

**PART I**

**ALL PORTFOLIOS ARE REQUESTED TO COMPLETE PART I.**

**If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE.**

**Section 1: Contact details**

1.1 Portfolio

1.2 Reporting and financial arrangements are governed by:  
(Please indicate with a 'X' whether one or more of the following Acts apply)

*Financial Management and Accountability Act 1997*

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

*Commonwealth Authorities and Companies Act 1997*

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

Other

1.3 Contact Officer

Position

Phone

Fax

Email

Address

**This section asks about your portfolio's total revenues, charges and expenses (but not including the revenues, charges and expenses of agencies that will be completing separate responses to this questionnaire - see Attachment A). All portfolios should complete this section, whether or not you consider you undertake cost recovery.**

**Section 2: Portfolio revenues, charges and expenses**

(Please indicate with a 'X' which response applies)

2.1 Has your portfolio charged any cost recovery fees, levies or other charges in the last five financial years?

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

*Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your portfolio, and which are collected by your portfolio, or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.*

2.2 Were any of the appropriations allocated to your portfolio in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your portfolio or by another agency on your behalf).

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

2.3 Has your portfolio considered introducing any cost recovery arrangements in the past that were not implemented?  
(Please attach any relevant reviews, analysis or other information.)

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

2.4 Is your portfolio considering introducing any cost recovery arrangements in the future?  
(Please attach any relevant reviews, analysis or other information.)

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

**If you answered NO to questions 2.1 and 2.2, you need not answer any further questions. Thank you for your cooperation. Please return the questionnaire to the Commission (see front sheet for instructions).**

**If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and Part II on the following worksheet.**

**Section 3: Portfolio revenues and expenses**

**Please do not include the revenues and expenses in this section of agencies that will be completing separate responses to this questionnaire (see Attachment A).**

3.1 Does your portfolio classify revenues and expenses as agency and administered?  
*Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).*

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

**If your portfolio classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your portfolio does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.**

<b>AGENCY portfolio revenues and expenses (Please use \$'000)</b>					
	1995-96	1996-97	1997-98	1998-99	1999-2000
<b>Agency revenue from cost recovery (a)</b>					
3.2 Cost recovery revenue retained by your portfolio					
3.3 Cost recovery revenue paid to CRF and appropriated to your portfolio (or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)					
3.4 Cost recovery revenue paid to CRF and not specifically appropriated to your portfolio (or another agency)					
<b>3.5 Total agency revenue from cost recovery</b>	0	0	0	0	0
<b>Agency revenue from other sources</b>					
3.6 Other appropriations					
3.7 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)					
<b>3.8 Total agency revenue from other sources</b>	0	0	0	0	0
<b>3.9 Total portfolio agency revenue</b>	0	0	0	0	0
<b>3.10 Total agency expenses</b>					
<b>CRF Consolidated Revenue Fund</b>					
(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your portfolio, and which is paid to your portfolio, to another agency or to the Consolidated Revenue Fund.					

**ADMINISTERED portfolio revenues and expenses (Please use \$'000)**

	1995-96	1996-97	1997-98	1998-99	1999-2000
<b>Administered revenue from cost recovery (a)</b>					
3.11 Cost recovery revenue retained by your portfolio					
3.12 Cost recovery revenue paid to CRF and appropriated to your portfolio (or another agency for a specific purpose (ie. annotated,					
3.13 Cost recovery revenue paid to CRF and not specifically appropriated to your portfolio (or another agency)					
<b>3.14 Total administered revenue from cost recovery</b>	0	0	0	0	0
<b>Administered revenue from other sources</b>					
3.15 Other appropriations					
3.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)					
<b>3.17 Total administered revenue from other sources</b>	0	0	0	0	0
<b>3.18 Total portfolio administered revenue</b>	0	0	0	0	0
<b>3.19 Total administered expenses</b>					

**CRF Consolidated Revenue Fund**

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your portfolio, and which is paid to your portfolio, to another agency or to the Consolidated Revenue Fund.

