> <td style="vertical-align: top;">Other governments (state, territory, local)</td> <td style="vertical-align: top;"> </td> </tr> <tr> <td style="vertical-align: top;">Industry</td> <td style="vertical-align: top;"> </td> </tr> <tr> <td style="vertical-align: top;">Consumers</td> <td style="vertical-align: top;"> </td> </tr> <tr> <td style="vertical-align: top;">Other</td> <td style="vertical-align: top;"> </td> </tr> </table>  | Commonwealth government (DOFA etc)  | NRA (AFFA)  | Other governments (state, territory, local)          |   | Industry                          |                           | Consumers                              |                           | Other  |  |       |  |
| Commonwealth government (DOFA etc)  | NRA (AFFA)  |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Other governments (state, territory, local)   |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Industry  |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Consumers   |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| Other   |   |  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |
| 5.7   | Please describe these consultation arrangements.  | MoU with budget reviewed annually  |   |   |  |   |                                   |                           |  |                           |  |  |       |  |

5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Formal review of cost recovery arrangements currently being undertaken with the NRA
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**PART II(d)**

Name of sub-unit, agency, program or activity, output or outcome	National Registration Authority for Agricultural and Veterinary Chemicals (NRA)
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**Section 6: Price setting arrangements**

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)	
(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	Formulae (DOFA guidelines)
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	Charges related to costs (ASL usage)
6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Direct and indirect costs
(ii) What proportion of these costs do charges aim to recover? (%)	100 per cent
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No.
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v) Do charges include a user cost of capital?	No
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	No
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	No
(x) If 'YES' to (ix), on what basis?	
(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	No
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Formulae, following DOFA guidelines
6.4 Are there any price controls on these charges?	Only aim to recover costs, no profit factor
6.5 How often is the level of charges changed?	Annual review
6.6 What happens if revenue recovered is greater than costs incurred?	Excess is refunded to NRA, or difference is subtracted from 1st quarter payment in the next year.

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome Ozone protection - National Halon bank

#### **Section 4: Cost recovery arrangements in 1999-2000**

Descriptive material	
4.1 Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	National halon bank (NHB). The cost of collection, handling processing and destruction of halon is recovered by the collection of a deposit fee. Additional revenue is gained through the sale of recycled or reprocessed halon. There is no Commonwealth obligation upon business to dispose of its halon through the NHB, or for industry to purchase its halon
4.2 Basic description of arrangements: (Please attach any relevant documents.)	Halon is surrendered to the NHB or an agent of the NHB (ie fire protection companies, fire stations) and a deposit form is completed transferring the ownership. The NHB then meet all costs for the disposal of the halon.
4.3 Who pays the cost recovery charges?	The deposit fee is charged for commercial depositors (government and business). Small business and private individuals are exempt.
4.4 Who benefits from the program or activity, output or outcome?	Large social benefits (national and global). All revenue is retained in the reserve to fund the ozone protection activity through the Ozone Protection Program.
4.5 Do you attempt to measure these benefits? If YES, how?	The Ozone Protection program is regularly reviewed.
4.6 Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	The services provided by the Commonwealth are unique. In particular, the role of the NHB is not one that lends itself to commercial success - the sale of the NHB did not proceed (asset sales taskforce could not find a buyer for the NHB). Particular activities are contracted out to business.
4.7 When was this cost recovery arrangement introduced?	Cost recovery was introduced when the NHB was established in 1993.

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Ozone protection - National Halon bank

#### **Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)** (Please use \$'000)

##### **Program or activity, output or outcome revenues**

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	462	
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	0	
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	0	
4.11 Cost recovery revenue paid to CRF (subtotal)	\$	462	
4.12 Cost recovery not paid into CRF	\$	0	
4.13 Total cost recovery revenue	\$	462	
4.14 Appropriations not related to cost recovery			\$ 0
4.15 Other sources (please specify)			\$ 5769
<b>4.16 Total program or activity, output or outcome revenues</b>			<b>\$ 6231</b>

##### **Program or activity, output or outcome expenses**

4.17 Direct expenses	\$	4580
4.18 Indirect expenses (including corporate overheads)	\$	80
4.19 Third party expenses (a)	\$	0
<b>4.20 Total program or activity, output or outcome expenses</b>	<b>\$</b>	<b>4660</b>

##### **Administration costs**

4.21 What costs are associated with administering the cost recovery arrangements? \$ 172

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity and their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome Ozone protection - National Halon bank

