

PART I

ALL PORTFOLIOS ARE REQUESTED TO COMPLETE PART I.

If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE.

Section 1: Contact details

1.1 Portfolio

1.2 Reporting and financial arrangements are governed by:
(Please indicate with a 'X' whether one or more of the following Acts apply)

Financial Management and Accountability Act 1997

YES	NO
X	

Commonwealth Authorities and Companies Act 1997

YES	NO
	X

Other

1.3 Contact Officer

Position

Phone

Fax

Email

Address

This section asks about your portfolio’s total revenues, charges and expenses (but not including the revenues, charges and expenses of agencies that will be completing separate responses to this questionnaire - see Attachment A). All portfolios should complete this section, whether or not you consider you undertake cost recovery.

Section 2: Portfolio revenues, charges and expenses

(Please indicate with a 'X' which response applies)

2.1 Has your portfolio charged any cost recovery fees, levies or other charges in the last five financial years?

YES	NO
X	

Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your portfolio, and which are collected by your portfolio, or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.

2.2 Were any of the appropriations allocated to your portfolio in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your portfolio or by another agency on your behalf).

YES	NO
	X

2.3 Has your portfolio considered introducing any cost recovery arrangements in the past that were not implemented?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

2.4 Is your portfolio considering introducing any cost recovery arrangements in the future?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

If you answered NO to questions 2.1 and 2.2, you need not answer any further questions. Thank you for your cooperation. Please return the questionnaire to the Commission (see front sheet for instructions).

If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and Part II on the following worksheet.

Section 3: Portfolio revenues and expenses

Please do not include the revenues and expenses in this section of agencies that will be completing separate responses to this questionnaire (see Attachment A).

3.1 Does your portfolio classify revenues and expenses as agency and administered?

Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).

YES	NO
X	

If your portfolio classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your portfolio does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.

AGENCY portfolio revenues and expenses (Please use \$'000)					
	1995-96	1996-97	1997-98	1998-99	1999-2000
Agency revenue from cost recovery (a)					
3.2 Cost recovery revenue retained by your portfolio	524	1677	991	29539	28058
3.3 Cost recovery revenue paid to CRF and appropriated to your portfolio (or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)	0	0	0	0	0
3.4 Cost recovery revenue paid to CRF and not specifically appropriated to your portfolio (or another agency)	0	0	0	0	0
3.5 Total agency revenue from cost recovery	524	1677	991	29539	28058
Agency revenue from other sources					
3.6 Other appropriations	97457	134495	146881	190491	224110
3.7 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)	1809	2102	22432	7953	4442
3.8 Total agency revenue from other sources	99266	136597	169313	198444	228552
3.9 Total portfolio agency revenue	99790	138274	170304	227983	256610
3.10 Total agency expenses	109227	115121	171128	231548	251791
CRF Consolidated Revenue Fund					
(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your portfolio, and which is paid to your portfolio, to another agency or to the Consolidated Revenue Fund.					

ADMINISTERED portfolio revenues and expenses (Please use \$'000)

	1995-96	1996-97	1997-98	1998-99	1999-2000
Administered revenue from cost recovery (a)					
3.11 Cost recovery revenue retained by your portfolio					
3.12 Cost recovery revenue paid to CRF and appropriated to your portfolio (or another agency for a specific purpose (ie. annotated,					
3.13 Cost recovery revenue paid to CRF and not specifically appropriated to your portfolio (or another agency)					
3.14 Total administered revenue from cost recovery	0	0	0	0	0
Administered revenue from other sources					
3.15 Other appropriations					
3.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)					
3.17 Total administered revenue from other sources	0	0	0	0	0
3.18 Total portfolio administered revenue	0	0	0	0	0
3.19 Total administered expenses					

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your portfolio, and which is paid to your portfolio, to another agency or to the Consolidated Revenue Fund.

End of Part I. Please complete Part II, which is on a separate worksheet.

PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome Australian Government Analytical Laboratories - 2.4.1 (Analytical Laboratory Services)

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) Fee for service.
4.2	Basic description of arrangements: (Please attach any relevant documents.) Approximately one third of revenue is from budget (appropriation) sources and the remaining two-thirds from fee-for-service.
4.3	Who pays the cost recovery charges? Government and private sector clients.
4.4	Who benefits from the program or activity, output or outcome? Clients, the Australian Government (through the provision of a crisis response infrastructure) and the Australian community.
4.5	Do you attempt to measure these benefits? If YES, how? Yes, client satisfaction is gauged through feedback, including through AGAL's Service Charter, and AGAL's ability to respond to crises.
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) AGAL provides some services in competition with private sector laboratories and other service providers. For reasons such as impartiality and credibility a number of clients prefer to deal with a government laboratory.
4.7	When was this cost recovery arrangement introduced? 1987

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Australian Government Analytical Laboratories - 2.4.1(Analytical Laboratory Services)

