

PART I

ALL AGENCIES ARE REQUESTED TO COMPLETE PART I.

If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE.

Section 1: Contact details

1.1 Agency

1.2 Reporting and financial arrangements are governed by:
(Please indicate with a 'X' whether one or more of the following Acts apply)

<i>Financial Management and Accountability Act 1997</i>	YES	NO	
	<input type="checkbox"/>	<input type="checkbox"/>	
<i>Commonwealth Authorities and Companies Act 1997</i>	YES	NO	
	<input type="checkbox"/>	<input type="checkbox"/>	
Other	<input style="width: 450px;" type="text" value="Industrial Chemicals (Notification and Assessment) Act 1989"/>		

1.3 Contact Officer

Position

Phone

Fax

Email

Address

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome	Existing Chemicals Assessment Program, 50% of compliance activities (remaining 50% funded by Government), education activities
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Section 4: Cost recovery arrangements in 1999-2000

	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Broad based industry levy
4.2	Basic description of arrangements: (Please attach any relevant documents.)	Any company which imports and/or manufactures relevant industrial chemicals to a total value of \$500,000 or more per annum is required to register with NICNAS. Registration cost is \$1,200 where total chemical value is between \$500,000 and \$5m, and \$7,000
4.3	Who pays the cost recovery charges?	importers and manufacturers, usually businesses
4.4	Who benefits from the program or activity, output or outcome?	industry, the community and other agencies which rely on NICNAS assessments to regulate control, use, storage and disposal of chemicals.
4.5	Do you attempt to measure these benefits? If YES, how?	Yes. Data are kept on the number of NICNAS recommendations which are taken up by other regulatory authorities, and through our own company audits to ensure permit conditions are adhered to ensuring safe use of chemicals; no. of compliance issues detected and resolved, stakeholder surveys and other feedback mechanisms.
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	Yes. Environmental and health risk assessments outsourced to Environment Australia and TGA.
4.7	When was this cost recovery arrangement introduced?	July 1997

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome NICNAS - New Chemicals Assessment Program

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) Assessment fees and related administrative charges
4.2	Basic description of arrangements: (Please attach any relevant documents.) Fee for assessment payable on application. Depending on assessment category, fees range from \$2100 to \$11700. A range of administrative charges may also apply ranging from \$450 to \$1500.
4.3	Who pays the cost recovery charges? Applicant for assessment. This is usually a company.
4.4	Who benefits from the program or activity, output or outcome? Industry, the community, other agencies which rely on NICNAS assessments to regulate control, use, storage and disposal of chemicals.
4.5	Do you attempt to measure these benefits? If YES, how? Yes. Data is kept on the number of NICNAS recommendations which are taken up by other regulatory authorities, and through our own company audits to ensure permit conditions are adhered to ensuring safe use of chemicals.
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) NICNAS currently outsources some components of our work. Environmental risk assessments are conducted by Environment Australia. The public health risk assessments are conducted the Department of Health and Aged Care. Reflecting NICNAS' administrative arrangements, corporate services are outsourced to the National Occupational Health and Safety Commission. Overseas assessments are also used in our national assessment program where appropriate (with the resultant 40% cost savings passed back to industry through a rebate).

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome Publication sales and attendance at seminars

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)
	Attendance/registration fee for seminars. Publication purchase charge (note the vast majority of NICNAS publications are provided free of charge. Only two products are sold).
4.2	Basic description of arrangements: (Please attach any relevant documents.)
	As per 4.1. Cost of seminars vary but average at \$32.50 per person. Cost of Australian chemical inventory (AICS) Cd-rom is \$195.00 for an annual subscription. Cost of Handbook for Notifiers is \$103.00.
4.3	Who pays the cost recovery charges?
	Usually individuals and companies - anyone purchasing a publication or attending a seminar.
4.4	Who benefits from the program or activity, output or outcome?
	Seminar attendee, purchaser of publication
4.5	Do you attempt to measure these benefits? If YES, how?
	Client satisfaction surveys and other feedback mechanisms
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)
	No
4.7	When was this cost recovery arrangement introduced?
	Varies according to seminar and publication, but as a general rule, when the product or service first becomes available

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Publication sales and attendance at seminars

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same agency	\$	0
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	0
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	0
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	0
4.12	Cost recovery not paid into CRF	\$	38
4.13	Total cost recovery revenue	\$	38
4.14	Appropriations not related to cost recovery		\$ 0
4.15	Other sources (please specify)		\$ 0
4.16	Total program or activity, output or outcome revenues		\$ 38
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	38
4.18	Indirect expenses (including corporate overheads)	\$	0
4.19	Third party expenses (a)	\$	0
4.20	Total program or activity, output or outcome expenses	\$	38
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	approx. 7%

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome Publication sales and attendance at seminars

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) Policy mandate for 100% cost recovery for NICNAS activities.