### **Section 5: Institutional arrangements**

5.1	What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	Unknown
5.2	What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)	
	Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Unknown
	Subordinate legislation (eg. regulations, standards)	Unknown
	Co-regulation or quasi-regulation	Unknown
	Commonwealth/State/Territory agreement	Unknown
	Voluntary arrangements (eg. codes of practice)	Unknown
	Other	Unknown
5.3	Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)	
	Commonwealth government (DOFA etc)	Unknown
	Other governments (state, territory, local)	
	Industry	
	Consumers	
	Other	
5.4	What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Unknown
5.5	Which agency is responsible for the following activities? (Please name relevant agency)	
	Policy setting	Environment Australia
	Price setting	Environment Australia
	Administration	Environment Australia (through contract arrangements)
	Revenue collection	Environment Australia (through contract arrangements)
5.6	Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)	
	Commonwealth government (DOFA etc)	The NHB was transferred to EA in July 1999 from DOFA. The existing policies
	Other governments (state, territory, local)	
	Industry	
	Consumers	
	Other	
5.7	Please describe these consultation arrangements.	



5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)

No

## PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Ozone protection - National Halon bank

### **Section 6: Price setting arrangements**

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

(i) How are charges set? (eg. by formula in legislation or based on 'market prices')

The level of deposit fees are set to recover actual costs and provide a surplus (cross subsidy) to meet actual costs of non fee paying deposits. Sales of halon are set at market value for recycled halon.

(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)

The cost recovery is designed to recover the actual cost of collection, transport, recovery and destruction. The cost is set at the market price for halon and is intended to offset the Governments 30 year responsibility to maintain stocks of halon for approved essential users.

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)

Charges are designed to recover direct costs.

(ii) What proportion of these costs do charges aim to recover? (%)

100 per cent of direct costs

(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)

No.

(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)

(v) Do charges include a user cost of capital?

No

(vi) If 'YES' to (iv), how is it calculated?

(vii) Do charges include return on assets? (eg. profit)

No

(viii) If 'YES' to (vii), on what basis?

(ix) Do charges discriminate between types of users?

Yes

(x) If 'YES' to (ix), on what basis?

Small business is exempt from deposit fees. The rationale is imposing a

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?

Yes

(xii) If 'YES' to (xi), on what basis?

see above

(xiii) Other (Please describe other significant features)

6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)

Not recovered

6.4 Are there any price controls on these charges?

6.5 How often is the level of charges changed?

Fees have been reviewed in 1999-2000.

6.6 What happens if revenue recovered is greater than costs incurred?

Revenue is retained for use in the broader Ozone Protection Program which will last 30 years. Excess revenue in any particular year is balanced against th

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

**PART II**

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

**PART II(a)**

Name of sub-unit, agency, program or activity, output or outcome Ozone protection - Ozone Protection Reserve

**Section 4: Cost recovery arrangements in 1999-2000**

Descriptive material	
4.1 Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Licence and activity fees
4.2 Basic description of arrangements: (Please attach any relevant documents.)	Licence and activity fees are collected from importers of ozone depleting substances (ODS)
4.3 Who pays the cost recovery charges?	Importers of ODS
4.4 Who benefits from the program or activity, output or outcome?	Large social benefits (national and global). All revenue is retained in the reserve to fund the ozone protection activity through the Ozone Protection Program.
4.5 Do you attempt to measure these benefits? If YES, how?	The Ozone Protection program is regularly reviewed.
4.6 Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	
4.7 When was this cost recovery arrangement introduced?	1995

**PART II(b)**

Name of sub-unit, agency, program or activity, output or outcome Ozone protection - Ozone Protection Reserve

**Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)**  
(Please use \$'000)

**Program or activity, output or outcome revenues**

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	<span style="border: 1px solid black; padding: 2px;">707</span>	
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	<span style="border: 1px solid black; padding: 2px;">0</span>	
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	<span style="border: 1px solid black; padding: 2px;">0</span>	
4.11 Cost recovery revenue paid to CRF (subtotal)	\$	<span style="border: 1px solid black; padding: 2px;">707</span>	
4.12 Cost recovery not paid into CRF			\$ <span style="border: 1px solid black; padding: 2px;">0</span>
4.13 Total cost recovery revenue			\$ <span style="border: 1px solid black; padding: 2px;">707</span>
4.14 Appropriations not related to cost recovery			\$ <span style="border: 1px solid black; padding: 2px;">0</span>
4.15 Other sources (please specify)			\$ <span style="border: 1px solid black; padding: 2px;">0</span>
<b>4.16 Total program or activity, output or outcome revenues</b>			\$ <span style="border: 1px solid black; padding: 2px;">707</span>

