

PART I

ALL AGENCIES ARE REQUESTED TO COMPLETE PART I.

If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE.

Section 1: Contact details

1.1 Agency

1.2 Reporting and financial arrangements are governed by:
(Please indicate with a 'X' whether one or more of the following Acts apply)

Financial Management and Accountability Act 1997

YES	NO
<input type="checkbox"/>	No

Commonwealth Authorities and Companies Act 1997

YES	NO
<input type="checkbox"/>	No

Other

1.3 Contact Officer

Position

Phone

Fax

Email

Address

This section asks about your agency’s total revenues, charges and expenses. All agencies should complete this section, whether or not you consider you undertake cost recovery.

Section 2: Agency revenues, charges and expenses

(Please indicate with a 'X' which response applies)

2.1 Has your agency charged any cost recovery fees, levies or other charges in the last five financial years?

YES	NO
yes	

Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your agency, and which are collected by your agency or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.

2.2 Were any of the appropriations allocated to your agency in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your agency or by another agency on your behalf).

YES	NO
Yes	

2.3 Has your agency considered introducing any cost recovery arrangements in the past that were not implemented?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	NO

2.4 Is your agency considering introducing any cost recovery arrangements in the future?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	NO

If you answered NO to questions 2.1 and 2.2, you need not answer any further questions. Thank you for your cooperation. Please return the questionnaire to the Commission (see front sheet for instructions).

If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and Part II on the following worksheet.

Section 3: Agency revenues and expenses

3.1 Does your agency classify revenues and expenses as agency and administered?

Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).

YES	NO
	X

If your agency classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your agency does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.

AGENCY revenues and expenses (Please use \$'000)					
	1995-96	1996-97	1997-98	1998-99	1999-2000
Agency revenue from cost recovery (a)					
3.2 Cost recovery revenue retained by your agency					
3.3 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)			994315	1749077	1787612
3.4 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)					
3.5 Total agency revenue from cost recovery	0	0	994315	1749077	1787612
Agency revenue from other sources					
3.6 Other appropriations					
3.7 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)			8584	29045	39254
3.8 Total agency revenue from other sources	0	0	8584	29045	39254
3.9 Total agency revenue	0	0	1002899	1778122	1826866
3.10 Total agency expenses			506640	1449699	1975983

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

ADMINISTERED revenues and expenses (Please use \$'000)

	1995-96	1996-97	1997-98	1998-99	1999-2000
Administered revenue from cost recovery (a)					
3.11 Cost recovery revenue retained by your agency					
3.12 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated,					
3.13 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)					
3.14 Total administered revenue from cost recovery	0	0	0	0	0
Administered revenue from other sources					
3.15 Other appropriations					
3.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)					
3.17 Total administered revenue from other sources	0	0	0	0	0
3.18 Total administered revenue	0	0	0	0	0
3.19 Total administered expenses					

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

End of Part I. Please complete Part II, which is on a separate worksheet.

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome Migration Agents Registration Authority

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) A regulatory fee is paid with applications for registration as a migration agent
4.2	Basic description of arrangements: (Please attach any relevant documents.) Registration as a migration agent is mandatory for the provision of immigration assistance. The Migration Institute of Australia (MIA) is appointed under the Migration Act 1958 as the regulatory body, the Migration Agents Registration Authority (MARA).
4.3	Who pays the cost recovery charges? Applicants for registration as migration agents
4.4	Who benefits from the program or activity, output or outcome? migration applicants/consumers, Commonwealth Government, Australian community and the migration advising industry
4.5	Do you attempt to measure these benefits? If YES, how? Yes - regular reviews of the framework by the Commonwealth Government and internal reviews. These include assessment of the performance of the migration advising industry
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) Under the Act if the MIA is not appointed the Minister is the MARA
4.7	When was this cost recovery arrangement introduced? The current scheme was introduced in March 1998.

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome

Migration Agents Registration Authority

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues

4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same agency	\$	1787612	
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$		
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$		
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	1787612	
4.12	Cost recovery not paid into CRF	\$		39254
4.13	Total cost recovery revenue	\$	1826866	
4.14	Appropriations not related to cost recovery	\$		
4.15	Other sources (please specify)	\$		
4.16	Total program or activity, output or outcome revenues	\$		1826866

Program or activity, output or outcome expenses

4.17	Direct expenses	\$	1975983
4.18	Indirect expenses (including corporate overheads)	\$	
4.19	Third party expenses (a)	\$	
4.20	Total program or activity, output or outcome expenses	\$	1975983

Administration costs

4.21	What costs are associated with administering the cost recovery arrangements?	\$	1975983
------	--	----	---------

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity a their costs are being recovered as part of the cost recovery arrangements.