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	0
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	0
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	34
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	34
4.12	Cost recovery not paid into CRF	\$	19356
4.13	Total cost recovery revenue	\$	19390
4.14	Appropriations not related to cost recovery	\$	10869
4.15	Other sources (please specify)	\$	0
4.16	Total program or activity, output or outcome revenues	\$	30259
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	30225
4.18	Indirect expenses (including corporate overheads)	\$	
4.19	Third party expenses (a)	\$	
4.20	Total program or activity, output or outcome expenses	\$	30225
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	831

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome Australian Government Analytical Laboratories - 2.4.1 (Analytical Laboratory Services)

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) Government policy. The arrangements have been examined on a number of occasions. In 1996 the report of the DAS commercialisation task force recommended, among other things, that AGAL's commercial activities were to be costed and charged on a basis of fu

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Special Account under S20 of the Financial Management and Accountability (FMA) Act. Business Operation pursuant to FMA Order 6.2.1.
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	Reviews e.g. in 1992 and 1996 included appropriate consultation.
Other governments (state, territory, local)	
Industry	
Consumers	
Other	

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	<p>Draft "Principles for the delivery of Commercial Activities and Services by DAS".</p>
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<p>)</p> <p>) AGAL is a branch within the Dept of Industry, Science and Resources</p> <p>)</p> <p>)</p>
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<p>Discussions occur with agencies re the price to them for services provided.</p> <p></p> <p>Discussions occur with clients, given the competitive nature of the industry.</p> <p></p> <p></p>
<p>5.7 Please describe these consultation arrangements.</p>	<p>These consultations can occur at any stage of the service delivery cycle eg when tenders are submitted, when contracts are negotiated.</p>
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	<p>Reviews in 1992 and 1996 have been referred to in AGAL's full submission to this inquiry. A joint DOFA/ISR review is also currently underway, independently chaired by Mr Don Mercer.</p>

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Australian Government Analytical Laboratories - 2.4.1 (Analytical Laboratory Services)

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

(i) How are charges set? (eg. by formula in legislation or based on 'market prices')

Market pricing.

(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)

Charges are compared to the overall cost of producing the services.

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)

The charges aim to recover all direct and indirect costs in AGAL that can be related to the service being provided.

(ii) What proportion of these costs do charges aim to recover? (%)

100%

(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)

Yes. The cost of those assets are appropriately recovered through a charging regime.

(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)

Assets are initially recorded at cost, and are revalued every three years in accordance with the deprival method of valuation.

(v) Do charges include a user cost of capital?

Under Competitive Neutrality principles, AGAL maintains a loan from the Australia Gov't on its balance sheet. Interest is charged on that loan.

(vi) If 'YES' to (iv), how is it calculated?

Interest is charged at the RBA 10 Year Bond rate plus a risk margin of 2%.

(vii) Do charges include return on assets? (eg. profit)

Yes

(viii) If 'YES' to (vii), on what basis?

The AGAL budget includes a small profit margin that relates to this work.

(ix) Do charges discriminate between types of users?

Yes

(x) If 'YES' to (ix), on what basis?

Large (strategically important) clients can get offered volume discounts.

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	No
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	A contribution margin approach is used to pricing and costing. Indirect costs are allocated based on assessments of the relative usage of the services represented by those indirect costs.
6.4 Are there any price controls on these charges?	AGAL adheres to the Government's policies on prices charged by its agencies following the introduction of the GST.
6.5 How often is the level of charges changed?	AGAL reviews its prices regularly, at least annually.
6.6 What happens if revenue recovered is greater than costs incurred?	AGAL operates under the Government's Competitive Neutrality principles.

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) <input type="text" value="Service charge"/>
4.2	Basic description of arrangements: (Please attach any relevant documents.) <input type="text" value="Invoices issued"/>
4.3	Who pays the cost recovery charges? <input type="text" value="Customers requesting services"/>
4.4	Who benefits from the program or activity, output or outcome? <input type="text" value="Customers (Defence, Emergency services, Customs, Aviation Industry, etc.)"/>
4.5	Do you attempt to measure these benefits? If YES, how? <input type="text" value="No"/>
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) <input type="text" value="No"/>
4.7	When was this cost recovery arrangement introduced? <input type="text" value="1985"/>

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome IPS RADIO AND SPACE SERVICES

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same agency	\$	105
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	105
4.12	Cost recovery not paid into CRF	\$	
4.13	Total cost recovery revenue	\$	105
4.14	Appropriations not related to cost recovery		\$ 3667
4.15	Other sources (please specify)		
4.16	Total program or activity, output or outcome revenues		\$ 3772
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	3711
4.18	Indirect expenses (including corporate overheads)	\$	
4.19	Third party expenses (a)	\$	
4.20	Total program or activity, output or outcome expenses	\$	3711
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	10