**Program or activity, output or outcome expenses**

4.17 Direct expenses	\$	<span style="border: 1px solid black; padding: 2px;">406</span>
4.18 Indirect expenses (including corporate overheads)	\$	<span style="border: 1px solid black; padding: 2px;">60</span>
4.19 Third party expenses (a)	\$	<span style="border: 1px solid black; padding: 2px;">0</span>
<b>4.20 Total program or activity, output or outcome expenses</b>	\$	<span style="border: 1px solid black; padding: 2px;">466</span>

**Administration costs**

4.21 What costs are associated with administering the cost recovery arrangements? \$ 184

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity and their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome Ozone protection - Ozone Protection Reserve

### **Section 5: Institutional arrangements**

5.1	What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	Ozone Protection (Licence fees - Imports) Act 1995; Ozone Protection (Licence fees - Manufacturing) Act 1995
5.2	What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)	
	Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	see 5.1
	Subordinate legislation (eg. regulations, standards)	
	Co-regulation or quasi-regulation	
	Commonwealth/State/Territory agreement	
	Voluntary arrangements (eg. codes of practice)	
	Other	
5.3	Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)	
	Commonwealth government (DOFA etc)	Industry and government stakeholders
	Other governments (state, territory, local)	
	Industry	
	Consumers	
	Other	
5.4	What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Legislative guidelines
5.5	Which agency is responsible for the following activities? (Please name relevant agency)	
	Policy setting	Environment Australia
	Price setting	Environment Australia
	Administration	Environment Australia
	Revenue collection	Environment Australia
5.6	Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)	
	Commonwealth government (DOFA etc)	Yes. Relevant industry and government stakeholders
	Other governments (state, territory, local)	
	Industry	
	Consumers	
	Other	
5.7	Please describe these consultation arrangements.	

5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)

OPR Competition policy principles. Review will be completed by December 2000.

## **PART II(d)**

Name of sub-unit, agency, program or activity, output or outcome

Ozone protection - Ozone Protection Reserve

### **Section 6: Price setting arrangements**

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

- |      |   |   |
|------|---|---|
| (i)  | How are charges set? (eg. by formula in legislation or based on 'market prices')  | legislation   |
| (ii) | Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets) | fees relate to the administration of the legislation and the management of HCFC and methyl bromide phase out programs (over 30 years to 2030) |

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

- |        |  |  |
|--------|--|--|
| (i)    | What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)                                | See above.   |
| (ii)   | What proportion of these costs do charges aim to recover? (%)  | 100 per cent of direct costs                       |
| (iii)  | Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets) | No.  |
| (iv)   | If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)                    |  |
| (v)    | Do charges include a user cost of capital?   | No   |
| (vi)   | If 'YES' to (iv), how is it calculated?  |  |
| (vii)  | Do charges include return on assets? (eg. profit)  | No   |
| (viii) | If 'YES' to (vii), on what basis?  |  |
| (ix)   | Do charges discriminate between types of users?  | Yes  |
| (x)    | If 'YES' to (ix), on what basis?   | activity fees relate to the volume of ODS imported |
| (xi)   | Do charges allow for access and equity considerations (eg. waivers, discounts)?  | No   |
| (xii)  | If 'YES' to (xi), on what basis?   |  |
| (xiii) | Other (Please describe other significant features)   |  |

6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)

Not recovered

6.4 Are there any price controls on these charges?

6.5 How often is the level of charges changed?

The level of charges has not changed since 1995.

