PART I ALL AGENCIES ARE REQUESTED TO COMPLETE PART I. If you cannot answer a question, please indicate whether the question is NOT APPLICABLE or if INFORMATION IS NOT AVAILABLE. **Section 1: Contact details** PRODUCTIVITY COMMISSION 1.1 Agency 1.2 Reporting and financial arrangements are governed by: (Please indicate with a 'X' whether one or more of the following Acts apply) YES NO Financial Management and Accountability Act 1997 Χ YES NO Commonwealth Authorities and Companies Act 1997 Other 1.3 Contact Officer Terry Carrigg Director, Finance Position 9653 2258 Phone 9653 2199 Fax

Locked Bag 2, Collins St East PO, MELBOURNE VIC 8003

Email

Address

tcarrigg@pc.gov.au

This section asks about your agency's total revenues, charges and expenses. All agencies should complete this section, whether or not you consider you undertake cost recovery.				
	cion 2: Agency revenues, charges and expenses ase indicate with a 'X' which response applies)			
2.1	Has your agency charged any cost recovery fees, levies or other charges in the last five financial years?	YES X	NO	
	Relevant charges include any fees, levies, taxes (including some customs and excise duties earmarked for specific purposes) or other charges which arise from the services, programs or business activities of your agency, and which are collected by your agency or by another agency on your behalf. For example, application fees, processing charges, consultancy fees, publication sales, special industry duties, excises or levies other than general taxation.			
2.2	Were any of the appropriations allocated to your agency in the last five financial years linked (hypothecated) to revenue collected from fees, levies or charges (for example, levies paid to the Consolidated Revenue Fund but earmarked for allocation to your portfolio)? (Whether the revenue was collected by your agency or by another agency on your behalf).	YES	NO X	
2.3	Has your agency considered introducing any cost recovery arrangements in the past that were not implemented? (Please attach any relevant reviews, analysis or other information.)	YES	NO X	
2.4	Is your agency considering introducing any cost recovery arrangements in the future? (Please attach any relevant reviews, analysis or other information.)	YES	NO X	
-	u answered NO to questions 2.1 and 2.2, you need not answer any further questions. To peration. Please return the questionnaire to the Commission (see front sheet for instru-	•	your	

If you answered YES to EITHER question 2.1 OR question 2.2 OR both, please complete section 3 below, and

Part II on the following worksheet.

Section 3: Agency revenues and expenses

3.1 Does your agency classify revenues and expenses as agency and administered?

Agency revenues and expenses are those controlled by the department/agency (for example, employee and administrative expenses). Administered revenues and expenses are those which are controlled by Government and managed or oversighted by the department/agency on behalf of the Government (for example, social security payments).

YES	NO
	Х

If your agency classifies revenues and expenses as 'agency' and 'administered' then please fill in both tables below. If your agency does not classify revenues and expenses as 'agency' and 'administered' then please put all revenues and expenses in the 'agency' table below.

AGE	ENCY revenues and expenses (Please use \$'000)					
		1995-96	1996-97	1997-98	1998-99	1999-2000
	Agency revenue from cost recovery (a)					
3.2	Cost recovery revenue retained by your agency	N/A	42	45	47	47
3.3	Cost recovery revenue paid to CRF and appropriated to your agency					
	(or another agency for a specific purpose (ie. annotated, hypothecated or earmarked revenues)					
3.4	Cost recovery revenue paid to CRF and not specifically appropriated					
	to your agency (or another agency)					
3.5	Total agency revenue from cost recovery	0	42	45	47	47
	Agency revenue from other sources					
3.6	Other appropriations	N/A	27596	23912	17034	21662
3.7	Other sources (eg. asset sales, dividends, interest, funding from					
	other government agencies)		76	16	133	535
3.8	Total agency revenue from other sources	0	27672	23928	17167	22197
3.9	Total agency revenue	0	27714	23973	17214	22244
3 10	Total agency expenses	N/A	22630	22909	31902	21451
	Total agency expenses Consolidated Revenue Fund	N/A	22630	22909	31902	2145