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	Policy mandate for 100% cost recovery for NICNAS activities

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	DEWRSB
Other governments (state, territory, local)	
Industry	
Consumers	
Other	NICNAS Industry Government Consultative Committee

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	<p>Commonwealth GST pricing policy</p>
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<p>DEWRSB</p> <p>NICNAS</p> <p>NICNAS (via NOHSC)</p> <p>NICNAS (via NOHSC)</p>
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<p></p> <p></p> <p></p> <p></p> <p>NICNAS Industry Government Consultative Committee (IGCC)</p>
<p>5.7 Please describe these consultation arrangements.</p>	<p>Charging arrangements and price setting approved by the IGCC</p>
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	<p>no</p>

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Publication sales and attendance at seminars

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	market prices
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	Yes, prices are determined taking into account production and postage costs for publication sales.

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	direct costs only
(ii) What proportion of these costs do charges aim to recover? (%)	100% of direct costs
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	no
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v) Do charges include a user cost of capital?	no
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	no
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	no
(x) If 'YES' to (ix), on what basis?	

(xi)	Do charges allow for access and equity considerations (eg. waivers, discounts)?	no
(xii)	If 'YES' to (xi), on what basis?	
(xiii)	Other (Please describe other significant features)	
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Indirect costs are not allocated to publication sales and seminars
6.4	Are there any price controls on these charges?	Seminar attendance costs are capped to cover refreshments and venue hire only.
6.5	How often is the level of charges changed?	Charges are reviewed for each seminar and each new publication update, although this does not necessarily mean they are changed.
6.6	What happens if revenue recovered is greater than costs incurred?	This has not occurred, but if it did, prices would be lowered

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

4.7 When was this cost recovery arrangement introduced?

July 1997 (previously NICNAS operated on a 50% cost recovery basis).

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome NICNAS - New Chemicals Assessment Program

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same agency	\$	1575
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	0
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	0
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	1575
4.12	Cost recovery not paid into CRF	\$	0
4.13	Total cost recovery revenue	\$	1575
4.14	Appropriations not related to cost recovery	\$	0
4.15	Other sources (please specify)	\$	0
4.16	Total program or activity, output or outcome revenues	\$	1575
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	840
4.18	Indirect expenses (including corporate overheads)	\$	280
4.19	Third party expenses (a)	\$	478
4.20	Total program or activity, output or outcome expenses	\$	1598
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	approx 8%

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity a their costs are being recovered as part of the cost recovery arrangements.

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome

NICNAS - New Chemicals Assessment Program

Section 5: Institutional arrangements

5.1	What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	Move to full cost recovery to align NICNAS' cost recovery arrangements with other government agencies assessing and regulating chemicals, including the National Registration Authority for Agricultural and Veterinary Chemicals.
5.2	What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)	
	Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Industrial Chemicals (Notification and Assessment) Act 1989
	Subordinate legislation (eg. regulations, standards)	
	Co-regulation or quasi-regulation	
	Commonwealth/State/Territory agreement	
	Voluntary arrangements (eg. codes of practice)	
	Other	
5.3	Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)	
	Commonwealth government (DOFA etc)	all portfolios
	Other governments (state, territory, local)	
	Industry	individual companies, industry associations (NICNAS' standard industry association consultation list is at Attachment 5.3)
	Consumers	
	Other	Australian Conservation Foundation, Hazardous Materials Action Group, Greenpeace, Total Environment Centre, Australian Consumers Association, Public Interest Advocacy Centre

