

PART I

ALL AGENCIES ARE REQUESTED TO COMPLETE PART I.

If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE.

Section 1: Contact details

1.1 Agency

1.2 Reporting and financial arrangements are governed by:
(Please indicate with a 'X' whether one or more of the following Acts apply)

Financial Management and Accountability Act 1997

YES	NO
	X

Commonwealth Authorities and Companies Act 1997

YES	NO
X	

Other

1.3 Contact Officer

Position

Phone

Fax

Email

Address

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome: Health Insurance Commission (Medicare, PBS and other related health programs such as Immunisation)

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) Pathology Fees; Sale of Statistics; Consultancy
4.2	Basic description of arrangements: (Please attach any relevant documents.) Pathology Fees - based on Health Insurance Pathology (fees) ACT 1991; Consultancy Services - contracts won from the World Bank to consult on International Health Projects; Sale of Statistics - sale of statistics to consumers
4.3	Who pays the cost recovery charges? Consultancy - World Bank; Sale of Statistics - requestors of health information; Pathology Fees - Pathology Providers
4.4	Who benefits from the program or activity, output or outcome? Health sector and consumers
4.5	Do you attempt to measure these benefits? If YES, how? No, however receive feedback through customer surveys; Pathology Fees are measured through external parties testing pathology performance.
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) N/A
4.7	When was this cost recovery arrangement introduced? N/A

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Health Insurance Commission (Medicare, PBS and other related health programs such as Immunisation)

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same agency	\$	<input type="text"/>
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	<input type="text"/>
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	<input type="text" value="2469"/>
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	<input type="text" value="2469"/>
4.12	Cost recovery not paid into CRF	\$	<input type="text" value="1422"/>
4.13	Total cost recovery revenue	\$	<input type="text" value="3891"/>
4.14	Appropriations not related to cost recovery	\$	<input type="text" value="390109"/>
4.15	Other sources (please specify)	\$	<input type="text"/>
4.16	Total program or activity, output or outcome revenues	\$	<input type="text" value="394000"/>
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	<input type="text" value="341241.8"/>
4.18	Indirect expenses (including corporate overheads)	\$	<input type="text" value="75073"/>
4.19	Third party expenses (a)	\$	<input type="text"/>
4.20	Total program or activity, output or outcome expenses	\$	<input type="text" value="416314.8"/>
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	<input type="text"/>

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity a their costs are being recovered as part of the cost recovery arrangements.

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome Health Insurance Commission (Medicare, PBS and other related health programs such as Immunisation)

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) Pathology Fees - offset the cost of administration as required under the Health Insurance Pathology (fees) ACT 1991; Consultancy - recovery of cost based on resources used on the project; Sale of Statistics - based on resources used to prepare information requirements

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Pathology Fees - Health Insurance Pathology (fees) ACT 1991
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	DOFA; DHAC
Other governments (state, territory, local)	
Industry	
Consumers	
Other	

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	<p>Pathology Fees - Health Insurance Pathology (fees) ACT 1991</p>					
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<table border="1"> <tr> <td data-bbox="902 608 1798 639">DHAC for Pathology Fees; HIC for other</td> </tr> <tr> <td data-bbox="902 639 1798 671">DHAC for Pathology Fees; HIC for other</td> </tr> <tr> <td data-bbox="902 671 1798 703">HIC</td> </tr> <tr> <td data-bbox="902 703 1798 735">HIC</td> </tr> </table>	DHAC for Pathology Fees; HIC for other	DHAC for Pathology Fees; HIC for other	HIC	HIC	
DHAC for Pathology Fees; HIC for other						
DHAC for Pathology Fees; HIC for other						
HIC						
HIC						
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<table border="1"> <tr> <td data-bbox="902 802 1798 834">No</td> </tr> <tr> <td data-bbox="902 834 1798 866"></td> </tr> <tr> <td data-bbox="902 866 1798 898"></td> </tr> <tr> <td data-bbox="902 898 1798 930"></td> </tr> <tr> <td data-bbox="902 930 1798 962"></td> </tr> </table>	No				
No						
<p>5.7 Please describe these consultation arrangements.</p>	<p>NA</p>					
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	<p>Subject to external audit annually.</p>					