CRF Consolidated Revenue Fund

⁽a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

	1995-96	1996-97	1997-98	1998-99	1999-2000
Administered revenue from cost recovery (a)					
 .11 Cost recovery revenue retained by your agency .12 Cost recovery revenue paid to CRF and appropriated to your agency (or another agency for a specific purpose (ie. annotated, .13 Cost recovery revenue paid to CRF and not specifically appropriated to your agency (or another agency) 					
.14 Total administered revenue from cost recovery	0	0	0	0	C
Administered revenue from other sources					
.15 Other appropriations					
.16 Other sources (eg. asset sales, dividends, interest, funding from other government agencies)					
.17 Total administered revenue from other sources	0	0	0	0	C
.18 Total administered revenue	0	0	0	0	C

CRF Consolidated Revenue Fund

(a) Include all revenue from fees, levies, excises and other charges which arise from the services or activities of your agency and which is paid to your agency to another agency or to the Consolidated Revenue Fund.

End of Part I. Please complete Part II, which is on a separate worksheet.

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this par Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you ar reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Productivity Commission - Consultancy Work for State Governments Name of sub-unit, agency, program or activity, output or outcome Section 4: Cost recovery arrangements in 1999-2000 Descriptive material 4.1 Nature of cost recovery Consultancy Work for NSW & ACT Departments of Health arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) 4.2 Basic description of Participation in arbitration discussion and producing draft report. Copy of one invoice arrangements: (Please attach any attached relevant documents.) 4.3 Who pays the cost recovery NSW & ACT Departments of Health charges? NSW & ACT Departments of Health 4.4 Who benefits from the program or activity, output or outcome? 4.5 Do you attempt to measure these No benefits? If YES, how? 4.6 Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe) 4.7 When was this cost recovery Commission policy since inception arrangement introduced?

PART II(b) Productivity Commission - Consultancy Work for State Governments Name of sub-unit, agency, program or activity, output or outcome Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued (Please use \$'000) Program or activity, output or outcome revenues 4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same agency 4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation 4.11 Cost recovery revenue paid to CRF (subtotal) 4.12 Cost recovery not paid into CRF 23 23 4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 13 4.18 Indirect expenses (including corporate overheads) 10 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs 4.21 What costs are associated with administering the cost recovery arrangements? \$ minor

CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

		PART II(c)
	e of sub-unit, agency, program or ty, output or outcome	y Commission - Consultancy Work for State Governments
Secti	ion 5: Institutional arrangements	
5.1	What was the rationale for introducing these	Work performed at request of NSW & ACT State Governments and costed in accordance with Commission's Chief Executive Instructions (CEI'S).
5.2	What was the legal basis for establishing the	se cost recovery arrangements: (Please name and attach relevant documents.)
	Legislation (eg. s.31 of the Financial Management and Accountability Act, tax of levy acts) Subordinate legislation (eg. regulations, standards)	s31 of the Financial Management and Accountability Act
	Co-regulation or quasi-regulation	
	Commonwealth/State/Territory agreement Voluntary arrangements (eg. codes of practice) Other	
5.3	Who was consulted about introducing these consultation arrangements.)	cost recovery arrangements? (Please name relevant bodies and describe the
	Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	The State Departments involved

5.4	What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	The Commission's Chief Executive Instructions (CEI's)
5.5	Which agency is responsible for the following Policy setting Price setting Administration Revenue collection	Productivity Commission Productivity Commission Productivity Commission Productivity Commission Productivity Commission Productivity Commission
5.6	Is there any ongoing consultation about thes Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	e cost recovery arrangements? With whom? (Please name relevant bodies.) No
5.7	Please describe these consultation arrangements.	
5.8	Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Not required

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Productivity Commission - Consultancy Work for State Governments

6.1	How are these cost recovery charges determ	ined? (Please attach any relevant documents)
(i)	How are charges set? (eg. by formula in	Set on a full cost recovery basis.
	legislation or based on 'market prices')	
(ii)	Are charges directly related to the costs of particular activities, outcomes or outputs, o charged on some other basis? (eg. levies on users' turnover, profits or assets)	Yes, directly related to the activity ie time spent plus other direct costs plus roverheads.
6.2	If charges are directly related to the costs of p	particular activities, outputs or outcomes:
(i)	What costs do charges aim to recover? (eg. only direct costs or indirect costs such as overheads)	Total costs.