5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Access Economics were engaged to explore and model cost recovery alternatives. A copy of this report was provided to the Productivity Commission Inquiry in October 2000.				
5.5 Which agency is responsible for the following activities? (Please name relevant agency) Policy setting Price setting Administration Revenue collection	<table border="1"> <tr> <td data-bbox="907 552 1624 584">Dept of Employment, Workplace Relations and Small Business</td> </tr> <tr> <td data-bbox="907 584 1624 616">Dept of Employment, Workplace Relations and Small Business</td> </tr> <tr> <td data-bbox="907 616 1413 647">NICNAS via NOHSC Chief Executive Officer</td> </tr> <tr> <td data-bbox="907 647 1285 679">NICNAS (outsourced to NOHSC)</td> </tr> </table>	Dept of Employment, Workplace Relations and Small Business	Dept of Employment, Workplace Relations and Small Business	NICNAS via NOHSC Chief Executive Officer	NICNAS (outsourced to NOHSC)
Dept of Employment, Workplace Relations and Small Business					
Dept of Employment, Workplace Relations and Small Business					
NICNAS via NOHSC Chief Executive Officer					
NICNAS (outsourced to NOHSC)					
5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.) Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	<table border="1"> <tr> <td data-bbox="907 743 1715 775">members of the NICNAS Industry Government Consultative Committee</td> </tr> <tr> <td data-bbox="907 775 1211 839">industry members of IGCC</td> </tr> <tr> <td data-bbox="907 839 1796 871"></td> </tr> <tr> <td data-bbox="907 871 1796 903"></td> </tr> </table>	members of the NICNAS Industry Government Consultative Committee	industry members of IGCC		
members of the NICNAS Industry Government Consultative Committee					
industry members of IGCC					
5.7 Please describe these consultation arrangements.	The NICNAS Industry Government Consultative Committee was established following the move to full cost recovery to review performance and the use of resources under full cost recovery. The IGCC meets at least 3 times per year and participates in NICNAS' budget setting and business planning processes. The IGCC receives quarterly financial and operational reports. (Refer attachment 5.7)				
5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Yes. Copies attached.				

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

NICNAS - New Chemicals Assessment Program

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	market prices
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	Yes. Costs are directly related to the costs of particular activities.

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	full costs, including overheads.
(ii) What proportion of these costs do charges aim to recover? (%)	100%
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No. NICNAS does not have any assets. All assets are managed via NOHSC under our corporate services arrangements.
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v) Do charges include a user cost of capital?	No.
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	No
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	No
(x) If 'YES' to (ix), on what basis?	

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	No
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Activity based costing methodology used
6.4 Are there any price controls on these charges?	No
6.5 How often is the level of charges changed?	No set policy. These fees/charges are not indexed for CPI movements. Changes to prices are driven by reform program and formal evaluations.
6.6 What happens if revenue recovered is greater than costs incurred?	It is retained as carry-over, and through the IGCC mechanism discussed at question 5.7, is allocated to priority projects including reform work on chemicals regulatory program.

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)



PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Existing Chemicals Assessment Program, 50% of compliance activities (remaining 50% funded by Government), education activities

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same agency	\$	2065
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	0
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	0
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	2065
4.12	Cost recovery not paid into CRF	\$	0
4.13	Total cost recovery revenue	\$	0
4.14	Appropriations not related to cost recovery	\$	113
4.15	Other sources (please specify)	\$	0
4.16	Total program or activity, output or outcome revenues	\$	2178
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	1516
4.18	Indirect expenses (including corporate overheads)	\$	425
4.19	Third party expenses (a)	\$	161
4.20	Total program or activity, output or outcome expenses	\$	2102
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	approx 7 %

Government contributes 50% of compliance costs

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome	Existing Chemicals Assessment Program, 50% of compliance activities (remaining 50% funded by Government), education activities
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Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	Replacing previous fee collection mechanism for existing chemical assessment to spread the costs associated with assessments more widely across industry (second reading speech introducing the provisions attached).
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5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)

Pt 3A of the Industrial Chemicals (Notification and Assessment) Act 1989; Industrial Chemicals (Registration Charge - Customs) Act 1997; Industrial Chemicals (Registration Charge - Excise) Act 1997; Industrial Chemicals (Registration Charge - General) Act 1997.

Subordinate legislation (eg. regulations, standards)

--

Co-regulation or quasi-regulation

--

Commonwealth/State/Territory agreement

--

Voluntary arrangements (eg. codes of practice)

--

Other

--

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)

all portfolios

Other governments (state, territory, local)

--

Industry

individual companies, industry associations

Consumers

--

Other

--

5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Access Economics was engaged to investigate and model cost recovery mechanisms. A copy of this report was provided to the Productivity Commission Inquiry in October 2000.					
5.5 Which agency is responsible for the following activities? (Please name relevant agency) Policy setting Price setting Administration Revenue collection	<table border="1"> <tr> <td data-bbox="779 608 1666 639">DEWRSB</td> </tr> <tr> <td data-bbox="779 639 1666 671">DEWRSB</td> </tr> <tr> <td data-bbox="779 671 1666 703">NICNAS (via NOHSC)</td> </tr> <tr> <td data-bbox="779 703 1666 735">NICNAS (via NOHSC)</td> </tr> </table>	DEWRSB	DEWRSB	NICNAS (via NOHSC)	NICNAS (via NOHSC)	
DEWRSB						
DEWRSB						
NICNAS (via NOHSC)						
NICNAS (via NOHSC)						
5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.) Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	<table border="1"> <tr> <td data-bbox="779 804 1666 836">members of the NICNAS Industry Government Consultative Copmmittee</td> </tr> <tr> <td data-bbox="779 836 1666 868"></td> </tr> <tr> <td data-bbox="779 868 1666 900">industry members of the IGCC</td> </tr> <tr> <td data-bbox="779 900 1666 932"></td> </tr> <tr> <td data-bbox="779 932 1666 963"></td> </tr> </table>	members of the NICNAS Industry Government Consultative Copmmittee		industry members of the IGCC		
members of the NICNAS Industry Government Consultative Copmmittee						
industry members of the IGCC						
5.7 Please describe these consultation arrangements.	The IGCC meets regularly and participates in NICNAS budget setting and business planning processes. (Refer attachment 5.7)					
5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Yes. Report on the evaluation of the company registration cost recovery mechanism finalised in September 2000. Copy of the report attached.					

