<u>PART I</u>

ALL AGENCIES ARE REQUESTED TO COMPLETE PART I.

If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE.

Section 1: Contact details

OCC	iion 1. Oontact details	
1.1	Agency	Australian Competition and Consumer Commission
1.2		l arrangements are governed by: 'X' whether one or more of the following Acts apply)
	Financial N	Management and Accountability Act 1997 YES NO YES NO
	Commonw	realth Authorities and Companies Act 1997 NO
	Other	N/A
1.3	Contact Officer	James Malizani
	Position	Director Corporate Services
	Phone	(02) 6243 1030
	Fax	(02) 6243 1035
	Email	james.malizani@accc.gov.au
	Address	PO Box 1199, Dickson, ACT 2602

	section asks about your agency's total revenues, charges and expenses. All agencies sion, whether or not you consider you undertake cost recovery.	hould com	plete this
	ion 2: Agency revenues, charges and expenses ase indicate with a 'X' which response applies)		
2.1	Has your agency charged any cost recovery fees, levies or other charges in the last five financial years?	YES YES	NO
	Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your agency, and which are collected by your agency or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.		
2.2	Were any of the appropriations allocated to your agency in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your agency or by another agency on your behalf).	YES YES	NO
2.3	Has your agency considered introducing any cost recovery arrangements in the past that were not implemented? (Please attach any relevant reviews, analysis or other information.)	YES YES	NO
2.4	Is your agency considering introducing any cost recovery arrangements in the future? (Please attach any relevant reviews, analysis or other information.)	YES	NO NO
_	u answered NO to questions 2.1 and 2.2, you need not answer any further questions. The peration. Please return the questionnaire to the Commission (see front sheet for instruct	•	your

If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and

Part II on the following worksheet.

Section 3: Agency revenues and expenses

3.1 Does your agency classify revenues and expenses as agency and administered?

Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).

YES	NO
YES	

If your agency classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your agency does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.

,.o.	ENCY revenues and expenses (Please use \$'000)	1995-96	1996-97	1997-98	1998-99	1999-2000
	Agency revenue from cost recovery (a)					
3.2	Cost recovery revenue retained by your agency	268	1085	504	1141	911
3.3	Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)	0	0	3400	2100	2800
3.4	Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)	0	0	0	0	0
3.5	Total agency revenue from cost recovery	268	1085	3904	3241	3711
	Agency revenue from other sources		•			•
3.6	Other appropriations	33133	33317	33866	36374	54642
3.7	Other sources (eg. asset sales, dividends, interest, funding from other government agencies)					
3.8	Total agency revenue from other sources	33133	33317	33866	36374	54642
3.9	Total agency revenue	33401	34402	37770	39615	58353
3.10	Total agency expenses	32829	34756	37453	44000	68922

CRF Consolidated Revenue Fund

⁽a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

ADMINISTERED revenues and expenses (Please use \$'000)					
Adminiorative revenues and expenses (Flease use \$ 000)	1995-96	1996-97	1997-98	1998-99	1999-2000
Administered revenue from cost recovery (a)					
3.11 Cost recovery revenue retained by your agency	0	0	0	0	0
3.12 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated,	0	0	0	0	0
3.13 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)	168	218	447	516	565
3.14 Total administered revenue from cost recovery	168	218	447	516	565
Administered revenue from other sources					
3.15 Other appropriations	0	0	0	0	0
3.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)	26657	9662	9228	1971	13650
3.17 Total administered revenue from other sources	26657	9662	9228	1971	13650
3.18 Total administered revenue	26825	9880	9675	2487	14215
3.19 Total administered expenses	0	0	0	0	0

CRF Consolidated Revenue Fund

End of Part I. Please complete Part II, which is on a separate worksheet.

⁽a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part.

Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome

Telecommunication Regulation

	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Licence Charge, Set Fees, User Charges
4.2	Basic description of arrangements: (Please attach any relevant documents.)	Statutory fees under section 6F and section 28 of the Trade Practices Act 1974, User Charges on Publications and Speakers Fees, Cost recovery through the ACA of the ACCC's costs of its Telecommunications functions and Powers.
4.3	Who pays the cost recovery charges?	Business, and Telecommunication Carriers for the costs of the ACCC's Telecommunication Functions and Powers.
4.4	Who benefits from the program or activity, output or outcome?	Public, and business.
4.5	Do you attempt to measure these benefits? If YES, how?	No

PART II(b) Name of sub-unit, agency, program or activity, output or outcome Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued) (Please use \$'000) Program or activity, output or outcome revenues 4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same agency 4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 4.0 Cost recovery revenue paid to CRF and not earmarked for particular appropriation 4.11 Cost recovery revenue paid to CRF (subtotal) 4.12 Cost recovery revenue paid to CRF (subtotal) 4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses (including corporate overheads) 4.18 Indirect expenses (including corporate overheads) 5 3991 Administration costs 4.21 What costs are associated with administering the cost recovery arrangements? 5 11	4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	No		
Name of sub-unit, agency, program or activity, output or outcome Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued) (Please use \$'000) Program or activity, output or outcome revenues 4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same agency 4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation 4.11 Cost recovery revenue paid to CRF (subtotal) 4.12 Cost recovery not paid into CRF 4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs	4.7	•		1997	
activity, output or outcome Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued) (Please use \$'000) Program or activity, output or outcome revenues 4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same agency 4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation 4.11 Cost recovery revenue paid to CRF (subtotal) 4.12 Cost recovery not paid into CRF 4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses (including corporate overheads) 4.18 Indirect expenses (including corporate overheads) 5 3991 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses 4.3991 Administration costs			PART II(b)		
(Please use \$'000) Program or activity, output or outcome revenues 4.8 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 0 4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 0 4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation \$ 3991 4.11 Cost recovery revenue paid to CRF (subtotal) \$ 3991 4.12 Cost recovery not paid into CRF \$ 0 4.13 Total cost recovery revenue \$ 3991 4.14 Appropriations not related to cost recovery \$ 0 4.15 Other sources (please specify) \$ 0 4.16 Total program or activity, output or outcome revenues \$ 3991 Program or activity, output or outcome expenses \$ 3678 4.18 Indirect expenses (including corporate overheads) \$ 313 4.19 Third party expenses (a) \$ 0 4.20 Total program or activity, output or outcome expenses \$ 3991 Administration costs \$ 3991			Telecommunication Regulation		
4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same agency 4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation 4.11 Cost recovery revenue paid to CRF (subtotal) 4.12 Cost recovery not paid into CRF 4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs	_	- · · · -	ne cost recovery arrangements in 1999-2000 (co	ntinued)	
4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation 4.11 Cost recovery revenue paid to CRF (subtotal) 4.12 Cost recovery not paid into CRF 4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs	Progr	am or activity, output or outcor	ne revenues		
4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation 4.11 Cost recovery revenue paid to CRF (subtotal) 4.12 Cost recovery not paid into CRF 4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs	4.8	Cost recovery revenue paid to C	RF earmarked for appropriation to same agency	\$ 0	
4.11 Cost recovery revenue paid to CRF (subtotal) 4.12 Cost recovery not paid into CRF 4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs	4.9	Cost recovery revenue paid to C	RF earmarked for appropriation to a third party	\$ 0	
4.12 Cost recovery not paid into CRF 4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs \$ 0 \$ 0 \$ 3991 **Total program or activity, output or outcome expenses \$ 3678 \$ 313 \$ 3991 **Total program or activity, output or outcome expenses \$ 3991 **Total program or activity, output or outcome expenses	4.10	Cost recovery revenue paid to C	RF and not earmarked for particular appropriation		
4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs \$ 3991	4.11	Cost recovery revenue paid to C	RF (subtotal)	\$ 3991	
4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs \$ 0 4.21	4.12	Cost recovery not paid into CRF		<u> </u>	
4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs \$ 0 4.15 Other sources (please specify) \$ 3991 \$ 3991 \$ 0 \$ 3991 \$ 3991	4.13	Total cost recovery revenue		\$ 3991	
4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs \$ 3991	4.14	Appropriations not related to cos	recovery	\$ 0	
Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs \$ 3678 \$ 313 \$ 0 4.29 Total program or activity, output or outcome expenses				\$0	
4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs \$ 3678 \$ 313 \$ 0 4.29 Total program or activity, output or outcome expenses	4.16	Total program or activity, outp	ut or outcome revenues	\$ 3991	
4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs \$ 313 3991	Progr	am or activity, output or outcor	ne expenses		
4.19 Third party expenses (a) \$ 0 4.20 Total program or activity, output or outcome expenses \$ 3991 Administration costs	4.17	Direct expenses		•	
4.20 Total program or activity, output or outcome expenses \$ 3991 Administration costs			orate overheads)	·	
Administration costs					
	4.20	Total program or activity, outp	ut or outcome expenses	\$ 3991	
4.21 What costs are associated with administering the cost recovery arrangements?					
The value of the decoration with definition of the control of the definition of the control of t	4.21	What costs are associated with	administering the cost recovery arrangements?	_ \$11	