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome

IPS RADIO AND SPACE SERVICES

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	Administrative decision
5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)	
Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Section 35/31
Subordinate legislation (eg. regulations, standards)	None
Co-regulation or quasi-regulation	None
Commonwealth/State/Territory agreement	None
Voluntary arrangements (eg. codes of practice)	Department of Administrative Services business practice
Other	None
5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)	
Commonwealth government (DOFA etc)	Department of Administrative Services advised
Other governments (state, territory, local)	Customer groups advised
Industry	Customer groups advised
Consumers	Customer groups advised
Other	None

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	<p>Department of Finance guidelines (May 1988)</p>
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<p>ISR</p> <p>IPS</p> <p>IPS</p> <p>IPS</p>
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<p>No</p> <p>No</p> <p>No</p> <p>No</p> <p></p>
<p>5.7 Please describe these consultation arrangements.</p>	<p>None</p>
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

IPS RADIO AND SPACE SERVICES

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	Current Engineering consultancy rates
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	Salary time + overheads (160% as per DoFA guidelines, May 1988)

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Direct costs
(ii) What proportion of these costs do charges aim to recover? (%)	CSO tasks (general services) recover handling costs only; specialist services tailored for clients aim to recover all identified direct costs.
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v) Do charges include a user cost of capital?	No - immaterial
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	No
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	Yes
(x) If 'YES' to (ix), on what basis?	Community interest groups may apply for waiver

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes
(xii) If 'YES' to (xi), on what basis?	as for (x)
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	DoFA rule of thumb = 160%
6.4 Are there any price controls on these charges?	No
6.5 How often is the level of charges changed?	Reviewed annually
6.6 What happens if revenue recovered is greater than costs incurred?	

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) <input type="text" value="Fees for space activities under the Space Activities Act 1998"/>
4.2	Basic description of arrangements: (Please attach any relevant documents.) <input type="text" value="Arrangements are yet to be put in place. The Space Activities Act 1998 provides the authority to charge fees. Fees cannot be charged until regulations are made under the Space Activities Act 1998, which is anticipated to be in the first half of 2001."/>
4.3	Who pays the cost recovery charges? <input type="text" value="Commercial and scientific space launch operators wishing to conduct activities in space either launching from Australia, returning to Australia or Australians conducting launch activities overseas."/>
4.4	Who benefits from the program or activity, output or outcome? <input type="text" value="Australian industry and science."/>
4.5	Do you attempt to measure these benefits? If YES, how? <input type="text" value="The evaluation strategy is yet to be determined."/>
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) <input type="text" value="No"/>
4.7	When was this cost recovery arrangement introduced? <input type="text" value="Fees cannot be charged until regulations are made under the Space Activities Act 1998, which is anticipated to be in the first half of 2001"/>

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Space Licencing and Safety Office

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	<input type="text"/>
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	<input type="text"/>
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	<input type="text"/>
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	<input type="text" value="0"/>
4.12	Cost recovery not paid into CRF	\$	<input type="text"/>
4.13	Total cost recovery revenue	\$	<input type="text" value="0"/>
4.14	Appropriations not related to cost recovery	\$	<input type="text"/>
4.15	Other sources (please specify)	\$	<input type="text"/>
4.16	Total program or activity, output or outcome revenues	\$	<input type="text" value="0"/>
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	<input type="text"/>
4.18	Indirect expenses (including corporate overheads)	\$	<input type="text"/>
4.19	Third party expenses (a)	\$	<input type="text"/>
4.20	Total program or activity, output or outcome expenses	\$	<input type="text" value="0"/>
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	<input type="text"/>

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome Space Licencing and Safety Office

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)

	Once SLASO is established its ongoing costs are to be met by space licence and launch permit fees, in conformance with Government policy that the costs of regulating the space launch industry should be met by the industry itself.
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5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	The Space Activities Act 1998 provides the authority to charge fees.
Subordinate legislation (eg. regulations, standards)	Fees cannot be charged until regulations are made under the Space Activities Act 1998, which is anticipated to be in the first half of 2001.
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	DoFA , DoD, A-Gs
Other governments (state, territory, local)	State governments
Industry	Space industry bodies and scientific agencies
Consumers	Companies procuring space launches in Australia or overseas
Other	