(ii) What proportion of these costs do charges 100% aim to recover? (%)

(iii) Does the charging regime require assets to No be valued? (eg. to allow the calculation of user cost of capital or return on assets)

- (iv) If 'YES' to (iii), on what basis are assets valued? (eg. historic, replacement, deprival or replacement cost)
- (v) Do charges include a user cost of capital? No
- (vi) If 'YES' to (iv), how is it calculated?
- (vii) Do charges include return on assets? (eg. No profit)
- (viii) If 'YES' to (vii), on what basis?
- Do charges discriminate between types of No users?
- (x) If 'YES' to (ix), on what basis?

(xi) (xii) (xiii)	considerations (eg. waivers, discounts)? If 'YES' to (xi), on what basis?	No
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	The Commission uses a direct salary overhead rate (currently 100%) to cover the cost of overheads.
6.4	Are there any price controls on these charges?	No
6.5	How often is the level of charges changed?	The overhead rate is reviewed at least annually.
6.6	What happens if revenue recovered is greater than costs incurred?	Retained by the Productivity Commission

End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this par Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you ar reporting. Similar cost recovery arrangements may be reported in groups.

		PART II(a)
	e of sub-unit, agency, program or ty, output or outcome	Productivity Commission - Library Services
Secti	ion 4: Cost recovery arrangemer	nts in 1999-2000
	Descriptive material	
4.1	Nature of cost recovery arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc)	Service charge
4.2	Basic description of arrangements: (Please attach an relevant documents.)	Provision of Library Services to National Competitive Council (NCC) and Business Council of Australia (BCA)
4.3	Who pays the cost recovery charges?	NCC & BCA
4.4	Who benefits from the program or activity, output or outcome?	NCC & BCA
4.5	Do you attempt to measure these benefits? If YES, how?	No
4.6	Are there alternate providers or substitutes for this program or activity, output or outcome? (Please describe)	No
4.7	When was this cost recovery arrangement introduced?	1995/96

PART II(b) Productivity Commission - Library Services Name of sub-unit, agency, program or activity, output or outcome Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued (Please use \$'000) Program or activity, output or outcome revenues 4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same agency 4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation 4.11 Cost recovery revenue paid to CRF (subtotal) 4.12 Cost recovery not paid into CRF 21 4.13 Total cost recovery revenue 21 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 21 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs 4.21 What costs are associated with administering the cost recovery arrangements? CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

PART II(c) Productivity Commission - Library Services Name of sub-unit, agency, program or activity, output or outcome Section 5: Institutional arrangements What was the rationale for introducing these Agreement with the NCC to pay a fee for services used. A normal commercial cost recovery arrangements? (Please attach arrangement sources, eg. legislative objects clauses, press releases, second reading speeches.) What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.) Legislation (eg. s.31 of the Financial s31 of the Financial Management and Accountability Act Management and Accountability Act, tax or levy acts) Subordinate legislation (eg. regulations, standards) Co-regulation or quasi-regulation Commonwealth/State/Territory agreement Voluntary arrangements (eg. codes of practice) Other Who was consulted about introducing these cost recovery arrangements? (Please name relevant bodies and describe the consultation arrangements.) Commonwealth government (DOFA etc) Approached by client Other governments (state, territory, local) Contact was made with the libraries of universities, States of NSW & Vic. Industry Consumers and selected Commonwealth Government Departments to ascertain how library service charges were structured. Other

What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Based on the now defunct DOFA Guidelines for costing of Government activities.
Which agency is responsible for the following Policy setting Price setting Administration Revenue collection	Productivity Commission Productivity Commission Productivity Commission Productivity Commission Productivity Commission Productivity Commission
Is there any ongoing consultation about thes Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	e cost recovery arrangements? With whom? (Please name relevant bodies.) National Competitive Council (NCC) and Business Council of Australia
Please describe these consultation arrangements.	Annual discussion regarding renewal and pricing.
Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	Reviewed in 1997 and charge reduced from \$30,000 pa to \$20,000 pa for the NCC.
	establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.) Which agency is responsible for the following Policy setting Price setting Administration Revenue collection Is there any ongoing consultation about thes Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other Please describe these consultation arrangements. Have the cost recovery arrangements been formally reviewed? What was the outcome?