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome	Health Insurance Commission (Medicare, PBS and other related health programs such as Immunisation)
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Section 6: Price setting arrangements

6.1	How are these cost recovery charges determined? (Please attach any relevant documents)	
(i)	How are charges set? (eg. by formula in legislation or based on 'market prices')	Pathology Fees - Guidance from Health Insurance Pathology (fees) ACT 1991 and set by DHAC; Statistics - by quote depending on the work effort; Consultancy - response to tenders
(ii)	Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	No
6.2	If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i)	What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Recover full cost ie. Direct and indirect
(ii)	What proportion of these costs do charges aim to recover? (%)	All ie. 100%
(iii)	Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No. However, the price will include a depreciation component from existing assets.
(iv)	If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v)	Do charges include a user cost of capital?	No
(vi)	If 'YES' to (iv), how is it calculated?	
(vii)	Do charges include return on assets? (eg. profit)	No. However, pricing may include risk margin dependent on the project (eg Consultancy)
(viii)	If 'YES' to (vii), on what basis?	
(ix)	Do charges discriminate between types of users?	No
(x)	If 'YES' to (ix), on what basis?	

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes
(xii) If 'YES' to (xi), on what basis?	Only on public interest grounds for the sale of statistics
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	% of direct costs
6.4 Are there any price controls on these charges?	Pathology fees are governed Health Insurance Pathology (fees) ACT 1991.
6.5 How often is the level of charges changed?	No set timeframe
6.6 What happens if revenue recovered is greater than costs incurred?	It means that the level of risk was lower than estimated.

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

This section asks about your agency's total revenues, charges and expenses. All agencies should complete this section, whether or not you consider you undertake cost recovery.

Section 2: Agency revenues, charges and expenses

(Please indicate with a 'X' which response applies)

2.1 Has your agency charged any cost recovery fees, levies or other charges in the last five financial years?

YES	NO
X	

Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your agency, and which are collected by your agency or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.

2.2 Were any of the appropriations allocated to your agency in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your agency or by another agency on your behalf).

YES	NO
	X

2.3 Has your agency considered introducing any cost recovery arrangements in the past that were not implemented?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

2.4 Is your agency considering introducing any cost recovery arrangements in the future?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

If you answered NO to questions 2.1 and 2.2, you need not answer any further questions. Thank you for your cooperation. Please return the questionnaire to the Commission (see front sheet for instructions).

If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and Part II on the following worksheet.

Section 3: Agency revenues and expenses

3.1 Does your agency classify revenues and expenses as agency and administered?

Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).

YES	NO
X	

If your agency classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your agency does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.

AGENCY revenues and expenses (Please use \$'000)					
	1995-96	1996-97	1997-98	1998-99	1999-2000
Agency revenue from cost recovery (a)					
3.2 Cost recovery revenue retained by your agency	Unable to determine		418	1366	1422
3.3 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)					
3.4 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)			2380	3588	2469
3.5 Total agency revenue from cost recovery	0	0	2798	4954	3891
Agency revenue from other sources					
3.6 Other appropriations	243666	290898	318514	360399	377949
3.7 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)	8099	19363	18945	14058	12160
3.8 Total agency revenue from other sources	251765	310261	337459	374457	390109
3.9 Total agency revenue	251765	310261	340257	379411	394000
3.10 Total agency expenses	Unable to determine		324405	346100	416315
CRF Consolidated Revenue Fund					
(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.					

ADMINISTERED revenues and expenses (Please use \$'000)

	1995-96	1996-97	1997-98	1998-99	1999-2000
Administered revenue from cost recovery (a)					
3.11 Cost recovery revenue retained by your agency					
3.12 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated,					
3.13 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)					
3.14 Total administered revenue from cost recovery	0	0	0	0	0
Administered revenue from other sources					
3.15 Other appropriations	8703179	8703395	9605428	11875438	12554637
3.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)	0	52749	27230	34536	39411
3.17 Total administered revenue from other sources	8703179	8756144	9632658	11909974	12594048
3.18 Total administered revenue	8703179	8756144	9632658	11909974	12594048
3.19 Total administered expenses	8585658	8906985	9650941	10983628	12559896

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

End of Part I. Please complete Part II, which is on a separate worksheet.