5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Guidelines for Costing of Government Activities (DoFA). Guidelines for Setting Charges in the Public Sector (NZ Treasury). Departmental Chief Executive Instructions and FMA Act. A-Gs were also consulted in the drafting of the regulations.					
5.5 Which agency is responsible for the following activities? (Please name relevant agency) Policy setting Price setting Administration Revenue collection	<table border="1"> <tr> <td data-bbox="904 611 1458 643">Department of Industry, Science and Resources</td> </tr> <tr> <td data-bbox="904 643 1458 675">Department of Industry, Science and Resources</td> </tr> <tr> <td data-bbox="904 675 1458 707">Department of Industry, Science and Resources</td> </tr> <tr> <td data-bbox="904 707 1458 738">Department of Industry, Science and Resources</td> </tr> </table>	Department of Industry, Science and Resources	Department of Industry, Science and Resources	Department of Industry, Science and Resources	Department of Industry, Science and Resources	
Department of Industry, Science and Resources						
Department of Industry, Science and Resources						
Department of Industry, Science and Resources						
Department of Industry, Science and Resources						
5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.) Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	<table border="1"> <tr> <td data-bbox="904 802 1800 834">A-Gs</td> </tr> <tr> <td data-bbox="904 834 1800 866"></td> </tr> <tr> <td data-bbox="904 866 1800 898">Space industry bodies and scientific agencies</td> </tr> <tr> <td data-bbox="904 898 1800 930">Companies procuring space launches in Australia or overseas</td> </tr> <tr> <td data-bbox="904 930 1800 962"></td> </tr> </table>	A-Gs		Space industry bodies and scientific agencies	Companies procuring space launches in Australia or overseas	
A-Gs						
Space industry bodies and scientific agencies						
Companies procuring space launches in Australia or overseas						
5.7 Please describe these consultation arrangements.	Consultations were held in capital cities with the industry, research community and State Governments.					
5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	A formal review of the fee structure will be undertaken within 2 years of operation.					

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Space Licencing and Safety Office

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)	
(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	Fees are calculated on the basis of the expected costs of SLASO in processing the applications over the relevant period (financial year) divided by the expected total number of applications.
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	See above.
6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:	
(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Direct and indirect fixed costs will be recovered in a flat fee, to which will be added an 'external fee' to cover the variable costs of any outsourced activities (eg specialist independent verification).
(ii) What proportion of these costs do charges aim to recover? (%)	100%
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v) Do charges include a user cost of capital?	No
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	No
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	No
(x) If 'YES' to (ix), on what basis?	

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes
(xii) If 'YES' to (xi), on what basis?	See below.
(xiii) Other (Please describe other significant features)	Fees for scientific, educational and research launch operations are still under consideration, but are likely to be structured on a concessional basis - this may require legislative amendment.
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Activity Based Costing
6.4 Are there any price controls on these charges?	None contemplated
6.5 How often is the level of charges changed?	Fees are to be reviewed after 2 years of operation.
6.6 What happens if revenue recovered is greater than costs incurred?	Legal advice is that if fees received exceed the full costs of SLASO it is not necessary to attempt to 'balance' fees for the next period if the fees were calculated in good faith - any substantial variations that lead to a revision of the forecasting model.

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome Refining and Fuels Section

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material		
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Subscriptions to Australian Petroleum Statistics (APS) publication.
4.2	Basic description of arrangements: (Please attach any relevant documents.)	Petroleum Refining and Fuels section administers the publication of APS. This involves maintenance of a database containing statistics on Australia's production, sales, refining, stocks, imports and exports of crude oil and refined products. APS is produced monthly and sold to customers.
4.3	Who pays the cost recovery charges?	Subscribers include oil companies, stockbrokers, insurance companies, economic consultants, media, public and private libraries, transport companies, and other publications. Organisations which provide data for inclusion in the publication are not charge for APS.
4.4	Who benefits from the program or activity, output or outcome?	See attached sheet
4.5	Do you attempt to measure these benefits? If YES, how?	Regular informal discussions with our subscribers to ensure that the information provided is adequate.
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	No
4.7	When was this cost recovery arrangement introduced?	Approximately 15 years.

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Refining and Fuels Section

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues

4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	N/A	
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	N/A	
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	N/A	
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	0	
4.12	Cost recovery not paid into CRF			\$ 12
4.13	Total cost recovery revenue			\$ 12
4.14	Appropriations not related to cost recovery			\$ N/A
4.15	Other sources (please specify)			\$ N/A
4.16	Total program or activity, output or outcome revenues			\$ 12

Program or activity, output or outcome expenses

4.17	Direct expenses	\$	50
4.18	Indirect expenses (including corporate overheads)	\$	20
4.19	Third party expenses (a)	\$	N/A
4.20	Total program or activity, output or outcome expenses	\$	70

Administration costs

4.21	What costs are associated with administering the cost recovery arrangements?	\$	N/A
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CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome Refining and Fuels Section

Section 5: Institutional arrangements

5.1	What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	APS provides a private benefit to the organisations which subscribe to the publication. The subscription fee is charged in recognition of this benefit.
5.2	What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)	
	Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Section 31 of the Financial Management and Accountability Act
	Subordinate legislation (eg. regulations, standards)	N/A
	Co-regulation or quasi-regulation	N/A
	Commonwealth/State/Territory agreement	N/A
	Voluntary arrangements (eg. codes of practice)	N/A
	Other	N/A
5.3	Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)	
	Commonwealth government (DOFA etc)	Department of Primary Industries and Energy (original publisher of APS)
	Other governments (state, territory, local)	N/A
	Industry	N/A
	Consumers	Subscribers to the APS
	Other	N/A