6.6 What happens if revenue recovered is greater than costs incurred?

Revenue is retained for use in the broader Ozone Protection Program which will last 30 years. Excess revenue in any particular year is balanced against th

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome: Administration of the Environment Protection (Sea Dumping) Act 1981 (the EPSD Act)

#### Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1 Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Permit fees
4.2 Basic description of arrangements: (Please attach any relevant documents.)	Fees are charged for permits to dump wastes and other matter at sea. Permits are granted by a delegate of the Minister for Environment and Heritage. The fees partially recover costs of assessing permit applications.
4.3 Who pays the cost recovery charges?	Entities which are granted permits to wastes and other matter at sea. These are principally Ports Authorities - public sector or private sector corporations which administer
4.4 Who benefits from the program or activity, output or outcome?	Entities which dump wastes and other matter at sea benefit directly. These are principally Ports Authorities dumping dredge spoil to avoid more expensive disposal options. Indirectly, shipping companies benefit from lower port use charges and tradeable good
4.5 Do you attempt to measure these benefits? If YES, how?	NO
4.6 Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	No. This is a regulatory activity intended to protect the marine environment from damage by dumping of inappropriate matter at sea. The regulatory power is delegated to the Great Barrier Reef Marine Park Authority for waters within its jurisdiction. Otherwise, the Commonwealth has jurisdiction over sea dumping matters in both State and
4.7 When was this cost recovery arrangement introduced?	1983

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome: Administration of the Environment Protection (Sea Dumping) Act 1981 (the EPSD Act)

#### Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued) (Please use \$'000)

##### Program or activity, output or outcome revenues

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$ 32.5
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$ 0
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$ 0
4.11 Cost recovery revenue paid to CRF (subtotal)	\$ 32.5
4.12 Cost recovery not paid into CRF	\$ 0
4.13 Total cost recovery revenue	\$ 32.5
4.14 Appropriations not related to cost recovery	\$ 879
4.15 Other sources (please specify)	\$ 0
<b>4.16 Total program or activity, output or outcome revenues</b>	<b>\$ 911.5</b>

##### Program or activity, output or outcome expenses

4.17 Direct expenses	\$ 515
4.18 Indirect expenses (including corporate overheads)	\$ 364
4.19 Third party expenses (a)	\$ 0
<b>4.20 Total program or activity, output or outcome expenses</b>	<b>\$ 879</b>

##### Administration costs

4.21 What costs are associated with administering the cost recovery arrangements? \$

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity and their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome

### **Section 5: Institutional arrangements**

- 5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)
- 5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)
- |   |  |
|---|--|
| Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts) | <input type="text"/>   |
| Subordinate legislation (eg. regulations, standards)  | See attached copy of the Environment Protection (Sea Dumping ) Regulations |
| Co-regulation or quasi-regulation   | <input type="text"/>   |
| Commonwealth/State/Territory agreement  | <input type="text"/>   |
| Voluntary arrangements (eg. codes of practice)  | <input type="text"/>   |
| Other   | <input type="text"/>   |
- 5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)
- |   |         |
|---|---------|
| Commonwealth government (DOFA etc)          | Unknown |
| Other governments (state, territory, local) | Unknown |
| Industry                                    | Unknown |
| Consumers                                   | Unknown |
| Other                                       | Unknown |
- 5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)
- 5.5 Which agency is responsible for the following activities? (Please name relevant agency)
- |                    |                       |
|--------------------|-----------------------|
| Policy setting     | Environment Australia |
| Price setting      | Environment Australia |
| Administration     | Environment Australia |
| Revenue collection | Environmetn Australia |
- 5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)
- |   |  |
|---|--|
| Commonwealth government (DOFA etc)          | Association of Australian Ports and Marine Authorities |
| Other governments (state, territory, local) | <input type="text"/>                                   |
| Industry                                    | <input type="text"/>                                   |
| Consumers                                   | <input type="text"/>                                   |
| Other                                       | <input type="text"/>                                   |
- 5.7 Please describe these consultation arrangements.



5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Yes. See attached consultation paper and references. The Minister for Environment and Heritage approved on 13 August 2000 a new fees structure which entails substantial increases. The new fees are: Permit application
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### **PART II(d)**

Name of sub-unit, agency, program or activity, output or outcome	Administration of the Environment Protection (Sea Dumping) Act 1981 (the EPSD Act)
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#### **Section 6: Price setting arrangements**

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)	
(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	The fees applying between 1983 and 2000 were set to partially recover permit application assessment fees while not being so high as to encourage illegal sea dumping. The new fees approved by the Minister for environment and
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	See above.
6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	See above.
(ii) What proportion of these costs do charges aim to recover? (%)	See above.
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No.
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	Not applicable
(v) Do charges include a user cost of capital?	No
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	No
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	No
(x) If 'YES' to (ix), on what basis?	
(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes
(xii) If 'YES' to (xi), on what basis?	Some sea dumping is in the public interest and has no private benefit, For
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	In the new fee structure an on cost factor of 154 per cent is applied to salary costs for staff employed in permit assessment and granting activity.
6.4 Are there any price controls on these charges?	No.
6.5 How often is the level of charges changed?	It was fixed between 1983 and 2000. The Minister approved a new fee structure on 13 August 2000 which will be implemented in the Environment
6.6 What happens if revenue recovered is greater than costs incurred?	This has never happened.