PART II(d)

Name of sub-unit, agency, program or activity, output or outcome

Productivity Commission - Library Services

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users?

(x) If 'YES' to (ix), on what basis?

Section	on 6: Price setting arrangements	
6.1	How are these cost recovery charges determ	nined? (Please attach any relevant documents)
(i)	How are charges set? (eg. by formula in	After attempting to ascertain fees , rates etc from other libraries and looking at
	legislation or based on 'market prices')	internal library costs , the fee was based on full cost recovery for the usage
		estimated at the time.
(ii)		The charge is related to the costs of providing the service - refer above
	particular activities, outcomes or outputs, o	
	charged on some other basis? (eg. levies	
	on users' turnover, profits or assets)	
	If all annual and discretive related to the coasts of	
6.2	If charges are directly related to the costs of p	
(i)	· · · · · · · · · · · · · · · · · · ·	Full costs of providing the service.
	(eg. only direct costs or indirect costs such as overheads)	
/::\	•	4000/ which has been confirmed recently based on a short study of estimated
(ii)	aim to recover? (%)	100% which has been confirmed recently based on a short study of estimated usage.
/:::\	` '	
(iii)	Does the charging regime require assets to be valued? (eg. to allow the calculation of	INO
	user cost of capital or return on assets)	
	user cost of capital of return off assets)	
(iv)	If 'YES' to (iii), on what basis are assets	
(10)	valued? (eg. historic, replacement, deprival	
	or replacement cost)	
(v)	·	No
(*)	Do onargoo morado a door ooot or capitar.	
(vi)	If 'YES' to (iv), how is it calculated?	
(vii)	Do charges include return on assets? (eg.	No
	profit)	
(viii)	If 'YES' to (vii), on what basis?	
(ix)	Do charges discriminate between types of	No

(xii) (xiii)	considerations (eg. waivers, discounts)? If 'YES' to (xi), on what basis?	No	
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Refer to 6.2(I)	
6.4	Are there any price controls on these charges?	No	
6.5	How often is the level of charges changed?	Last changed in 1997/98	
6.6	What happens if revenue recovered is greater than costs incurred?	Retained by Productivity Commission.	
End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)			

PART II

If your agency operated any cost recovery arrangements in 1999-2000, please complete this par Please fill out a separate form for each sub-unit, cost recovery program or activity, or output or outcome for which you ar reporting. Similar cost recovery arrangements may be reported in groups.

PART II(a)

Productivity Commission - Sale of Publications Name of sub-unit, agency, program or activity, output or outcome Section 4: Cost recovery arrangements in 1999-2000 Descriptive material 4.1 Nature of cost recovery Price charged for publications arrangement (eg. licence fee, service charge, hypothecated excise tax or levy etc) 4.2 Basic description of Sale of additional copies of reports to members of the Working Groups, ie those arrangements: (Please attach any organisations involved in the Review of Commonwealth/State Service Provision Review. relevant documents.) 4.3 Who pays the cost recovery The Departments involved eg Dept of Education, Training and Youth Affairs charges? 4.4 Who benefits from the program as above or activity, output or outcome? 4.5 Do you attempt to measure these No benefits? If YES, how? 4.6 Are there alternate providers or Access and print from the PC Home Page substitutes for this program or activity, output or outcome? (Please describe) 4.7 When was this cost recovery About 2 years ago arrangement introduced?