5.4	What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	None										
5.5	Which agency is responsible for the following activities? (Please name relevant agency)	<table border="1"> <tr> <td data-bbox="416 560 568 588">Policy setting</td> <td data-bbox="904 560 1789 588">Department of Industry, Science and Resources</td> </tr> <tr> <td data-bbox="416 592 568 620">Price setting</td> <td data-bbox="904 592 1789 620">Department of Industry, Science and Resources</td> </tr> <tr> <td data-bbox="416 624 568 652">Administration</td> <td data-bbox="904 624 1789 652">Department of Industry, Science and Resources</td> </tr> <tr> <td data-bbox="416 655 629 684">Revenue collection</td> <td data-bbox="904 655 1789 684">Department of Industry, Science and Resources</td> </tr> </table>	Policy setting	Department of Industry, Science and Resources	Price setting	Department of Industry, Science and Resources	Administration	Department of Industry, Science and Resources	Revenue collection	Department of Industry, Science and Resources		
Policy setting	Department of Industry, Science and Resources											
Price setting	Department of Industry, Science and Resources											
Administration	Department of Industry, Science and Resources											
Revenue collection	Department of Industry, Science and Resources											
5.6	Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)	<table border="1"> <tr> <td data-bbox="416 751 875 780">Commonwealth government (DOFA etc)</td> <td data-bbox="904 751 1789 780">N/A</td> </tr> <tr> <td data-bbox="416 783 875 812">Other governments (state, territory, local)</td> <td data-bbox="904 783 1789 812">N/A</td> </tr> <tr> <td data-bbox="416 815 506 844">Industry</td> <td data-bbox="904 815 1789 844">N/A</td> </tr> <tr> <td data-bbox="416 847 546 876">Consumers</td> <td data-bbox="904 847 1789 876">Subscribers</td> </tr> <tr> <td data-bbox="416 879 483 908">Other</td> <td data-bbox="904 879 1789 908">N/A</td> </tr> </table>	Commonwealth government (DOFA etc)	N/A	Other governments (state, territory, local)	N/A	Industry	N/A	Consumers	Subscribers	Other	N/A
Commonwealth government (DOFA etc)	N/A											
Other governments (state, territory, local)	N/A											
Industry	N/A											
Consumers	Subscribers											
Other	N/A											
5.7	Please describe these consultation arrangements.	<p>To determine the level of cost-recovery charges, an assessment was undertaken of the likely number of subscribers to the APS and a reasonable subscription fee, taking into account the subscription fees of similar publications. The money that is collected</p>										
5.8	Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	No										

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Refining and Fuels Section

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	The subscription fee was set taking into account the likely number of subscribers and the likely indirect costs associated with maintenance of the database. The intention is that revenue from subscriptions covers these indirect costs. These indirect cost
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	An initial annual subscription (12 issues) to the APS is \$A270 (including GST), subsequent subscriptions are \$A216. The Department has approximately 60 paying subscribers. Subscribers include oil companies, stockbrokers, insurance companies, economic co

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	The intention is that revenue from subscriptions covers these indirect costs. These indirect costs are essentially engagement of IT consultants to train our staff in the use of the database and to maintain and amend the database.
(ii) What proportion of these costs do charges aim to recover? (%)	100%, however it is difficult to predict revenue from subscriptions and expenditure required for maintenance of the database from year to year.
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	N/A
(v) Do charges include a user cost of capital?	No
(vi) If 'YES' to (iv), how is it calculated?	N/A
(vii) Do charges include return on assets? (eg. profit)	No
(viii) If 'YES' to (vii), on what basis?	N/A

Productivity Commission Cost Recovery Inquiry: Questionnaire

(ix)	Do charges discriminate between types of users?	Yes
(x)	If 'YES' to (ix), on what basis?	Suppliers of data to the APS do not pay for receiving the publication. If they were charged it is unlikely that they would provide the information (they are not required to do so by legislation) accordingly we would not be able to provide the service.
(xi)	Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes
(xii)	If 'YES' to (xi), on what basis?	Ad-hoc information from the NPSD is regularly provided, at no charge, to industry, small business, consumers and the general community. Such information is generally provided to people who are after small amounts of easily obtained information and not in
(xiii)	Other (Please describe other significant features)	N/A
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	N/A
6.4	Are there any price controls on these charges?	No
6.5	How often is the level of charges changed?	Infrequently - every 2-3 years
6.6	What happens if revenue recovered is greater than costs incurred?	Carried over to the following years for maintenance of the database.

