

PART I

ALL AGENCIES ARE REQUESTED TO COMPLETE PART I.

If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE.

Section 1: Contact details

1.1 Agency

1.2 Reporting and financial arrangements are governed by:
(Please indicate with a 'X' whether one or more of the following Acts apply)

Financial Management and Accountability Act 1997

YES	NO
X	

Commonwealth Authorities and Companies Act 1997

YES	NO
	X

Other

1.3 Contact Officer

Position

Phone

Fax

Email

Address

This section asks about your agency's total revenues, charges and expenses. All agencies should complete this section, whether or not you consider you undertake cost recovery.

Section 2: Agency revenues, charges and expenses

(Please indicate with a 'X' which response applies)

2.1 Has your agency charged any cost recovery fees, levies or other charges in the last five financial years?

YES	NO
X	

Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your agency, and which are collected by your agency or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.

2.2 Were any of the appropriations allocated to your agency in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your agency or by another agency on your behalf).

YES	NO
	X

2.3 Has your agency considered introducing any cost recovery arrangements in the past that were not implemented?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

2.4 Is your agency considering introducing any cost recovery arrangements in the future?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

If you answered NO to questions 2.1 and 2.2, you need not answer any further questions. Thank you for your cooperation. Please return the questionnaire to the Commission (see front sheet for instructions).

If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and Part II on the following worksheet.

Section 3: Agency revenues and expenses

3.1 Does your agency classify revenues and expenses as agency and administered?

Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).

YES	NO
X	

If your agency classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your agency does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.

AGENCY revenues and expenses (Please use \$'000)					
	1995-96	1996-97	1997-98	1998-99	1999-2000
Agency revenue from cost recovery (a)					
3.2 Cost recovery revenue retained by your agency					
3.3 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)	12767	10527	13478	13311	12133
3.4 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)					
3.5 Total agency revenue from cost recovery	12767	10527	13478	13311	12133
Agency revenue from other sources					
3.6 Other appropriations	60792	58347	48550	66151	62125
3.7 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)					718
3.8 Total agency revenue from other sources	60792	58347	48550	66151	62843
3.9 Total agency revenue	73559	68874	62028	79462	74976
3.10 Total agency expenses	73559	68874	62028	79462	73456
CRF Consolidated Revenue Fund					
(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.					

ADMINISTERED revenues and expenses (Please use \$'000)

	1995-96	1996-97	1997-98	1998-99	1999-2000
Administered revenue from cost recovery (a)					
3.11 Cost recovery revenue retained by your agency					
3.12 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated,					
3.13 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)					
3.14 Total administered revenue from cost recovery	0	0	0	0	0
Administered revenue from other sources					
3.15 Other appropriations	7235	58726	34310	665	20
3.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)					
3.17 Total administered revenue from other sources	7235	58726	34310	665	20
3.18 Total administered revenue	7235	58726	34310	665	20
3.19 Total administered expenses	7235	58726	34310	665	20

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

End of Part I. Please complete Part II, which is on a separate worksheet.

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome	AGSO - Corporate Outcome: Enhanced potential for the Australian community to obtain economic, social and environmental benefits through the application of first class
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Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1 Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Sale of geoscientific information (maps, datasets, other publications) and services; commissioned research.
4.2 Basic description of arrangements: (Please attach any relevant documents.)	Items can be purchased via the AGSO Sales Centre. Services and commissioned research are subject to negotiated contract arrangements.
4.3 Who pays the cost recovery charges?	Clients include, but are not restricted to, other Commonwealth, State and local government agencies, companies in the exploration industry, educational institutions, members of the
4.4 Who benefits from the program or activity, output or outcome?	Beneficiaries can vary. There are often direct benefits to the private client but also direct and indirect benefits to the community. Much of AGSO's work has a public good / national interest component.
4.5 Do you attempt to measure these benefits? If YES, how?	AGSO does not attempt to measure the benefit that might accrue to a private client. It does attempt to measure, albeit crudely, the broader public good benefit generated.
4.6 Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	
4.7 When was this cost recovery arrangement introduced?	Although not a designated cost recovery agency, implementation of cost recovery principle began in AGSO in 1988. In 1994 the Government set AGSO an external revenue target of

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome AGSO - Corporate Outcome: Enhanced potential for the Australian community to obtain economic, social and environmental benefits through the application of first class

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same agency	\$	12133
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	12133
4.12	Cost recovery not paid into CRF	\$	
4.13	Total cost recovery revenue	\$	12133
4.14	Appropriations not related to cost recovery	\$	62125
4.15	Other sources (please specify)	\$	718
4.16	Total program or activity, output or outcome revenues	\$	74976
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	65580
4.18	Indirect expenses (including corporate overheads)	\$	7876
4.19	Third party expenses (a)	\$	
4.20	Total program or activity, output or outcome expenses	\$	73456
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	unknown

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity a their costs are being recovered as part of the cost recovery arrangements.