PART II(b) Productivity Commission - Sale of Publications Name of sub-unit, agency, program or activity, output or outcome Program or activity, output or outcome cost recovery arrangements in 1999-2000 (continued (Please use \$'000) Program or activity, output or outcome revenues 4.8 Cost recovery revenue paid to CRF earmarked for appropriation to same agency 4.9 Cost recovery revenue paid to CRF earmarked for appropriation to a third party 4.10 Cost recovery revenue paid to CRF and not earmarked for particular appropriation 4.11 Cost recovery revenue paid to CRF (subtotal) 4.12 Cost recovery not paid into CRF 4.13 Total cost recovery revenue 4.14 Appropriations not related to cost recovery 4.15 Other sources (please specify) 4.16 Total program or activity, output or outcome revenues Program or activity, output or outcome expenses 4.17 Direct expenses 4.18 Indirect expenses (including corporate overheads) 4.19 Third party expenses (a) 4.20 Total program or activity, output or outcome expenses Administration costs \$ minimal 4.21 What costs are associated with administering the cost recovery arrangements? CRF Consolidated Revenue Fund. Direct costs are those directly related to a particular program. Indirect costs include indirect agency overheads and general running costs. (a) Include third party costs where third parties are involved in a program or acti

		PART II(c)	
	e of sub-unit, agency, program or ty, output or outcome	Commission - Sale of Publications	
Secti	ion 5: Institutional arrangements		
5.1	What was the rationale for introducing these cost recovery arrangements? (Please attach sources, eg. legislative objects clauses, press releases, second reading speeches.)	To recoup the cost of printing and postage for the additional reports.	
5.2	What was the legal basis for establishing these cost recovery arrangements: (Please name and attach relevant documents.)		
	Legislation (eg. s.31 of the Financial Management and Accountability Act, tax or levy acts) Subordinate legislation (eg. regulations, standards)	s31 of the Financial Management and Accountability Act	
	Co-regulation or quasi-regulation		
	Commonwealth/State/Territory agreement Voluntary arrangements (eg. codes of practice) Other		
5.3	Who was consulted about introducing these c consultation arrangements.)	ost recovery arrangements? (Please name relevant bodies and describe the	
	Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	Request from Departments involved	

5.4	What guidelines were consulted when establishing these cost recovery arrangements? (Please attach source of information, guidelines etc.)	Chief Executive Instructions (CEI's)
5.5	Which agency is responsible for the following Policy setting Price setting Administration Revenue collection	g activities? (Please name relevant agency) Productivity Commission Productivity Commission Productivity Commission Productivity Commission
5.6	Is there any ongoing consultation about thes Commonwealth government (DOFA etc) Other governments (state, territory, local) Industry Consumers Other	se cost recovery arrangements? With whom? (Please name relevant bodies.) No
5.7	Please describe these consultation arrangements.	
5.8	Have the cost recovery arrangements been formally reviewed? What was the outcome? (Please attach copy of review)	No

PART II(d)							
Name of sub-unit, agency, program or activity, output or outcome		Productivity C	Commission - Sale of Publications				
Section 6: Price setting arrangements							
			ned? (Please attach any relevant documents)				
(i) How are charge	es set? (eg. by fo ased on 'market p	rmula in Or	On the basis of the printer's run on price plus postage.				
particular activi charged on sor	ectly related to the ties, outcomes on ne other basis? (ver, profits or ass	outputs, or eg. levies	as above				
(i) What costs do	ectly related to the charges aim to recosts or indirect	cover? re	articular activities, outputs or outcomes: refer to 6.1(I)				
(ii) What proportion aim to recover?		do charges 10	100% of printer's run on costs plus postage				
be valued? (eg	ing regime requir to allow the calconital or return on a	ulation of	No				
, ,	on what basis are storic, replaceme cost)						
(v) Do charges inc	lude a user cost of	of capital? No	No				
(vi) If 'YES' to (iv),	how is it calculate	ed?					
. ,	lude return on as		No				
(viii) If 'YES' to (vii),	on what basis?						
users?	criminate betwee	n types of No	No				
(x) If 'YES' to (ix),	on what basis?						

(xi) (xii) (xiii)	considerations (eg. waivers, discounts)? If 'YES' to (xi), on what basis?	No	
6.3	How are indirect costs allocated for cost recovery arrangements? (eg. activity based costing, according to share of direct costs or other rule.)	Not allocated	
6.4	Are there any price controls on these charges?	No	
6.5	How often is the level of charges changed?	With each publication	
6.6	What happens if revenue recovered is greater than costs incurred?	Retained by Productivity Commission	
End of Part II. Thank you for your cooperation. Please return the questionnaire and attachments to the Commission (see front sheet for instructions)			