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

Section 4

Question 4.4

Australian oil refiners rely on the data provided by the APS to determine their individual market share. Each of the oil companies supply their sales data which is aggregated in the NPSD and then published in the APS. In the absence of the APS, the industry would not be able to accurately and regularly assess their performance relative to their competitors. In addition, the APS is highly valued by its other subscribers, such as transport analysts and stockbrokers, who often use data contained in the publication for their commercial benefit. As such, the service provided through the publication of the APS is highly valued and it is on this basis that the Department is able to justify charging subscription fees for the APS.

Apart from the benefits provided to industry, the APS also serves a number of other important functions: The Australian Government has obligations with the International Energy Agency (IEA) to supply monthly information regarding Australia's supply and demand for crude oil and refined products. This information is used by the IEA for a number of purposes including the management of oil supply emergencies. The information provided to the IEA is sourced from the NPSD.

The Liquid Fuel Emergency Act 1984 authorises the Minister for Industry Science and Resources to take considerable action in the event of a fuel supply crisis. Information from the National Petroleum Statistics Database would be crucial when determining appropriate action in such a crisis.

Information from the NPSD, particularly petrol and diesel sales volumes, are a critical input into Government policy analysis and development.

PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) <input type="text" value="subscription fee"/>
4.2	Basic description of arrangements: (Please attach any relevant documents.) <input type="text" value="Subscription fee for the quarterly BizLink offline data base of information about government assistance programs and services for businesses"/>
4.3	Who pays the cost recovery charges? <input type="text" value="Subscribers (accountants, business advisers, business owners)"/>
4.4	Who benefits from the program or activity, output or outcome? <input type="text" value="Business and their advisers"/>
4.5	Do you attempt to measure these benefits? If YES, how? <input type="text" value="regular user surveys of views about BizLink"/>
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) <input type="text" value="No"/>
4.7	When was this cost recovery arrangement introduced? <input type="text" value="around 1994"/>

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome AusIndustry - BizLink

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues

4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	71		
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$			
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$			
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	71		
4.12	Cost recovery not paid into CRF	\$			
4.13	Total cost recovery revenue	\$	71		
4.14	Appropriations not related to cost recovery			\$	5531
4.15	Other sources (please specify)			\$	
4.16	Total program or activity, output or outcome revenues			\$	5602

Program or activity, output or outcome expenses

4.17	Direct expenses	\$	5,602
4.18	Indirect expenses (including corporate overheads)	\$	0
4.19	Third party expenses (a)	\$	
4.20	Total program or activity, output or outcome expenses	\$	5602

Administration costs

4.21	What costs are associated with administering the cost recovery arrangements?	\$	0
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CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity a their costs are being recovered as part of the cost recovery arrangements.

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome AusIndustry - BizLink

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) To cover the marginal costs of producing off-line access to the on-line BizLink data base of information about all three levels of government business assistance programs and services

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	DOFA
Other governments (state, territory, local)	
Industry	
Consumers	
Other	

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	<p>None</p>
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<p>AusIndustry</p> <p>AusIndustry</p> <p>AusIndustry</p> <p>AusIndustry</p>
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<p>No</p>
<p>5.7 Please describe these consultation arrangements.</p>	<p>NA</p>
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	<p>No</p>

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

AusIndustry - BizLink

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	Set to cover the marginal costs of diskette or CD
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	Yes, directly to diskette or CD sale

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Marginal costs of Diskette or CD production
(ii) What proportion of these costs do charges aim to recover? (%)	100%
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No assets involved
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, depreciation or replacement cost)	
(v) Do charges include a user cost of capital?	No
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	No
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	Yes
(x) If 'YES' to (ix), on what basis?	Single subscribers \$200 pa: Network users \$2000 pa

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	Yes
(xii) If 'YES' to (xi), on what basis?	Government and non profit users pay no fee.
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	NA
6.4 Are there any price controls on these charges?	No
6.5 How often is the level of charges changed?	Not
6.6 What happens if revenue recovered is greater than costs incurred?	NA

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) <input type="text" value="Application fee"/>
4.2	Basic description of arrangements: (Please attach any relevant documents.) <input type="text" value="Application fee for the Commercialisation of Emerging Technologies (COMET) program"/>
4.3	Who pays the cost recovery charges? <input type="text" value="Applicants"/>
4.4	Who benefits from the program or activity, output or outcome? <input type="text" value="Applicants"/>
4.5	Do you attempt to measure these benefits? If YES, how? <input type="text" value="No"/>
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) <input type="text" value="No"/>
4.7	When was this cost recovery arrangement introduced? <input type="text" value="With the program inception in November 1999"/>

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome AusIndustry - Commercialisation of Emerging Technologies (COMET) Program

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	56
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	56
4.12	Cost recovery not paid into CRF	\$	
4.13	Total cost recovery revenue	\$	56
4.14	Appropriations not related to cost recovery	\$	
4.15	Other sources (please specify)	\$	
4.16	Total program or activity, output or outcome revenues	\$	56
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	
4.18	Indirect expenses (including corporate overheads)	\$	
4.19	Third party expenses (a)	\$	
4.20	Total program or activity, output or outcome expenses	\$	0
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome AusIndustry - Commercialisation of Emerging Technologies (COMET) Program