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome Existing Chemicals Assessment Program, 50% of compliance activities (remaining 50% funded by Government), education activities

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)	
(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	by formula in s 80 of the IC(NA) Act.
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	Charges are based on the total value of chemicals imported and/or manufactured in a year.
6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	full costs, including overheads.
(ii) What proportion of these costs do charges aim to recover? (%)	97%
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	no
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprivation or replacement cost)	
(v) Do charges include a user cost of capital?	no
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	no
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	Yes. A registrable threshold applies: companies below the threshold are not required to register (i.e. not required to pay)
(x) If 'YES' to (ix), on what basis?	

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes. A registrable threshold applies: companies below the threshold are not required to register (i.e. not required to pay).
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Activity based costing methodology used.
6.4 Are there any price controls on these charges?	Yes. Fees/charges are capped in the legislation.
6.5 How often is the level of charges changed?	No set policy. Fees are not indexed for CPI movements. Recent evaluation of the program may result in fees being reset.
6.6 What happens if revenue recovered is greater than costs incurred?	It is retained as carry-over, and through the IGCC mechanism discussed at question 5.7, is allocated to priority projects including reform work on chemicals regulatory program.

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

This section asks about your agency’s total revenues, charges and expenses. All agencies should complete this section, whether or not you consider you undertake cost recovery.

Section 2: Agency revenues, charges and expenses

(Please indicate with a 'X' which response applies)

2.1 Has your agency charged any cost recovery fees, levies or other charges in the last five financial years?

YES	NO
X	

Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your agency, and which are collected by your agency or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.

2.2 Were any of the appropriations allocated to your agency in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your agency or by another agency on your behalf).

YES	NO
X	

2.3 Has your agency considered introducing any cost recovery arrangements in the past that were not implemented?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

2.4 Is your agency considering introducing any cost recovery arrangements in the future?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

If you answered NO to questions 2.1 and 2.2, you need not answer any further questions. Thank you for your cooperation. Please return the questionnaire to the Commission (see front sheet for instructions).

If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and Part II on the following worksheet.

Section 3: Agency revenues and expenses

3.1 Does your agency classify revenues and expenses as agency and administered?

Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).

YES	NO
	X

If your agency classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your agency does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.

AGENCY revenues and expenses (Please use \$'000)

	1995-96	1996-97	1997-98	1998-99	1999-2000
Agency revenue from cost recovery (a)					
3.2 Cost recovery revenue retained by your agency	1120	1030	0	0	0
3.3 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)	0	0	2592	3573	3678
3.4 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)	0	0	0	0	0
3.5 Total agency revenue from cost recovery	1120	1030	2592	3573	3678
Agency revenue from other sources					
3.6 Other appropriations	795	1208	400*	400*	113
3.7 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)	0	0	0	0	0
3.8 Total agency revenue from other sources	795	1208	0	0	113
3.9 Total agency revenue	1915	2238	2592	3573	3791
3.10 Total agency expenses	1914	2238	2756*	3456*	3703

*Appropriation includes chemical policy activities and 50% of compliance activities. From 1999-00 policy activities are reported through NOHSC as these are not NICNAS activities.

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

ADMINISTERED revenues and expenses (Please use \$'000)

	1995-96	1996-97	1997-98	1998-99	1999-2000
Administered revenue from cost recovery (a)					
3.11 Cost recovery revenue retained by your agency	0	0	0	0	0
3.12 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated,	0	0	0	0	0
3.13 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)	0	0	0	0	0
3.14 Total administered revenue from cost recovery	0	0	0	0	0
Administered revenue from other sources					
3.15 Other appropriations	0	0	0	0	0
3.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)	0	0	0	0	0
3.17 Total administered revenue from other sources	0	0	0	0	0
3.18 Total administered revenue	0	0	0	0	0
3.19 Total administered expenses	0	0	0	0	0

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

End of Part I. Please complete Part II, which is on a separate worksheet.