

PART I

ALL AGENCIES ARE REQUESTED TO COMPLETE PART I.

If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE.

Section 1: Contact details

1.1 Agency

1.2 Reporting and financial arrangements are governed by:
(Please indicate with a 'X' whether one or more of the following Acts apply)

Financial Management and Accountability Act 1997

YES	NO
X	

Commonwealth Authorities and Companies Act 1997

YES	NO
	X

Other

1.3 Contact Officer

Position

Phone

Fax

Email

Address

This section asks about your agency's total revenues, charges and expenses. All agencies should complete this section, whether or not you consider you undertake cost recovery.

Section 2: Agency revenues, charges and expenses

(Please indicate with a 'X' which response applies)

2.1 Has your agency charged any cost recovery fees, levies or other charges in the last five financial years?

YES	NO
X	

Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your agency, and which are collected by your agency or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.

2.2 Were any of the appropriations allocated to your agency in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your agency or by another agency on your behalf).

YES	NO
	X

2.3 Has your agency considered introducing any cost recovery arrangements in the past that were not implemented?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
	X

2.4 Is your agency considering introducing any cost recovery arrangements in the future?
(Please attach any relevant reviews, analysis or other information.)

YES	NO
X	

If you answered NO to questions 2.1 and 2.2, you need not answer any further questions. Thank you for your cooperation. Please return the questionnaire to the Commission (see front sheet for instructions).

If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and Part II on the following worksheet.

Section 3: Agency revenues and expenses

3.1 Does your agency classify revenues and expenses as agency and administered?

Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).

YES	NO
	X

If your agency classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your agency does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.

AGENCY revenues and expenses (Please use \$'000)					
	1995-96	1996-97	1997-98	1998-99	1999-2000
Agency revenue from cost recovery (a)					
3.2 Cost recovery revenue retained by your agency	409	1,835	12,468	13,423	66,985
3.3 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)	0	0	0	0	0
3.4 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)	0	0	0	0	0
3.5 Total agency revenue from cost recovery	409	1835	12468	13423	66985
Agency revenue from other sources					
3.6 Other appropriations	1,460,337	1,732,000	2,948,187	2,651,105	3,271,000
3.7 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)	0	566	452	0	0
3.8 Total agency revenue from other sources	1460337	1732566	2948639	2651105	3271000
3.9 Total agency revenue	1460746	1734401	2961107	2664528	3337985
3.10 Total agency expenses	1,402,230	2,095,057	3,019,650	2,924,097	3,059,617

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

ADMINISTERED revenues and expenses (Please use \$'000)

	1995-96	1996-97	1997-98	1998-99	1999-2000
Administered revenue from cost recovery (a)					
3.11 Cost recovery revenue retained by your agency					
3.12 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated,					
3.13 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency)					
3.14 Total administered revenue from cost recovery	0	0	0	0	0
Administered revenue from other sources					
3.15 Other appropriations					
3.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)					
3.17 Total administered revenue from other sources	0	0	0	0	0
3.18 Total administered revenue	0	0	0	0	0
3.19 Total administered expenses					

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

End of Part I. Please complete Part II, which is on a separate worksheet.

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome	National Gas Code - applications for coverage or revocation of coverage of natural gas pipelines under the national gas code
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Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)
	Application fees
4.2	Basic description of arrangements: (Please attach any relevant documents.)
	Businesses applying for coverage or revocation of gas pipelines under the Gas Pipelines Access Act put in an application
4.3	Who pays the cost recovery charges?
	The applicants are either pipeline owners (for revocation) or businesses wanting access to the pipelines, eg gas retailers (coverage).
4.4	Who benefits from the program or activity, output or outcome?
	Pipeline owners, purchasers of gas transportation services, consumers of gas.
4.5	Do you attempt to measure these benefits? If YES, how?
	No
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)
	No
4.7	When was this cost recovery arrangement introduced?
	1999

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome National Gas Code - applications for coverage or revocation of coverage of natural gas pipelines under the national gas code

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same agency	\$	0
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	0
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	0
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	0
4.12	Cost recovery not paid into CRF	\$	60,000
4.13	Total cost recovery revenue	\$	60000
4.14	Appropriations not related to cost recovery	\$	
4.15	Other sources (please specify)	\$	
4.16	Total program or activity, output or outcome revenues	\$	60000
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	176,000
4.18	Indirect expenses (including corporate overheads)	\$	39,000
4.19	Third party expenses (a)	\$	
4.20	Total program or activity, output or outcome expenses	\$	215000
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	0

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or activity a their costs are being recovered as part of the cost recovery arrangements.