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) A minimal application fee to dissuade frivolous applications

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Industry Research and Development Act 1986
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	
Other governments (state, territory, local)	
Industry	
Consumers	
Other	

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<p>ISR</p> <p>ISR</p> <p>AusIndustry</p> <p>ISR</p>
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<p>No</p> <p></p> <p></p> <p></p> <p></p>
<p>5.7 Please describe these consultation arrangements.</p>	<p>NA</p>
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	<p>No</p>

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

AusIndustry - Commercialisation of Emerging Technologies (COMET) Program

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	No

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Minimal program costs
(ii) What proportion of these costs do charges aim to recover? (%)	Minimal
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v) Do charges include a user cost of capital?	No
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	No
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	No
(x) If 'YES' to (ix), on what basis?	

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	No
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	NA
6.4 Are there any price controls on these charges?	No
6.5 How often is the level of charges changed?	Has not been changed. New program.
6.6 What happens if revenue recovered is greater than costs incurred?	NA

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

As amended by DISR. See email 14 March.

PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome AusIndustry - Innovation Investment Fund (IIF)

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) Application fee
4.2	Basic description of arrangements: (Please attach any relevant documents.) Application fee for potential fund managers to run part of the Innovation Investment fund. This is a partially government funded equity program for small, technology based companies.
4.3	Who pays the cost recovery charges? Potential fund managers
4.4	Who benefits from the program or activity, output or outcome? Small, technology based companies.
4.5	Do you attempt to measure these benefits? If YES, how? Yes. The number of the companies directly assisted by the Fund. The change in private investment in early stage companies, as program also aims to encourage development of a venture capital market for these companies by demonstrating the returns achievable.
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) Partially. A limited number of venture capitalists.
4.7	When was this cost recovery arrangement introduced? With the introduction of the program.



PART II(b)

Name of sub-unit, agency, program or activity, output or outcome AusIndustry - Innovation Investment Fund (IIF)

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	90
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	90
4.12	Cost recovery not paid into CRF	\$	
4.13	Total cost recovery revenue	\$	90
4.14	Appropriations not related to cost recovery		\$ 945
4.15	Other sources (please specify)		
4.16	Total program or activity, output or outcome revenues		\$ 1035
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	1035
4.18	Indirect expenses (including corporate overheads)	\$	
4.19	Third party expenses (a)	\$	
4.20	Total program or activity, output or outcome expenses	\$	1035
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	0

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity a their costs are being recovered as part of the cost recovery arrangements.

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome AusIndustry - Innovation Investment Fund (IIF)

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) To dissuade frivolous applicants and to partially cost recover because of the substantial costs involved in carrying out due diligence on the applications.

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Industry Research & Development Act
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	DoFA
Other governments (state, territory, local)	
Industry	
Consumers	
Other	

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	<p>None</p>					
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<table border="1"> <tr> <td data-bbox="902 608 1402 643">Industry Research and Development Board</td> </tr> <tr> <td data-bbox="902 643 1402 678">Industry Research and Development Board</td> </tr> <tr> <td data-bbox="902 678 954 713">ISR</td> </tr> <tr> <td data-bbox="902 713 954 738">ISR</td> </tr> </table>	Industry Research and Development Board	Industry Research and Development Board	ISR	ISR	
Industry Research and Development Board						
Industry Research and Development Board						
ISR						
ISR						
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<table border="1"> <tr> <td data-bbox="902 802 943 837">No</td> </tr> <tr> <td data-bbox="902 837 1798 873"></td> </tr> <tr> <td data-bbox="902 873 1798 908"></td> </tr> <tr> <td data-bbox="902 908 1798 943"></td> </tr> <tr> <td data-bbox="902 943 1798 962"></td> </tr> </table>	No				
No						
<p>5.7 Please describe these consultation arrangements.</p>	<p>NA</p>					
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	<p>No</p>					

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

AusIndustry - Innovation Investment Fund (IIF)

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

- | | |
|--|--|
| (i) How are charges set? (eg. by formula in legislation or based on 'market prices') | An initial fee of \$5,000 followed by a further fee of \$5,000 if an applicant is shortlisted. |
| (ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets) | No. |

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

- | | |
|--|------------------------------|
| (i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads) | Minimal administrative costs |
| (ii) What proportion of these costs do charges aim to recover? (%) | Minimal |
| (iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets) | No |
| (iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost) | NA |
| (v) Do charges include a user cost of capital? | No |
| (vi) If 'YES' to (iv), how is it calculated? | NA |
| (vii) Do charges include return on assets? (eg. profit) | No |
| (viii) If 'YES' to (vii), on what basis? | NA |
| (ix) Do charges discriminate between types of users? | No |
| (x) If 'YES' to (ix), on what basis? | NA |