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome Wildlife Permits and Enforcement Section

#### **Section 4: Cost recovery arrangements in 1999-2000**

	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Licensing fees
4.2	Basic description of arrangements: (Please attach any relevant documents.)	Persons pay fees to gain licences to engage in wildlife trade for approved purposes
4.3	Who pays the cost recovery charges?	Licence applicants
4.4	Who benefits from the program or activity, output or outcome?	Environment Australia, Australian and international wildlife trade industry, Australian and international wildlife conservation, state and territory wildlife agencies, zoos and scientific organisations
4.5	Do you attempt to measure these benefits? If YES, how?	Yes, in a limited way. We regulate trade to be sustainable and determine sustainable harvests. We also account total costs recovered.
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	No
4.7	When was this cost recovery arrangement introduced?	1984, when the Wildlife Protection (Regulation of Exports and Imports) Act 1982 came into force.

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Wildlife Permits and Enforcement Section

#### **Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)** (Please use \$'000)

##### **Program or activity, output or outcome revenues**

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	230	
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$		
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$		
4.11 Cost recovery revenue paid to CRF (subtotal)	\$	230	
4.12 Cost recovery not paid into CRF	\$		
4.13 Total cost recovery revenue	\$	230	
4.14 Appropriations not related to cost recovery			\$ 1470
4.15 Other sources (please specify)			\$
<b>4.16 Total program or activity, output or outcome revenues</b>			<b>\$ 1700</b>

##### **Program or activity, output or outcome expenses**

4.17 Direct expenses	\$	1500	
4.18 Indirect expenses (including corporate overheads)	\$	200	
4.19 Third party expenses (a)	\$		
<b>4.20 Total program or activity, output or outcome expenses</b>	\$	<b>1700</b>	

##### **Administration costs**

4.21 What costs are associated with administering the cost recovery arrangements? \$

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity and their costs are being recovered as part of the cost recovery arrangements.

## **PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome

### **Section 5: Institutional arrangements**

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Wildlife Protection Act
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	Department of Finance
Other governments (state, territory, local)	State and territory nature conservation agencies
Industry	Wildlife trade industry representatives
Consumers	
Other	

5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)

5.5 Which agency is responsible for the following activities? (Please name relevant agency)

Policy setting	Environment Australia
Price setting	Environment Australia
Administration	Environment Australia
Revenue collection	Environment Australia

5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)

Commonwealth government (DOFA etc)	Review is ad hoc. Those mentioned in 5.3 would be consulted
Other governments (state, territory, local)	
Industry	
Consumers	
Other	

5.7 Please describe these consultation arrangements.

5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Informal internal review has been conducted, as required. Some fees have been raised to increase the proportion of costs recovered.
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**PART II(d)**

Name of sub-unit, agency, program or activity, output or outcome	Wildlife Permits and Enforcement Section
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**Section 6: Price setting arrangements**

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)	
(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	Costs either date historically from the early 1980s or have been varied by review.
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	No - they are a flat fee for service.
6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	
(ii) What proportion of these costs do charges aim to recover? (%)	
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprivation or replacement cost)	
(v) Do charges include a user cost of capital?	
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	
(x) If 'YES' to (ix), on what basis?	
(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	They are not.
6.4 Are there any price controls on these charges?	No, other than as set by regulation to the Act
6.5 How often is the level of charges changed?	There is no regular review period.
6.6 What happens if revenue recovered is greater than costs incurred?	Not applicable.