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome	AGSO - Corporate Outcome: Enhanced potential for the Australian community to obtain economic, social and environmental benefits through the application of first class
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Section 5: Institutional arrangements

5.1	What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	Application of cost recovery in AGSO was seen as a logical extension to the application of cost recovery in other science agencies such as CSIRO.
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5.2	What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)	
	Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	There is no legislative basis for this cost recovery. However, AGSO has an agreement with DoFA under s31 of the FMA Act to retain all revenue from cost recovery activities up to a specified limit each financial year.
	Subordinate legislation (eg. regulations, standards)	
	Co-regulation or quasi-regulation	
	Commonwealth/State/Territory agreement	
	Voluntary arrangements (eg. codes of practice)	
	Other	

5.3	Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)	
	Commonwealth government (DOFA etc)	The former Department of Finance
	Other governments (state, territory, local)	
	Industry	
	Consumers	
	Other	

5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	AGSO's current cost recovery methodology is based on "Guidelines for Costing Government Activities" issued by the former Department of Finance in 1991.										
5.5 Which agency is responsible for the following activities? (Please name relevant agency)	<table border="1"> <tr> <td data-bbox="416 612 568 639">Policy setting</td> <td data-bbox="907 612 1796 639">AGSO</td> </tr> <tr> <td data-bbox="416 644 560 671">Price setting</td> <td data-bbox="907 644 1796 671">AGSO</td> </tr> <tr> <td data-bbox="416 676 577 703">Administration</td> <td data-bbox="907 676 1796 703">AGSO</td> </tr> <tr> <td data-bbox="416 708 633 735">Revenue collection</td> <td data-bbox="907 708 1796 735">AGSO</td> </tr> </table>	Policy setting	AGSO	Price setting	AGSO	Administration	AGSO	Revenue collection	AGSO		
Policy setting	AGSO										
Price setting	AGSO										
Administration	AGSO										
Revenue collection	AGSO										
5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)	<table border="1"> <tr> <td data-bbox="416 807 875 834">Commonwealth government (DOFA etc)</td> <td data-bbox="907 807 1796 834">DOFA, State and Northern Territory geological surveys, industry clients</td> </tr> <tr> <td data-bbox="416 839 882 866">Other governments (state, territory, local)</td> <td data-bbox="907 839 1796 866"></td> </tr> <tr> <td data-bbox="416 871 510 898">Industry</td> <td data-bbox="907 871 1796 898"></td> </tr> <tr> <td data-bbox="416 903 546 930">Consumers</td> <td data-bbox="907 903 1796 930"></td> </tr> <tr> <td data-bbox="416 935 483 962">Other</td> <td data-bbox="907 935 1796 962"></td> </tr> </table>	Commonwealth government (DOFA etc)	DOFA, State and Northern Territory geological surveys, industry clients	Other governments (state, territory, local)		Industry		Consumers		Other	
Commonwealth government (DOFA etc)	DOFA, State and Northern Territory geological surveys, industry clients										
Other governments (state, territory, local)											
Industry											
Consumers											
Other											
5.7 Please describe these consultation arrangements.	Consultation takes place as part of formal meetings and also informally. For example, industry clients and state geological surveys regularly provide										
5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	AGSO's pricing policy is currently being reviewed. This review is expected to be completed by December 2000. AGSO is also represented on an interdepartmental committee (IDC) currently reviewing the access to and price										

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

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Section 6: Price setting arrangements

6.1	How are these cost recovery charges determined? (Please attach any relevant documents)	
(i)	How are charges set? (eg. by formula in legislation or based on 'market prices')	Charges are set by reference to AGSO's pricing policy.
(ii)	Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	Charges vary according to the nature of the activity undertaken. Research with national interest consideration is charged at marginal cost with no overhead or proxy for rate of return. Research or technical and consultancy services competing with the private sector are charged at full cost (including all AGSO overheads), plus a proxy for rate of return.
6.2	If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i)	What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Refer 6.1 (ii)
(ii)	What proportion of these costs do charges aim to recover? (%)	Refer 6.1 (ii)
(iii)	Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	Yes
(iv)	If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	Historic
(v)	Do charges include a user cost of capital?	No
(vi)	If 'YES' to (iv), how is it calculated?	
(vii)	Do charges include return on assets? (eg. profit)	Yes, for some activities.
(viii)	If 'YES' to (vii), on what basis?	A proxy for rate of return is included for those activities which compete with the
(ix)	Do charges discriminate between types of users?	No
(x)	If 'YES' to (ix), on what basis?	

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	No
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	
6.4 Are there any price controls on these charges?	
6.5 How often is the level of charges changed?	AGSO regularly reviews and benchmarks the costs of its products.
6.6 What happens if revenue recovered is greater than costs incurred?	Revenue from cost recovery activities, upto a specified limit each financial year, is retained by AGSO and used in support of its program. This enables a

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)