**End of Part I. Please complete Part II, which is on a separate worksheet.**

**PART II**

**If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.**

**PART II(a)**

Name of sub-unit, agency, program or activity, output or outcome AUSLIG (Department of Industry, Science & Resources - activity 2.4)

**Section 4: Cost recovery arrangements in 1999-2000**

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) <span style="border: 1px solid black; padding: 2px;">Publication sales, data licence fees and royalties</span>
4.2	Basic description of arrangements: (Please attach any relevant documents.) <span style="border: 1px solid black; padding: 2px;">AUSLIG charges for spatial information products generated from its public interest programs. Prices are based on average cost of distribution. The major cost of collecting and processing information is funded through Appropriation.</span>
4.3	Who pays the cost recovery charges? <span style="border: 1px solid black; padding: 2px;">Government agencies, businesses, academic institutions, product distributors, general public - anyone purchasing AUSLIG products and services.</span>
4.4	Who benefits from the program or activity, output or outcome? <span style="border: 1px solid black; padding: 2px;">Both public and private beneficiaries, and ultimately the Australian community benefits socially and economically through the provision of an efficient spatial information infrastructure which is fundamental to various national activities.</span>
4.5	Do you attempt to measure these benefits? If YES, how? <span style="border: 1px solid black; padding: 2px;">Yes. Independent reviews/studies were conducted in 1992 and 1999.</span>
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) <span style="border: 1px solid black; padding: 2px;">No. AUSLIG is the only organisation in Australia providing national coverage of fundamental spatial information. Other Commonwealth agencies and State/Territory counterparts undertake complementary spatial information activities. Representative forums exist to facilitate cooperation.</span>
4.7	When was this cost recovery arrangement introduced? <span style="border: 1px solid black; padding: 2px;">Mid 1900s.</span>

**PART II(b)**

Name of sub-unit, agency, program or activity, output or outcome AUSLIG (Department of Industry, Science & Resources - activity 2.4)

**Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)**  
**(Please use \$'000)**

<b>Program or activity, output or outcome revenues</b>			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	<span style="border: 1px solid black; padding: 2px;">nil</span>
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	<span style="border: 1px solid black; padding: 2px;">nil</span>
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	<span style="border: 1px solid black; padding: 2px;">nil</span>
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	<span style="border: 1px solid black; padding: 2px;">0</span>
4.12	Cost recovery not paid into CRF	\$	<span style="border: 1px solid black; padding: 2px;">4.7M</span>
4.13	Total cost recovery revenue	\$	<span style="border: 1px solid black; padding: 2px;">#VALUE!</span>
4.14	Appropriations not related to cost recovery	\$	<span style="border: 1px solid black; padding: 2px;">26.8M</span>
4.15	Other sources (please specify)	\$	<span style="border: 1px solid black; padding: 2px;">1.7M (carry over)</span>
<b>4.16</b>	<b>Total program or activity, output or outcome revenues</b>	\$	<span style="border: 1px solid black; padding: 2px;">#VALUE!</span>
<b>Program or activity, output or outcome expenses</b>			
4.17	Direct expenses	\$	<span style="border: 1px solid black; padding: 2px;">33.2M</span>
4.18	Indirect expenses (including corporate overheads)	\$	<span style="border: 1px solid black; padding: 2px;">incl above</span>
4.19	Third party expenses (a)	\$	<span style="border: 1px solid black; padding: 2px;"></span>
4.20	<b>Total program or activity, output or outcome expenses</b>	\$	<span style="border: 1px solid black; padding: 2px;">0</span>
<b>Administration costs</b>			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	<span style="border: 1px solid black; padding: 2px;">4.6M</span>

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity a their costs are being recovered as part of the cost recovery arrangements.

**PART II(c)**

Name of sub-unit, agency, program or activity, output or outcome AUSLIG (Department of Industry, Science & Resources - activity 2.4)

**Section 5: Institutional arrangements**

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) Revenue targets were established as part of a 1988 Government Decision which formalised AUSLIG's public interest role, though a charging regime had been in place for several years prior to that.

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	N/A
Subordinate legislation (eg. regulations, standards)	Commonwealth Public Interest Spatial Data Transfer Policy established with Ministerial endorsement in 1995
Co-regulation or quasi-regulation	N/A
Commonwealth/State/Territory agreement	N/A
Voluntary arrangements (eg. codes of practice)	ANZLIC draft agreement (1995) for the distribution of spatial information for non commercial environmental purposes at cost of transfer
Other	N/A

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	Cost recovery has been in place since the mid 1900s
Other governments (state, territory, local)	See 5.6 re ongoing consultation
Industry	
Consumers	
Other	