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**

## PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

### PART II(a)

Name of sub-unit, agency, program or activity, output or outcome: Environmental Protection Standards and Legislation - Hazardous Waste Permits

#### Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1 Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Permit application fee made by Hazardous Waste (Regulation of Exports and Imports) (Fees) Regulations 1990 under s32 of the Hazardous Waste (Regulation of Exports and Imports) Act 1989.
4.2 Basic description of arrangements: (Please attach any relevant documents.)	The fee recovers costs for the administration of the permit system which implements, in part and through the Hazardous Waste Act, Australia's obligations under an international treaty (Basel Convention)
4.3 Who pays the cost recovery charges?	Applicant for a permit under the Hazardous Waste Act - applicants are from the hazardous waste industry or their agents
4.4 Who benefits from the program or activity, output or outcome?	Large social and environmental benefits (national and global) from the transboundary movement of hazardous waste in a safe (to human health) and environmentally sound manner.
4.5 Do you attempt to measure these benefits? If YES, how?	No
4.6 Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	No
4.7 When was this cost recovery arrangement introduced?	1990

### PART II(b)

Name of sub-unit, agency, program or activity, output or outcome: Environmental Protection Standards and Legislation - Hazardous Waste Permits

#### Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued) (Please use \$'000)

##### Program or activity, output or outcome revenues

4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$ 19
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$ 0
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$ 0
4.11 Cost recovery revenue paid to CRF (subtotal)	\$ 19
4.12 Cost recovery not paid into CRF	\$ 0
4.13 Total cost recovery revenue	\$ 19
4.14 Appropriations not related to cost recovery	\$ 0
4.15 Other sources (please specify)	\$ 0
<b>4.16 Total program or activity, output or outcome revenues</b>	<b>\$ 19</b>

##### Program or activity, output or outcome expenses

4.17 Direct expenses	\$ 36
4.18 Indirect expenses (including corporate overheads)	\$ 10
4.19 Third party expenses (a)	\$ 0
<b>4.20 Total program or activity, output or outcome expenses</b>	<b>\$ 46</b>

##### Administration costs

4.21 What costs are associated with administering the cost recovery arrangements? \$

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity and their costs are being recovered as part of the cost recovery arrangements.