agen		osts. (a) In	those directly related to a particular program. Indirect costs include indirect clude third party costs where third parties are involved in a program or activity ost recovery arrangements.
			PART II(c)
	e of sub-unit, agency, program or ty, output or outcome	Telecommu	unication Regulation
Secti	ion 5: Institutional arrangements		
5.1	What was the rationale for introduction cost recovery arrangements? (Pleasources, eg. legislative objects clapress releases, second reading sp	ase attach uses,	Legislative
5.2	What was the legal basis for estab documents.)	lishing thes	se cost recovery arrangements: (Please name and attach relevant
	Legislation (eg. s.31 of the Finar Management and Accountability or levy acts) Subordinate legislation (eg. regustandards)	Act, tax	Telecommunications (Carrier Licence Charges) Act 1997
	Co-regulation or quasi-regulation	1	
	Commonwealth/State/Territory a Voluntary arrangements (eg. coopractice) Other		
5.3	Who was consulted about introduction consultation arrangements.)	ing these c	cost recovery arrangements? (Please name relevant bodies and describe the
	Commonwealth government (DC Other governments (state, territo		Treasury undertook this persuant to government policy

	Industry Consumers Other	
5.4	What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Treasury undertook this persuant to government policy
5.5	Which agency is responsible for the following Policy setting Price setting Administration Revenue collection	activities? (Please name relevant agency) Treasury
5.6	Is there any ongoing consultation about thes Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	e cost recovery arrangements? With whom? (Please name relevant bodies.) Treasury handles this
5.7	Please describe these consultation arrangements.	N/A
5.8	Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Treasury responsible for this
		PART II(d)
Name	e of sub-unit, agency, program or Telecomm	unication Regulation

activity,	output or outcome	
Section	1 6: Price setting arrangements	
		nined? (Please attach any relevant documents)
(i)	How are charges set? (eg. by formula in	Costs of administering ACCC Telecommunication's functions and powers.
(-)	legislation or based on 'market prices')	The ACA does the charging to Telecommunication Carriers.
	.,	
(ii)	Are charges directly related to the costs of	Australian Communication Authority handles this aspect.
. ,	particular activities, outcomes or outputs,	·
	or charged on some other basis? (eg.	
	levies on users' turnover, profits or assets)	
	f charges are directly related to the costs of	·
(i)	What costs do charges aim to recover?	Direct and indirect costs.
	(eg. only direct costs or indirect costs such	
(ii)	as overheads) What proportion of these costs do charges	100%
(11)	aim to recover? (%)	100 /0
(iii)	Does the charging regime require assets	No
(111)	to be valued? (eg. to allow the calculation	110
	of user cost of capital or return on assets)	
	,	
(iv)	If 'YES' to (iii), on what basis are assets	N/A
` '	valued? (eg. historic, replacement,	
	deprival or replacement cost)	
(v)	Do charges include a user cost of capital?	No
(vi)	If 'YES' to (iv), how is it calculated?	N/A
(vii)	Do charges include return on assets? (eg.	No
	profit)	
(viii)	If 'YES' to (vii), on what basis?	N/A
(ix)	Do charges discriminate between types of	No
	users?	

(x)	If 'YES' to (ix), on what basis?	N/A
(xi)	Do charges allow for access and equity	No
	considerations (eg. waivers, discounts)?	
(xii)	If 'YES' to (xi), on what basis?	N/A
(xiii)	Other (Please describe other significant	N/A
	features)	
		N//A
6.3		N/A
	recovery arrangements? (eg. activity based costing, according to share of direct costs or	
	other rule.)	
6.4	Are there any price controls on these	No
	charges?	
6.5	How often is the level of charges changed?	Annual
0.0	NAW	
6.6	What happens if revenue recovered is	Always equal, as recovery is in arrears, on actual costs incurred.
	greater than costs incurred?	