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome	National Gas Code - applications for coverage or revocation of coverage of natural gas pipelines under the national gas code
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Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	The Gas Pipelines Access Act requires the Council to process applications for coverage and revocation of natural gas pipelines. These processes must be advertised in a national newspaper and must be completed within limited and defined time periods. The Council expends considerable resources dealing with the processes and did not receive additional funds to undertake the task. The
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5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	Section 1.3 of Schedule 2 of the Gas Pipelines Access (South Australia) Act (applied in all states and territories through application legislation)
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	Treasury, Department of Industry Science and Resource
Other governments (state, territory, local)	Competition Policy Units and Energy departments in each state and territory
Industry	All industry representatives on the Gas Reform Implementation Group, eg
Consumers	
Other	

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	<p>The ACCC fees for applications for authorisation and notification under the TPA were taken into account</p>
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<p>NCC</p> <p>NCC</p> <p>NCC</p> <p>NCC</p>
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<p>As listed above</p> <p></p> <p></p> <p></p> <p></p>
<p>5.7 Please describe these consultation arrangements.</p>	<p>The National Gas pipelines Advisory Committee is a standing committee responsible for administering the Gas Pipelines Act and for recommending</p>
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	<p>No</p>

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

National Gas Code - applications for coverage or revocation of coverage of natural gas pipelines under the national gas code

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	They were based on fees already being charged by the ACCC under the TPA for similar processes
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	No

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	
(ii) What proportion of these costs do charges aim to recover? (%)	
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	NO
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v) Do charges include a user cost of capital?	NO
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	NO
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	NO
(x) If 'YES' to (ix), on what basis?	

(xi) Do charges allow for access and equity considerations (eg. waivers, discounts)?	NO
(xii) If 'YES' to (xi), on what basis?	
(xiii) Other (Please describe other significant features)	
6.3 How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	
6.4 Are there any price controls on these charges?	no
6.5 How often is the level of charges changed?	They haven't been to date
6.6 What happens if revenue recovered is greater than costs incurred?	This has never happened

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this part. Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you are reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Name of sub-unit, agency, program or activity, output or outcome

Section 4: Cost recovery arrangements in 1999-2000

Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) <input type="text" value="A fee for giving a speech plus any additional costs such as airfares and accomodation"/>
4.2	Basic description of arrangements: (Please attach any relevant documents.) <input type="text"/>
4.3	Who pays the cost recovery charges? <input type="text" value="The conference organiser."/>
4.4	Who benefits from the program or activity, output or outcome? <input type="text" value="For these commercial conferences, predominately the conference organiser. The Council also seeks to use such conferences as an opportunity to communicate the benefits of NCP and the related reform program: the conferences can give the Council access to a wider"/>
4.5	Do you attempt to measure these benefits? If YES, how? <input type="text" value="No"/>
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) <input type="text" value="Yes to a limited extent. Council officers are often requested to give speeches that relate to national competition policy, the work of the Council or reform areas such as gas, electricity and water. If the conference requires a Council perspective then there are limited substitutes, though there may be others who could speak on related topics."/>
4.7	When was this cost recovery arrangement introduced? <input type="text" value="1998"/>

PART II(b)

Name of sub-unit, agency, program or activity, output or outcome Communications - speeches given to commercially based conferences

Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued)
(Please use \$'000)