## PART II(c)

Name of sub-unit, agency, program or activity, output or outcome

### Section 5: Institutional arrangements

- |   |  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
|---|--|---|---|--|--|---|-----------------------------------|--|--|-----------------------|--|-----|-------|-----|
| 5.1   | What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)          | In line with the Government Policy of 1996 put forward by DOFA that government should recover costs. The 1996 amendment provides for fees to be set in regulations on the basis of administrative costs of each type of permit. The Minister has discretion to reduce fees where appropriate and the fee level may not be above the fee currently prescribed in the principal Act.  |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| 5.2   | What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)</td> <td>s32 of the Hazardous Waste Act gives that the regulations may prescribe fees not exceeding \$8000, must reasonably relate to the expenses incurred, but must not amount to taxation.</td> </tr> <tr> <td>Subordinate legislation (eg. regulations, standards)</td> <td>Permit application fee made by Hazardous Waste (Regulation of Exports and Imports) (Fees) Regulations 1990 under s32 of the Hazardous Waste</td> </tr> <tr> <td>Co-regulation or quasi-regulation</td> <td>n/a</td> </tr> <tr> <td>Commonwealth/State/Territory agreement</td> <td>n/a</td> </tr> <tr> <td>Voluntary arrangements (eg. codes of practice)</td> <td>n/a</td> </tr> <tr> <td>Other</td> <td>n/a</td> </tr> </table> | Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts) | s32 of the Hazardous Waste Act gives that the regulations may prescribe fees not exceeding \$8000, must reasonably relate to the expenses incurred, but must not amount to taxation. | Subordinate legislation (eg. regulations, standards) | Permit application fee made by Hazardous Waste (Regulation of Exports and Imports) (Fees) Regulations 1990 under s32 of the Hazardous Waste | Co-regulation or quasi-regulation | n/a  | Commonwealth/State/Territory agreement | n/a                   | Voluntary arrangements (eg. codes of practice) | n/a | Other | n/a |
| Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts) | s32 of the Hazardous Waste Act gives that the regulations may prescribe fees not exceeding \$8000, must reasonably relate to the expenses incurred, but must not amount to taxation. |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Subordinate legislation (eg. regulations, standards)  | Permit application fee made by Hazardous Waste (Regulation of Exports and Imports) (Fees) Regulations 1990 under s32 of the Hazardous Waste  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Co-regulation or quasi-regulation   | n/a  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Commonwealth/State/Territory agreement  | n/a  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Voluntary arrangements (eg. codes of practice)  | n/a  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Other   | n/a  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| 5.3   | Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)                                      | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Commonwealth government (DOFA etc)</td> <td>Ongoing consultation on the whole Act (but not specifically on fees) through a</td> </tr> <tr> <td>Other governments (state, territory, local)</td> <td></td> </tr> <tr> <td>Industry</td> <td></td> </tr> <tr> <td>Consumers</td> <td></td> </tr> <tr> <td>Other</td> <td></td> </tr> </table>   | Commonwealth government (DOFA etc)  | Ongoing consultation on the whole Act (but not specifically on fees) through a   | Other governments (state, territory, local)          |   | Industry                          |  | Consumers                              |                       | Other  |     |       |     |
| Commonwealth government (DOFA etc)  | Ongoing consultation on the whole Act (but not specifically on fees) through a   |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Other governments (state, territory, local)   |  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Industry  |  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Consumers   |  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Other   |  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| 5.4   | What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)  | Direction of the Hazardous Waste Act that they be reasonable and less than \$8000.  |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| 5.5   | Which agency is responsible for the following activities? (Please name relevant agency)  | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Policy setting</td> <td>Environment Australia</td> </tr> <tr> <td>Price setting</td> <td>Environment Australia</td> </tr> <tr> <td>Administration</td> <td>Environment Australia</td> </tr> <tr> <td>Revenue collection</td> <td>Environment Australia</td> </tr> </table>  | Policy setting  | Environment Australia  | Price setting  | Environment Australia   | Administration                    | Environment Australia                      | Revenue collection                     | Environment Australia |  |     |       |     |
| Policy setting  | Environment Australia  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Price setting   | Environment Australia  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Administration  | Environment Australia  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Revenue collection  | Environment Australia  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| 5.6   | Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)  | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Commonwealth government (DOFA etc)</td> <td>Ongoing consultation on the whole Act but not specifically on fees</td> </tr> <tr> <td>Other governments (state, territory, local)</td> <td></td> </tr> <tr> <td>Industry</td> <td>Industry has expressed no concern to date.</td> </tr> <tr> <td>Consumers</td> <td></td> </tr> <tr> <td>Other</td> <td></td> </tr> </table>   | Commonwealth government (DOFA etc)  | Ongoing consultation on the whole Act but not specifically on fees   | Other governments (state, territory, local)          |   | Industry                          | Industry has expressed no concern to date. | Consumers                              |                       | Other  |     |       |     |
| Commonwealth government (DOFA etc)  | Ongoing consultation on the whole Act but not specifically on fees   |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Other governments (state, territory, local)   |  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Industry  | Industry has expressed no concern to date.   |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Consumers   |  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| Other   |  |   |   |  |  |   |                                   |  |  |                       |  |     |       |     |
| 5.7   | Please describe these consultation arrangements.   | n/a   |   |  |  |   |                                   |  |  |                       |  |     |       |     |



5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)

yes - as part of the Competition Policy Review of the Hazardous Waste Act (to be completed in December 200)

## PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Environmental Protection Standards and Legislation - Hazardous Waste Permits

### **Section 6: Price setting arrangements**

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

- |  |   |
|--|---|
| (i) How are charges set? (eg. by formula in legislation or based on 'market prices')   | By s32(4) of the Act which states that the fee must reasonably relate to the expense incurred; this interpreted as the ASL needed to administer the permit and some of the cost of the Ministerial technical advisory group |
| (ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets) | Yes   |

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

- |  |  |
|--|--|
| (i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)                                  | Direct costs of ASL and advisory group time and travel.                      |
| (ii) What proportion of these costs do charges aim to recover? (%)   | 100 per cent of direct costs   |
| (iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets) | No.  |
| (iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)                     |  |
| (v) Do charges include a user cost of capital?   | No   |
| (vi) If 'YES' to (iv), how is it calculated?   |  |
| (vii) Do charges include return on assets? (eg. profit)  | No   |
| (viii) If 'YES' to (vii), on what basis?   |  |
| (ix) Do charges discriminate between types of users?   | No   |
| (x) If 'YES' to (ix), on what basis?   |  |
| (xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?   | Yes  |
| (xii) If 'YES' to (xi), on what basis?   | The Minister may determine in writing that the fee is reduced by a specified |
| (xiii) Other (Please describe other significant features)  |  |

6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)

n/a

6.4 Are there any price controls on these charges?

yes - they must be reasonable and not more than \$8000

6.5 How often is the level of charges changed?

Not so far

6.6 What happens if revenue recovered is greater than costs incurred?

This is unlikely to happen.

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**