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this par Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you ar reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

TPA Fees (excluding Telecommunications fees) Name of sub-unit, agency, program or activity, output or outcome Section 4: Cost recovery arrangements in 1999-2000 Descriptive material 4.1 Nature of cost recovery Set Fees, and service charges arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) 4.2 Basic description of Statutory fees under section 6F and section 28 of the Trade Practices Act 1974. Service arrangements: (Please attach any fees, under 28A relevant documents.) 4.3 Who pays the cost recovery Business charges? 4.4 Who benefits from the program Public, and business. or activity, output or outcome? 4.5 Do you attempt to measure these No benefits? If YES, how? 4.6 Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) Unknown-(Check with Treasury) Probably on stablishement of Commission. 4.7 When was this cost recovery arrangement introduced?

PART II(b) TPA Fees (excluding Telecommunications fees) Name of sub-unit, agency, program or activity, output or outcome Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued (Please use \$'000) Program or activity, output or outcome revenues 4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same agency 4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation 565 4.11 Cost recovery revenue paid to CRF (subtotal) 565 4.12 Cost recovery not paid into CRF 682 4.13 Total cost recovery revenue 1247 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues 1247 Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs 4.21 What costs are associated with administering the cost recovery arrangements? CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity a their costs are being recovered as part of the cost recovery arrangements.

	PART II(c)
	te of sub-unit, agency, program or ity, output or outcome
Sect	tion 5: Institutional arrangements
5.1	What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)
5.2	What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)
	Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts) Subordinate legislation (eg. regulations, standards)
	Co-regulation or quasi-regulation
	Commonwealth/State/Territory agreement Voluntary arrangements (eg. codes of practice) Other
5.3	Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)
	Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other

5.4	What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Treasury undertook this persuant to government policy	
5.5	Which agency is responsible for the following Policy setting Price setting Administration Revenue collection	g activities? (Please name relevant agency) Treasury Treasury Commission Commission	
5.6	Is there any ongoing consultation about thes Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	Treasury handles this	
5.7	Please describe these consultation arrangements.	N/A	
5.8	Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Treasury responsible for this	

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

TPA Fees (excluding Telecommunications fees)

Section	n 6: Price setting arrangements	
6.1 H	How are these cost recovery charges determ	ined? (Please attach any relevant documents)
(i)	How are charges set? (eg. by formula in legislation or based on 'market prices')	Treasury sets these fees, except those under Regulation 28A
(ii)	- · · · · · · · · · · · · · · · · · · ·	Treasury sets these fees. For Regulation 28A which are set by the Commission rcharges are set to recover direct and indirect costs.
6.2 I	f charges are directly related to the costs of	particular activities, outputs or outcomes:
(i)	What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Regulation 28A charges recover direct/indirect costs. Other fees set by
(ii)	What proportion of these costs do charges aim to recover? (%)	100% for fees set by Commission under Regulation 28A
(iii)	Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No
(iv)	If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	N/A
(v)	Do charges include a user cost of capital?	No
(vi)	If 'YES' to (iv), how is it calculated?	N/A
(vii)	Do charges include return on assets? (eg. profit)	No
(viii)	If 'YES' to (vii), on what basis?	N/A
(ix)	Do charges discriminate between types of users?	No
(x)	If 'YES' to (ix), on what basis?	N/A

(xi)	Do charges allow for access and equity considerations (eg. waivers, discounts)?	No
(xii)	If 'YES' to (xi), on what basis?	N/A
(xiii)	` /'	N/A
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	N/A
6.4	Are there any price controls on these charges?	No
6.5	How often is the level of charges changed?	Not often.
6.6	What happens if revenue recovered is greater than costs incurred?	No action.
Fne	of Part II Thank you for your coo	peration. Please return the questionnaire and attachments to
		•
	the Commissi	on (see front sheet for instructions)

<u>PART II</u>

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part.

Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome		Miscelleneous
Secti	on 4: Cost recovery arrangemer	nts in 1999-2000
	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Service charges, interest income, contract work
4.2	Basic description of arrangements: (Please attach any relevant documents.)	Interest earned on investments, room hire, contract work for other agencies etc
4.3	Who pays the cost recovery charges?	Business
4.4	Who benefits from the program or activity, output or outcome?	Public, and business.
4.5	Do you attempt to measure these benefits? If YES, how?	No

4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	No	
4.7	When was this cost recovery arrangement introduced?	Unknown - probably on establishement of Commission	
		PART II(b)	
Name	e of sub-unit, agency, program or	Miscelleneous	
activit	ty, output or outcome		
(Plea	se use \$'000)	ne cost recovery arrangements in 1999-2000 (continued)	
_	ram or activity, output or outcom		
4.8 4.9	•	RF earmarked for appropriation to same agency \$ 0 RF earmarked for appropriation to a third party \$ 0	
		RF and not earmarked for particular appropriation \$ 0	
4.11	Cost recovery revenue paid to C		
4.12	Cost recovery not paid into CRF	\$ 229	
4.13	Total cost recovery revenue	\$ 229	
4.14	Appropriations not related to cos	t recovery \$ 0	
4.15	Other sources (please specify)		
	, , , , , , , , , , , , , , , , , , ,		
Prog : 4.17	ram or activity, output or outcor Direct expenses	me expenses	
4.17	Indirect expenses (including corp	orate overheads)	
4.19	Third party expenses (a)	\$ 0	
4.20	Total program or activity, outp	ut or outcome expenses	
	nistration costs		
4.21	What costs are associated with	administering the cost recovery arrangements? \$ 3	

agen	CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity and their costs are being recovered as part of the cost recovery arrangements.		
		PART II(c)	
	e of sub-unit, agency, program or ky, output or outcome	ous	
Secti	on 5: Institutional arrangements		
5.1	What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)		
5.2	What was the legal basis for establishing the documents.)	se cost recovery arrangements: (Please name and attach relevant	
	Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts) Subordinate legislation (eg. regulations, standards)	N/A	
	Co-regulation or quasi-regulation		
	Commonwealth/State/Territory agreement Voluntary arrangements (eg. codes of practice) Other		
5.3	Who was consulted about introducing these consultation arrangements.)	cost recovery arrangements? (Please name relevant bodies and describe the	
	Commonwealth government (DOFA etc) Other governments (state, territory, local)	N/A	

	Industry Consumers		
	Other		
5.4	What guidelines were consulted when	N/A	
	establishing these cost recovery		
	arrangements? (Please attach source of information, guidelines etc.)		
	mornation, galacimes etc.,		
5.5	Which agency is responsible for the following	g activities? (Please name relevant agency)	
	Policy setting	Commission	
	Price setting	Commission	
	Administration	Commission	
	Revenue collection	Commission	
5.6	Is there any ongoing consultation about thes	e cost recovery arrangements? With whom? (Please name relevant bodies.)	
	Commonwealth government (DOFA etc)	No	
	Other governments (state, territory, local)	No	
	Industry	No	
	Consumers	No	
	Other		
5.7	Please describe these consultation	N/A	
	arrangements.		
5.8	Have the cost recovery arrangements been	N/A	
	formally reviewed? What was the outcome?		
	(Please attach copy of review)		
		PART II(d)	
Name	e of sub-unit, agency, program or Miscellene	POUS	
· tarric			

activity	, output or outcome		
Sectio	n 6: Price setting arrangements		
6.1 (i)	How are these cost recovery charges determed How are charges set? (eg. by formula in legislation or based on 'market prices') Are charges directly related to the costs of	Cost recovery Yes	
	particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)		
(i)	If charges are directly related to the costs of What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Direct/Indirect	
(ii)	What proportion of these costs do charges aim to recover? (%)	100%	
(iii)	Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	No	
(iv)	If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	N/A	
(v)	Do charges include a user cost of capital?	No	
(vi)	If 'YES' to (iv), how is it calculated?	N/A	
(vii)	Do charges include return on assets? (eg. profit)	No	
(viii)	If 'YES' to (vii), on what basis?	N/A	
(ix)	Do charges discriminate between types of users?	No	

(x) (xi)	If 'YES' to (ix), on what basis? Do charges allow for access and equity	N/A No		
(xii) (xiii)	considerations (eg. waivers, discounts)? If 'YES' to (xi), on what basis? Other (Please describe other significant features)	N/A N/A		
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	N/A		
6.4	Are there any price controls on these charges?	No		
6.5	How often is the level of charges changed?	Reviewed regularly		
6.6	What happens if revenue recovered is greater than costs incurred?	No action		
End	End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)			