5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Commonwealth Public Interest Spatial Data Transfer Policy (1995)										
5.5 Which agency is responsible for the following activities? (Please name relevant agency)	<table border="1"> <tr> <td data-bbox="416 612 891 639">Policy setting</td> <td data-bbox="904 612 1794 639">AUSLIG</td> </tr> <tr> <td data-bbox="416 644 891 671">Price setting</td> <td data-bbox="904 644 1794 671">AUSLIG</td> </tr> <tr> <td data-bbox="416 676 891 703">Administration</td> <td data-bbox="904 676 1794 703">AUSLIG</td> </tr> <tr> <td data-bbox="416 708 891 735">Revenue collection</td> <td data-bbox="904 708 1794 735">AUSLIG</td> </tr> </table>	Policy setting	AUSLIG	Price setting	AUSLIG	Administration	AUSLIG	Revenue collection	AUSLIG		
Policy setting	AUSLIG										
Price setting	AUSLIG										
Administration	AUSLIG										
Revenue collection	AUSLIG										
5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)	<table border="1"> <tr> <td data-bbox="416 804 891 831">Commonwealth government (DOFA etc)</td> <td data-bbox="904 804 1794 831">DOFA and the Commonwealth Spatial Data Committee.</td> </tr> <tr> <td data-bbox="416 836 891 863">Other governments (state, territory, local)</td> <td data-bbox="904 836 1794 863">Intergovernmental coordination bodies - ANZLIC and ICSM</td> </tr> <tr> <td data-bbox="416 868 891 895">Industry</td> <td data-bbox="904 868 1794 895">AUSLIG's product distributors</td> </tr> <tr> <td data-bbox="416 900 891 927">Consumers</td> <td data-bbox="904 900 1794 927">Customer surveys</td> </tr> <tr> <td data-bbox="416 932 891 959">Other</td> <td data-bbox="904 932 1794 959"></td> </tr> </table>	Commonwealth government (DOFA etc)	DOFA and the Commonwealth Spatial Data Committee.	Other governments (state, territory, local)	Intergovernmental coordination bodies - ANZLIC and ICSM	Industry	AUSLIG's product distributors	Consumers	Customer surveys	Other	
Commonwealth government (DOFA etc)	DOFA and the Commonwealth Spatial Data Committee.										
Other governments (state, territory, local)	Intergovernmental coordination bodies - ANZLIC and ICSM										
Industry	AUSLIG's product distributors										
Consumers	Customer surveys										
Other											
5.7 Please describe these consultation arrangements.	The Minister responsible for AUSLIG forwards AUSLIG's Business Plan to DOFA for endorsement each year. Other consultation occurs at least annually.										
5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	An indepartmental committee has been established to review Commonwealth spatial data access and pricing issues and is due to report to Cabinet by June 2001.										

**PART II(d)**

Name of sub-unit, agency, program or activity, output or outcome

AUSLIG (Department of Industry, Science &amp; Resources - activity 2.4)

**Section 6: Price setting arrangements**

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

- |  |   |
|--|---|
| (i) How are charges set? (eg. by formula in legislation or based on 'market prices')   | Prices generally are based on average cost of distribution with some view to market prices. Prices for satellite imagery products are generally determined by the satellite owners. |
| (ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets) | Yes. The cost of distributing products is used as the basis of price setting.   |

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

- |  |  |
|--|--|
| (i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)                                  | Both direct and indirect costs related to the distribution function. |
| (ii) What proportion of these costs do charges aim to recover? (%)   | 100  |
| (iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets) | Yes  |
| (iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)                     | Deprival   |
| (v) Do charges include a user cost of capital?   | No   |
| (vi) If 'YES' to (iv), how is it calculated?   |  |
| (vii) Do charges include return on assets? (eg. profit)  | No   |
| (viii) If 'YES' to (vii), on what basis?   |  |
| (ix) Do charges discriminate between types of users?   | Yes  |
| (x) If 'YES' to (ix), on what basis?   | Discounts are available to distributors and bulk purchasers.         |

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes
(xii) If 'YES' to (xi), on what basis?	Discounts are available for educational and R&D purposes.
(xiii) Other (Please describe other significant features)	Formal data sharing arrangements with some State/Territory government agencies on a no cost basis
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Activity based costing
6.4 Are there any price controls on these charges?	No
6.5 How often is the level of charges changed?	Prices are reviewed annually and changed when the cost structure changes.
6.6 What happens if revenue recovered is greater than costs incurred?	Excess revenue is allocated to bring forward map revision and other program activities. Prices are sometimes reviewed downwards.

**End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)**