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	No
(xii) If 'YES' to (xi), on what basis?	NA
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	NA
6.4 Are there any price controls on these charges?	No
6.5 How often is the level of charges changed?	Reviewed at the start of each selection process
6.6 What happens if revenue recovered is greater than costs incurred?	NA

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

PART II

If your portfolio operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) <input type="text" value="Accreditation Fee"/>
4.2	Basic description of arrangements: (Please attach any relevant documents.) <input type="text" value="Scheme credits occupational divers for work in offshore petroleum industry."/>
4.3	Who pays the cost recovery charges? <input type="text" value="Divers and diver training establishments"/>
4.4	Who benefits from the program or activity, output or outcome? <input type="text" value="Occupational divers and employers of occupational divers."/>
4.5	Do you attempt to measure these benefits? If YES, how? <input type="text" value="No"/>
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) <input type="text" value="No"/>
4.7	When was this cost recovery arrangement introduced? <input type="text" value="1987"/>

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Australian Diver Accreditation Scheme

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same portfolio	\$	<input type="text"/>
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	<input type="text"/>
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	<input type="text"/>
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	<input type="text" value="0"/>
4.12	Cost recovery not paid into CRF	\$	<input type="text" value="10"/>
4.13	Total cost recovery revenue	\$	<input type="text" value="10"/>
4.14	Appropriations not related to cost recovery	\$	<input type="text" value="0"/>
4.15	Other sources (please specify)	\$	<input type="text" value="N/A"/>
4.16	Total program or activity, output or outcome revenues	\$	<input type="text" value="10"/>
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	<input type="text" value="50"/>
4.18	Indirect expenses (including corporate overheads)	\$	<input type="text" value="20"/>
4.19	Third party expenses (a)	\$	<input type="text"/>
4.20	Total program or activity, output or outcome expenses	\$	<input type="text" value="70"/>
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	<input type="text" value="70"/>

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome Australian Diver Accreditation Scheme

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) Non-legislated arrangement based on policy decision to cover costs of administering scheme.

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Section 31 of the Financial Management and Accountability Act
Subordinate legislation (eg. regulations, standards)	N/A
Co-regulation or quasi-regulation	N/A
Commonwealth/State/Territory agreement	N/A
Voluntary arrangements (eg. codes of practice)	N/A
Other	N/A

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	State/Territory diving (OH&S) regulators - NSW WorkCover,
Other governments (state, territory, local)	SA WorkCover, Qld Workplace OH&S
Industry	Association of Diving Contractors
Consumers	Maritime Union of Australia
Other	

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	<p>Not applicable</p>					
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<table border="1"> <tr> <td>Department of Industry, Science and Resources</td> </tr> <tr> <td>Department of Industry, Science and Resources</td> </tr> <tr> <td>Department of Industry, Science and Resources</td> </tr> <tr> <td>Department of Industry, Science and Resources</td> </tr> </table>	Department of Industry, Science and Resources	Department of Industry, Science and Resources	Department of Industry, Science and Resources	Department of Industry, Science and Resources	
Department of Industry, Science and Resources						
Department of Industry, Science and Resources						
Department of Industry, Science and Resources						
Department of Industry, Science and Resources						
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<table border="1"> <tr> <td></td> </tr> <tr> <td></td> </tr> <tr> <td></td> </tr> <tr> <td></td> </tr> <tr> <td>ADAS Management Advisory Committee - Tripartite Group</td> </tr> </table>					ADAS Management Advisory Committee - Tripartite Group
ADAS Management Advisory Committee - Tripartite Group						
<p>5.7 Please describe these consultation arrangements.</p>	<p>Bi-annual meetings for consultation and feedback</p>					
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	<p>No</p>					

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Australian Diver Accreditation Scheme

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

- | | |
|--|--|
| (i) How are charges set? (eg. by formula in legislation or based on 'market prices') | Based on fee set by direct consultation with Management Advisory Committee |
| (ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets) | Yes - based on recovering direct costs of administering the scheme. |

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

- | | |
|--|---|
| (i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads) | All direct costs and potentially in the future most indirect costs. |
| (ii) What proportion of these costs do charges aim to recover? (%) | 90-100% |
| (iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets) | No |
| (iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost) | N/A |
| (v) Do charges include a user cost of capital? | N/A |
| (vi) If 'YES' to (iv), how is it calculated? | |
| (vii) Do charges include return on assets? (eg. profit) | N/A |
| (viii) If 'YES' to (vii), on what basis? | |
| (ix) Do charges discriminate between types of users? | N/A |
| (x) If 'YES' to (ix), on what basis? | |

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	No
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	N/A
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Activity based costing
6.4 Are there any price controls on these charges?	No
6.5 How often is the level of charges changed?	Once in 4 years
6.6 What happens if revenue recovered is greater than costs incurred?	This is very unlikely to occur

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)