Program or activity, output or outcome revenues			
4.8	Cost recovery revenue paid to CRF earmarked for appropriation to same agency	\$	0
4.9	Cost recovery revenue paid to CRF earmarked for appropriation to a third party	\$	0
4.10	Cost recovery revenue paid to CRF and not earmarked for particular appropriation	\$	0
4.11	Cost recovery revenue paid to CRF (subtotal)	\$	0
4.12	Cost recovery not paid into CRF	\$	6,985
4.13	Total cost recovery revenue	\$	6985
4.14	Appropriations not related to cost recovery	\$	
4.15	Other sources (please specify)	\$	
4.16	Total program or activity, output or outcome revenues	\$	6985
Program or activity, output or outcome expenses			
4.17	Direct expenses	\$	7850
4.18	Indirect expenses (including corporate overheads)	\$	2280
4.19	Third party expenses (a)	\$	
4.20	Total program or activity, output or outcome expenses	\$	10130
Administration costs			
4.21	What costs are associated with administering the cost recovery arrangements?	\$	0

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

PART II(c)

Name of sub-unit, agency, program or activity, output or outcome Communications - speeches given to commercially based conferences

Section 5: Institutional arrangements

5.1 What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.) The speeches are given at commercially run conferences. While the Council gains some benefit from speaking at these functions, the organiser is the major beneficiary. The speeches use considerable Council resources, the cost of which should be at least partially met by the organiser. There is no obligation on the organisers to use Council speakers, so the arrangement is voluntary.

5.2 What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)

Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts)	
Subordinate legislation (eg. regulations, standards)	
Co-regulation or quasi-regulation	
Commonwealth/State/Territory agreement	
Voluntary arrangements (eg. codes of practice)	
Other	Agreement between the Council and the conference organisers

5.3 Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.)

Commonwealth government (DOFA etc)	
Other governments (state, territory, local)	
Industry	
Consumers	
Other	

<p>5.4 What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)</p>	<p>NA</p>
<p>5.5 Which agency is responsible for the following activities? (Please name relevant agency)</p> <p>Policy setting</p> <p>Price setting</p> <p>Administration</p> <p>Revenue collection</p>	<p>NCC</p> <p>NCC</p> <p>NCC</p> <p>NCC</p>
<p>5.6 Is there any ongoing consultation about these cost recovery arrangements? With whom? (Please name relevant bodies.)</p> <p>Commonwealth government (DOFA etc)</p> <p>Other governments (state, territory, local)</p> <p>Industry</p> <p>Consumers</p> <p>Other</p>	<p></p> <p></p> <p></p> <p></p> <p></p>
<p>5.7 Please describe these consultation arrangements.</p>	<p></p>
<p>5.8 Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)</p>	<p>No</p>

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Communications - speeches given to commercially based conferences

Section 6: Price setting arrangements

6.1 How are these cost recovery charges determined? (Please attach any relevant documents)

(i) How are charges set? (eg. by formula in legislation or based on 'market prices')	Charges E19for speeches set to target an appropriate number of Council speeches per annum, plus cost of airfares and accomodation.
(ii) Are charges directly related to the costs of particular activities, outcomes or outputs, or charged on some other basis? (eg. levies on users' turnover, profits or assets)	Partially

6.2 If charges are directly related to the costs of particular activities, outputs or outcomes:

(i) What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Direct costs of airfares and accomodation
(ii) What proportion of these costs do charges aim to recover? (%)	100%
(iii) Does the charging regime require assets to be valued? (eg. to allow the calculation of user cost of capital or return on assets)	no
(iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)	
(v) Do charges include a user cost of capital?	no
(vi) If 'YES' to (iv), how is it calculated?	
(vii) Do charges include return on assets? (eg. profit)	no
(viii) If 'YES' to (vii), on what basis?	
(ix) Do charges discriminate between types of users?	no
(x) If 'YES' to (ix), on what basis?	

(xi)	Do charges allow for access and equity considerations (eg. waivers, discounts)?	
(xii)	If 'YES' to (xi), on what basis?	
(xiii)	Other (Please describe other significant features)	
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Share of direct costs
6.4	Are there any price controls on these charges?	no
6.5	How often is the level of charges changed?	Charges regularly reviewed+F96
6.6	What happens if revenue recovered is greater than costs incurred?	This has not occurred

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)