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome Migration Agents Registration Authority

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) Regulatory fee to be paid in an environment that necessitated some industry regulation. More recently, the need for financial independence of the regulatory body in the move to industry self regulation. See attached second reading speech.

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Migration Agents Registration Application Charge Act 1997; Migration Act 1958 s 332A, 332B
Subordinate legislation (eg. regulations, standards)	Migration Agents Registration Application Charge Regulations 1998
Co-regulation or quasi-regulation	industry body has powers to regulate within legislative framework
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	DIMA, Consumer Affairs, Refugee Review Tribunal
Other governments (state, territory, local)	relevant state government agencies(legal and consumer affairs)
Industry	Migration Institute of Australia, Law Council
Consumers	ethnic and community organisations
Other	

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	<p>Competition Principles Agreement, referred to in the published report of the March 1997 Review of the Migration Agents Registration Scheme</p>					
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<table border="1"> <tr> <td>Department of Immigration and Multicultural Affairs</td> </tr> <tr> <td>Department of Immigration and Multicultural Affairs</td> </tr> <tr> <td>Department of Immigration and Multicultural Affairs</td> </tr> <tr> <td>Department of Immigration and Multicultural Affairs</td> </tr> </table>	Department of Immigration and Multicultural Affairs	Department of Immigration and Multicultural Affairs	Department of Immigration and Multicultural Affairs	Department of Immigration and Multicultural Affairs	
Department of Immigration and Multicultural Affairs						
Department of Immigration and Multicultural Affairs						
Department of Immigration and Multicultural Affairs						
Department of Immigration and Multicultural Affairs						
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<table border="1"> <tr> <td>Department of Immigration and Multicultural Affairs (DIMA)</td> </tr> <tr> <td></td> </tr> <tr> <td>Migration Institute of Australia (MIA)</td> </tr> <tr> <td></td> </tr> <tr> <td>reviewed in 1999-extensive consultations- see attached report</td> </tr> </table>	Department of Immigration and Multicultural Affairs (DIMA)		Migration Institute of Australia (MIA)		reviewed in 1999-extensive consultations- see attached report
Department of Immigration and Multicultural Affairs (DIMA)						
Migration Institute of Australia (MIA)						
reviewed in 1999-extensive consultations- see attached report						
<p>5.7 Please describe these consultation arrangements.</p>	<p>DIMA also monitors MARA costs and revenues on a regular basis, and fees are set following independent review of costs</p>					
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	<p>yes, in 1997 and 1999</p>					

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Migration Agents Registration Authority

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

- | | |
|--|---|
| (i) How are charges set? (eg. by formula in legislation or based on 'market prices') | Set by Migration Agents Registration Application Charge Regulations 1998, subject to a charge limit set by the Migration Agents Registration Application Charge Act 1997, which is indexed according to CPI formula |
| (ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets) | Charges differ according to whether the application is for an initial or repeat application and whether for practice as a commercial or for profit agent or as a non commercial non profit migration agent. The differences are not directly related to costs involved. |

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

- | | |
|--|---|
| (i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads) | Cost of Regulation of the Scheme |
| (ii) What proportion of these costs do charges aim to recover? (%) | 100% |
| (iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets) | Yes |
| (iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost) | At cost of acquisition including any lease payments |
| (v) Do charges include a user cost of capital? | Not directly |
| (vi) If 'YES' to (iv), how is it calculated? | |
| (vii) Do charges include return on assets? (eg. profit) | No |
| (viii) If 'YES' to (vii), on what basis? | |
| (ix) Do charges discriminate between types of users? | yes |
| (x) If 'YES' to (ix), on what basis? | Registration as a commercial or for profit agent or;as a non commercial non |

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	no
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Same as direct costs - attribution of aggregate costs across estimated total workload.
6.4 Are there any price controls on these charges?	Prices set in regulations approved by the Parliamentary Secretary on the recommendation of DIMA
6.5 How often is the level of charges changed?	Currently Annually
6.6 What happens if revenue recovered is greater than costs incurred?	Carried forward to the following year.

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)